

## STABILIZATION RESULTS FOR DELAYED FIFTH-ORDER KDV-TYPE EQUATION IN A BOUNDED DOMAIN

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**ABSTRACT.** Studied here is the Kawahara equation, a fifth-order Korteweg-de Vries type equation, with time-delayed internal feedback. Under suitable assumptions on the time delay coefficients, we prove that the solutions of this system are exponentially stable. First, considering a damping and delayed system, with some restriction of the spatial length of the domain, we prove that the energy of the Kawahara system goes to 0 exponentially as  $t \rightarrow \infty$ . After that, by introducing a more general delayed system, and by introducing suitable energies, we show using the Lyapunov approach, that the energy of the Kawahara equation goes to zero exponentially, considering the initial data small and a restriction in the spatial length of the domain. To remove these hypotheses, we use the *compactness-uniqueness argument* which reduces our problem to prove an *observability inequality*, showing a semi-global stabilization result.

### 1. Introduction.

**1.1. Setting of the problem.** Our main focus in this work is to investigate the behavior of the solution of the Kawahara equation [18, 23], a fifth higher-order Korteweg-de Vries (KdV) equation

$$u_t + u_x + u_{xxx} - u_{xxxx} + uu_x = 0 \quad (1)$$

which is a dispersive PDE describing numerous wave phenomena such as magneto-acoustic waves in a cold plasma [22], the propagation of long waves in a shallow liquid beneath an ice sheet [20], gravity waves on the surface of a heavy liquid [13], etc. In the literature, this equation is also referred as the fifth-order KdV equation [7], or singularly perturbed KdV equation [35].

There are some valuable efforts in the last years that focus on the analytical and numerical methods for solving (1). These methods include the tanh-function method [3], extended tanh-function method [4], sine-cosine method [45], Jacobi elliptic functions method [19], direct algebraic method [34], decompositions methods [24], as well as the variational iterations and homotopy perturbations methods [21]. For numerical simulations, however, there appears the question of cutting off the spatial domain [5, 6].

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Due to this recent advance, previously mentioned, other issues for the study of the Kawahara equation appear. For example, we can cite the stabilization problem, which is our motivation here. Precisely, we are interested in detailed qualitative analysis of problems for (1) in bounded regions, giving a next necessary step after the pioneer work [1] for this equation. To do this, we will analyze qualitative properties of solutions to the initial-boundary value problem for (1) posed on a bounded interval under the presence of a localized damping and delay terms instead of the presence only of the damping mechanism (see [1]), that is

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxx}(x, t) \\ + u(x, t)u_x(x, t) + a(x)u(x, t) + b(x)u(x, t - h) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0), \end{cases} \quad (2)$$

where  $h > 0$  is the time delay,  $L > 0$  is the length of the spatial domain,  $u(x, t)$  is the amplitude of the water wave at position  $x$  at time  $t$ , and  $a = a(x)$  and  $b = b(x)$  are nonnegative functions belonging to  $L^\infty(\Omega)$ . For our purpose let us introduce the following assumption.

**Assumption 1.1.** *The real functions  $a = a(x)$ ,  $b = b(x)$  are nonnegative functions belonging to  $L^\infty(\Omega)$ . Moreover,  $a(x) \geq a_0 > 0$  almost everywhere in a nonempty open subset  $\omega \subset (0, L)$ .*

Note that the term  $a(x)u$  designs a feedback damping mechanism (see, for instance [1]); therefore, one can expect the global well-posedness of (2) for all  $L > 0$ , and the decay of solutions. Therefore, defining the energy of system (2) by

$$E_u(t) = \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{h}{2} \int_0^L \int_0^1 b(x) u^2(x, t - \rho h) d\rho dx, \quad (3)$$

the following questions arise:

*Does  $E_u(t) \rightarrow 0$ , as  $t \rightarrow \infty$ ? If it is the case, can we give the decay rate?*

So, the main purpose of this paper is to answer these questions. There are basically three features to be emphasized in this way.

- The damping is effectively important, i.e. there are solutions to the undamped model (at least to its linear version) that do not decay [1];
- The nonlinear term can be estimated in appropriate norms;
- The delay in the feedback does not destabilize the system, which can be the case for other delayed systems, see for instance [14, 30, 41].

**1.2. Main results.** Our first result ensures that with a restrictive assumption on the length  $L$  of the domain and with the weight of the delayed feedback small enough the solutions of the system (2) are locally stable.

**Theorem 1.2.** *Assume that the functions  $a(\cdot)$  and  $b(\cdot)$  satisfy the conditions given in Assumption 1.1 and let  $L < \pi\sqrt{3}$ . Under these assumptions, there exist  $\delta > 0$ ,  $r > 0$ ,  $C > 0$  and  $\nu > 0$ , such that if  $\|b\|_\infty < \delta$ , then for every  $(u_0, z_0) \in \mathcal{H} = L^2(0, L) \times L^2((0, L) \times (0, 1))$  satisfying  $\|(u_0, z_0)\|_{\mathcal{H}} \leq r$ , the energy (3) of the system (2) satisfies*

$$E_u(t) \leq Ce^{-\nu t} E_u(0), \text{ for all } t \geq 0.$$

Another goal of this paper, inspired by the work of Nicaise and Pignotti [30], is to consider the following system

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxxx}(x, t) \\ + u(x, t)u_x(x, t) + a(x)(\mu_1 u(x, t) + \mu_2 u(x, t-h)) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0), \end{cases} \quad (4)$$

called now on of  $\mu_i$ -system. Here  $h > 0$  is the time delay,  $\mu_1 > \mu_2$  are positive real numbers and the initial data  $(u_0, z_0)$  belong to a suitable space. If  $a(x)$  satisfies Assumption 1.1, consider the following energy associated with the solutions of the system (4)

$$E_u(t) = \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{\xi}{2} \int_0^L \int_0^1 a(x) u^2(x, t - \rho h) d\rho dx, \quad (5)$$

where  $\xi$  is a positive constant verifying the following

$$h\mu_2 < \xi < h(2\mu_1 - \mu_2). \quad (6)$$

Again, we are interested to see the questions previously mentioned. Note that, in a different way from our first goal, the derivative of the energy (5) satisfies

$$E'_u(t) \leq -C \left[ u_{xx}^2(0) + \int_0^L a(x) u^2(x) dx + \int_0^L a(x) u^2(x, t-h) dx \right],$$

for some positive constant  $C := C(\mu_1, \mu_2, \xi, h)$ . This indicates that the function  $a(x)$  plays the role of a feedback damping mechanism, at least for the linearized system. Therefore, questions previously mentioned again arise to the solution of the system (4).

For the system (4) we split the behavior of the solutions into two parts. Employing Lyapunov's method, it can be deduced that the energy (5) goes exponentially to zero as  $t \rightarrow \infty$ , however, the initial data needs to be sufficiently small in this case. Precisely, the local result can be read as follows.

**Theorem 1.3.** *Let  $L > 0$ , assume that  $a \in L^\infty(\Omega)$ , (6) holds and  $L < \pi\sqrt{3}$ . Then, there exists  $0 < r < \frac{9\pi^2 - 3L^2}{2L^{\frac{3}{2}}\pi^2}$  such that for every  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$  satisfying  $\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \leq r$ , the energy (5) of the system (4) decays exponentially. More precisely, considering*

$$\gamma = \min \left\{ \frac{9\pi^2 - 3L^2 - 2L^{\frac{3}{2}}r\pi^2}{3L^2(1 + 2L\alpha)} \alpha, \frac{\beta\xi}{2h(\xi\beta + \xi)} \right\} \quad \text{and} \quad \kappa = (1 + \max\{2\alpha L, \beta\}),$$

with  $\alpha$  and  $\beta$  positive constants such that

$$\begin{aligned} \alpha &< \min \left\{ \frac{1}{2L\mu_1 + L\mu_2} \left( \mu_1 - \frac{\xi}{2h} - \frac{\mu_2}{2} - \frac{\beta\xi}{2h} \right), \frac{1}{L\mu_2} \left( \frac{\xi}{2h} - \frac{\mu_2}{2} \right) \right\}, \\ \beta &< \frac{2h}{\xi} \left( \mu_1 - \frac{\xi}{2h} - \frac{\mu_2}{2} \right). \end{aligned}$$

Then,

$$E(t) \leq \kappa E(0) e^{-2\gamma t} \text{ for all } t > 0.$$

The last result of the manuscript, still related to the system (4), removes the hypothesis of the initial data being small. To do that, we use the compactness-uniqueness argument due to J.-L. Lions [28], which reduces our problem to prove an *observability inequality* for the nonlinear system (4). More precisely, we have the following semi-global result.

**Theorem 1.4.** *Assume that  $a(x)$  satisfies Assumption 1.1. Suppose that  $\mu_1 > \mu_2$  and let  $\xi > 0$  satisfying (6). Let  $R > 0$ , then there exists  $C = C(R) > 0$  and  $\nu = \nu(R) > 0$  such that  $E_u$ , defined in (5), satisfies*

$$E_u(t) \leq CE_u(0)e^{-\nu t}, \quad \forall t > 0,$$

for solutions of (4) provided that  $\|(u_0, z_0)\|_{\mathcal{H}} \leq R$ .

**1.3. Previous results.** Let us now mention some bibliography comments about the stabilization problem for KdV-type models. Concerning the Kawahara equation, recently in [1], the authors considered the following damped system

$$u_t + u_x + u_{xxx} - u_{xxxxx} + u^p u_x + a(x)u = 0, \quad (x, t) \in (0, L) \times (0, T), \quad (7)$$

for  $p \in [1, 4)$ , with a presence of an extra damping term  $a(x)$ , such that

$$\begin{cases} a \in L^\infty(0, L) \text{ and } a(x) \geq a_0 > 0 & \text{a.e. in } \omega \\ \text{with a nonempty } \omega \subset (0, L). \end{cases} \quad (8)$$

This damping mechanism is essential already in a linear case: if  $a(x) \equiv 0$  and the length of an interval is critical (see [1]), then it can be constructed a nontrivial solution to

$$\begin{cases} u_t + u_x + u_{xxx} - u_{xxxxx} = 0, & (x, t) \in (0, L) \times (0, T) \\ u(0, t) = u(L, t) = u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0, & t \in (0, T) \\ u(x, 0) = u_0(x), & x \in (0, L), \end{cases}$$

which does not decay to 0 as  $t \rightarrow \infty$ . Observe that due to the drift term  $u_x$  the same occurs for the KdV equation [36]. Indeed, if for instance  $L = 2\pi n$ ,  $n \in \mathbb{N}$ , then the function  $v(x) = 1 - \cos x$  solves

$$\begin{cases} u_t + u_x + u_{xxx} = 0, & (x, t) \in (0, L) \times (0, T) \\ u(0, t) = u(L, t) = u_x(L, t) = 0, & t \in (0, T) \\ u(x, 0) = u_0(x), & x \in (0, L), \end{cases}$$

and clearly  $v(x) \not\rightarrow 0$  as  $t \rightarrow \infty$ . Despite the valuable advances in [10, 11, 12, 17], the question of whether solutions of undamped problems associated with the nonlinear KdV and Kawahara equations decay as  $t \rightarrow \infty$  for all finite  $L > 0$  is still open.

To overcome these difficulties, a damping of the type  $a(x)u$  was introduced in [33] to stabilize the KdV system. More precisely, considering the damping localized at a subset  $\omega \subset (0, L)$  containing nonempty neighborhoods of the end-points of an interval, it was shown that solutions of both linear and nonlinear problems for the KdV equation decay, independently on  $L > 0$ . In [31] it was proved that the same holds without cumbersome restrictions on  $\omega \subset (0, L)$ . In [42, 44] the damping like in (8) was used for (7) without the drift term  $u_x$ . If, however, the linear term  $u_x$  is dropped, both the KdV and Kawahara equations do not possess critical set restrictions [36, 43], and the damping is not necessary. The decay of solutions in such cases was also proved in [15, 16] by different methods.

Once the damping term  $a(x)u \neq 0$  is added to (7), the nonlinearity  $uu_x$  provides the second difficulty which should be treated with accurateness. In this context the mixed problems for the generalized KdV equation

$$u_t + u_x + u_{xxx} + u^p u_x + a(x)u = 0, \quad (9)$$

were studied in [37] when  $p \in [2, 4)$ . For the critical exponent,  $p = 4$ , the global well-posedness and the exponential stability were studied in [27]. The reader is also referred to [26, 38] and the references therein for an overall literature review.

Still related with damping mechanism for dispersive model, more recently, Cavalcanti *et al.* [9] studied a damped KdV–Burgers equation in the real line,

$$\begin{cases} u_t(x, t) + u_{xxx}(x, t) - u_{xx}(x, t) \\ \quad + \lambda_0 u(x, t) + u(x, t)u_x(x, t) = 0 & (x, t) \in \mathbb{R} \times (0, \infty), \\ u(x, 0) = u_0(x) & x \in \mathbb{R} \end{cases} \quad (10)$$

The authors were able to show the well-posedness and exponential stability for an indefinite damping  $\lambda_0(x)$ , giving exponential decay estimates on the  $L^2$ –norm of solutions to (10) under appropriate conditions on the damping coefficient  $\lambda_0$ . Additionally, recently a work due to Komornik and Pignotti [25] studied the following equation

$$\begin{cases} u_t(x, t) + u_{xxx}(x, t) - u_{xx}(x, t) + \lambda_0 u(x, t) \\ \quad + \lambda u(x, t - \tau) + u(x, t)u_x(x, t) = 0 & (x, t) \in \mathbb{R} \times (0, \infty), \\ u(x, s) = u_0(x, s) & x \in \mathbb{R} \times [-\tau, 0]. \end{cases} \quad (11)$$

Precisely, the authors consider the system (11) in presence of a damping term and delay feedback. They proved the exponential decay estimates under appropriate conditions on the damping coefficients.

It is important to point out that very recently, the robustness with respect to the delay of the boundary stability of the nonlinear KdV equation has been studied in [2]. The authors obtain, under an appropriate condition on the feedback gains with and without delay the locally exponentially stable result for non critical length. Moreover, in [41], the authors extend this result for the nonlinear Korteweg–de Vries equation in the presence of an internal delayed term. This work is our motivation to treat more general dispersive systems in this manuscript.

**1.4. Heuristic of the article.** The results presented in this article give us answers for the stabilization problems with the damping mechanism and feedback delay of the Kawahara equation. Precisely, we are able to give two ways to stabilize the solution of the system under consideration.

The first two results, Theorem 1.2 and Theorem 1.3, ensure the exponential stability with some restriction in the spatial length. The strategy to obtain both results is as follows: To show Theorem 1.2 we first prove the exponential stability for the Kawahara system linearized around 0 (see Appendix A) by the Lyapunov approach for all  $L < \pi\sqrt{3}$  (allowing to have an estimation of the decay rate), then for  $\|b\|_\infty$  small enough, we show the local exponential stability result by a decoupling approach inspired by [41]. The second result, Theorem 1.3, has a local character, that is, is necessary to make the initial data small enough. The local stability result is based on the appropriate choice of Lyapunov functional, which also gives a restriction of the lengths  $L$ , which occurs due to the choice of the Morawetz multipliers  $x$  in the expression of  $V_1$  (see (60)).

Finally, Theorem 1.4 is obtained by utilizing an observability inequality for the nonlinear delayed Kawahara equation which is proved using a contradiction argument. Consequently, the value of the decay rate can not be estimated in this approach, differently than before. The two main difficulties to the semi-global stability result are the passage to the limit in the nonlinear term and the fact that this nonlinear term does not allow to use of Holmgren's uniqueness theorem. Instead, we will use the unique continuation property for the nonlinear system due to Saut and Scheurer [39]. In this case, the results follow without restriction in the length  $L > 0$ .

We finish our introduction with a few comments that give a generality of the problems in consideration.

- First, observe that to prove Theorem 1.2 we do not need to localize the solution of the transport equation<sup>1</sup> in a small subset of  $(0, L)$  as in [41, Section 4]. Moreover, we emphasize that we can take  $a = 0$  in Theorem 1.2.
- It is important to point out that Theorem 1.3 gives an estimation of the decay rate  $\gamma$ . In particular, we can note that when the delay  $h$  increases, the decay rate  $\gamma$  decreases.
- Note that in Theorems 1.3 and 1.4 the relation (6) is more general than one used in [41]. Our motivation is the general framework introduced by Nicaise and Pignotti in [30].
- As mentioned before, Theorem 1.4 has a semi-global character. This comes from the fact that even if we are able to choose any radius  $R$  for the initial data, the decay rate  $\nu$  (see (71)) depends on  $R$ .
- The previous results are not only true for the nonlinearity  $uu_x$ . Using the same approach as in [1], we can deal with a general nonlinearity as  $u^p u_x$  for  $p \in [1, 4)$ . For simplicity here we will treat the case  $p = 1$ .
- Connecting the KdV and Kawahara equations, in [29] the authors studied the limit behavior of the solutions of the Kawahara equation

$$u_t + u_{xxx} + \varepsilon u_{xxxxx} + uu_x = 0, \quad \varepsilon > 0$$

as  $\varepsilon \rightarrow 0$ . Note that in this previous equation  $u_{xxx}$  and  $\varepsilon u_{xxxxx}$  compete for each other and cancel each other at frequencies of order  $1/\sqrt{\varepsilon}$ . Thus, the authors proved that the solutions to this equation converge in  $C([0, T]; H^1(\mathbb{R}))$  towards the solutions of the KdV equation for any fixed  $T > 0$ . Due to this previous fact, we believe that considering an approximation of the delayed system in the bounded domain

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) + \varepsilon u_{xxxxx}(x, t) \\ + u(x, t)u_x(x, t) + a(x)u(x, t) + b(x)u(x, t-h) = 0 & x \in (0, L), \quad t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), \quad t \in (-h, 0), \end{cases}$$

with the compatible  $\varepsilon$ -boundary condition, using the approach of our work, we can recover (as  $\varepsilon \rightarrow 0$ ) the results proposed by [41].

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<sup>1</sup>See the equation (16) below.

**1.5. Organization of the article.** Our manuscript is outlined as follows: First, Section 2 is related with the well-posedness results for  $\mu_i$ -system (4) and its adjoint. After that, Section 3 is devoted to prove properties of the damping-delayed system (2), that is, we show the Theorem 1.2, where the analysis developed in the Appendix A is crucial. In Section 4, we give a rigorous proof of the asymptotic stability for the solutions of the system (4), precisely, we prove Theorem 1.3. After that, in this same section, to remove restrictions of the Theorem 1.3, we prove an *observability inequality*, which is the key to prove Theorem 1.4.

**2. Well-posedness of  $\mu_i$ -system .** Our goal in this section is to prove the well-posedness theory for the system (4). This analysis is useful for the stability properties for the solutions of this system.

**2.1. Linear system.** For the sake of completeness, we provide below the well-posedness results for the linear system

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxx}(x, t) \\ + a(x)(\mu_1 u(x, t) + \mu_2 u(x, t - h)) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0). \end{cases} \quad (12)$$

A classical way to deal with the well-posedness of the delayed equations (see e.g. [30]) is to consider  $z(x, \rho, t) = u(x, t - \rho h)$ , for any  $x \in (0, L)$ ,  $\rho \in (0, 1)$  and  $t > 0$ . So, its easily verified that  $z$  satisfies the transport equation

$$\begin{cases} h z_t(x, \rho, t) + z_\rho(x, \rho, t) = 0 & x \in (0, L), \rho \in (0, 1), t > 0, \\ z(x, 0, t) = u(x, t) & x \in (0, L), t > 0, \\ z(x, \rho, 0) = z_0(x, -\rho h) & x \in (0, L), \rho \in (0, 1). \end{cases} \quad (13)$$

We equipped the Hilbert space  $\mathcal{H} = L^2(0, L) \times L^2((0, L) \times (0, 1))$  with the following inner product

$$((u, z), (v, w))_{\mathcal{H}} = \int_0^L u v dx + \xi \|a\|_\infty \int_0^L \int_0^1 z(x, \rho) w(x, \rho) dx d\rho,$$

where  $\xi$  is a positive constant satisfying (6) or, equivalently,

$$\mu_2 < \frac{\xi}{h} < 2\mu_1 - \mu_2. \quad (14)$$

that we will use from now on.

Throughout this article, we shall adopt the notation  $z(1) := z(x, 1, t)$ . To study the well-posedness theory in the sense of Hadamard, we need to put the equation (12) into an abstract setting. To do it, let us rewrite this system as follows: Consider

$U(t) = (u, z(\cdot, \cdot, t))$ , so the equation (12) can be reformulated as the following system

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxxx}(x, t) \\ + a(x)(\mu_1 u(x, t) + \mu_2 z(1)) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ h z_t(x, \rho, t) + z_\rho(x, \rho, t) = 0 & x \in (0, L), \rho \in (0, 1), t > 0, \\ z(x, 0, t) = u(x, t) & x \in (0, L), t > 0, \\ z(x, \rho, 0) = z_0(x, -\rho h) & x \in (0, L), \rho \in (0, 1), \end{cases} \quad (15)$$

which is equivalent to the following abstract Cauchy problem

$$\begin{cases} \frac{\partial U}{\partial t}(t) = \mathcal{A}U(t), \\ U(0) = (u_0(x), z_0(x, -\rho h)). \end{cases} \quad (16)$$

Here, the unbounded operator  $\mathcal{A} : \mathcal{D}(\mathcal{A}) \subset \mathcal{H} \rightarrow \mathcal{H}$  is given by

$$\mathcal{A}(u, z) = (-u_x - u_{xxx} + u_{xxxxx} - a(x)(\mu_1 u + \mu_2 z(\cdot, 1)), -h^{-1}z_\rho) \quad (17)$$

with domain

$$\mathcal{D}(\mathcal{A}) = \left\{ (u, z) \in \mathcal{H} : \begin{array}{l} u \in H^5(0, L), u(0) = u(L) = 0, \\ u_x(0) = u_x(L) = u_{xx}(L) = 0, \\ z_\rho \in L^2((0, L) \times (0, 1)), z(0) = u \end{array} \right\}. \quad (18)$$

The first result of this section gives some properties of the operator  $\mathcal{A}$  and its adjoint  $\mathcal{A}^*$ .

**Lemma 2.1.** *The operator  $\mathcal{A}$  is closed and its adjoint  $\mathcal{A}^* : \mathcal{D}(\mathcal{A}^*) \subset \mathcal{H} \rightarrow \mathcal{H}$  is given by*

$$\mathcal{A}^*(u, z) = (u_x + u_{xxx} - u_{xxxxx} - a(x)\mu_1 u + \frac{\xi \|a\|_\infty}{h} z(\cdot, 0), h^{-1}z_\rho) \quad (19)$$

with domain

$$\mathcal{D}(\mathcal{A}^*) = \left\{ (u, z) \in \mathcal{H} : \begin{array}{l} u \in H^5(0, L), u(0) = u(L) = 0, \\ u_x(0) = u_x(L) = u_{xx}(0) = 0, \\ z_\rho \in L^2((0, L) \times (0, 1)), \\ z(x, 1) = -\frac{a(x)h\mu_2}{\|a\|_\infty \xi} u(x) \end{array} \right\}. \quad (20)$$

*Proof.* The proof that  $\mathcal{A}^*$  is given as in the statement of the lemma is standard. To show that  $\mathcal{A}$  is closed, note that  $\mathcal{A}^{**} = \mathcal{A}$  and the result follows from [8, Proposition 2.17].  $\square$

Now, we are able to prove that  $\mathcal{A}$  is the infinitesimal generator of a  $C_0$ -semigroup. Precisely, the result can be read as follows.

**Proposition 2.2.** *Assume that  $a \in L^\infty(\Omega)$  is a nonnegative function and (14) holds. Then,  $\mathcal{A}$  is the infinitesimal generator of a  $C_0$ -semigroup in  $\mathcal{H}$ .*



*Proof.* Let  $U = (u, z) \in \mathcal{D}(\mathcal{A})$ , then integrating by parts, using the definition (18) and Young's inequality we have

$$\begin{aligned} (\mathcal{A}U, U)_{\mathcal{H}} &\leq -\frac{1}{2}u_{xx}^2(0) + \left(-\mu_1 + \frac{\mu_2}{2}\right) \int_0^L a(x)u^2(x)dx + \frac{\xi\|a\|_{\infty}}{2h} \int_0^L u^2(x)dx \\ &\quad + \left(\frac{\mu_2}{2} - \frac{\xi}{2h}\right) \|a\|_{\infty} \int_0^L z^2(x, 1)dx \\ &\leq \frac{\xi\|a\|_{\infty}}{2h} \int_0^L u^2(x)dx. \end{aligned}$$

Hence, for  $\lambda = \frac{\xi\|a\|_{\infty}}{2h}$  we have

$$((\mathcal{A} - \lambda I)U, U)_{\mathcal{H}} \leq 0.$$

Now, let  $U = (u, z) \in \mathcal{D}(\mathcal{A}^*)$ , then analogously as done previously, we get

$$(\mathcal{A}^*U, U)_{\mathcal{H}} \leq -\frac{1}{2}u_{xx}^2(L) + \left(-\mu_1 + \frac{\mu_2^2 h}{2\xi}\right) \int_0^L a(x)u^2(x)dx + \frac{\xi\|a\|_{\infty}}{2h} \int_0^L u^2(x)dx.$$

So, the following relation,

$$\mu_2 < \frac{\xi}{h} \Rightarrow 2\mu_2 < \frac{2\xi}{h} \Rightarrow \frac{2}{\mu_2} < \frac{2\xi}{h\mu_2^2} \Rightarrow \frac{\mu_2^2 h}{2\xi} < \frac{\mu_2}{2}$$

yields that

$$\begin{aligned} (\mathcal{A}^*U, U)_{\mathcal{H}} &\leq -\frac{1}{2}u_{xx}^2(L) + \left(-\mu_1 + \frac{\mu_2}{2}\right) \int_0^L a(x)u^2(x)dx + \frac{\xi\|a\|_{\infty}}{2h} \int_0^L u^2(x)dx \\ &\leq \frac{\xi\|a\|_{\infty}}{2h} \int_0^L u^2(x)dx. \end{aligned}$$

Hence,

$$((\mathcal{A} - \lambda I)^*U, U)_{\mathcal{H}} \leq 0,$$

for all  $U \in \mathcal{D}(\mathcal{A}^*)$ . Finally, since  $\mathcal{A} - \lambda I$  is densely defined closed linear operator, and both  $\mathcal{A} - \lambda I$  and  $(\mathcal{A} - \lambda I)^*$  are dissipative, then  $\mathcal{A}$  is the infinitesimal generator of a  $C_0$ -semigroup on  $\mathcal{H}$  (see, for instance, [32, Chapter 1, Corollary 4.4] and [32, Chapter 1, Remark before Corollary 3.8]).  $\square$

The following theorem gives the existence of solutions for the abstract system (16).

**Theorem 2.3.** *Assume that  $a \in L^{\infty}(\Omega)$  is a nonnegative function and (14) holds. Then, for each  $U_0 \in \mathcal{H}$  there exists a unique mild solution  $U \in C([0, \infty), \mathcal{H})$  for the system (16). Moreover, if  $U_0 \in \mathcal{D}(\mathcal{A})$  the solutions are classical and satisfies the following regularity*

$$U \in C([0, \infty), \mathcal{D}(\mathcal{A})) \cap C^1([0, \infty), \mathcal{H}). \quad (21)$$

*Proof.* The result is a direct consequence of Proposition 2.2.  $\square$

For  $T > 0$ ,  $L > 0$  let us introduce the following set

$$\mathcal{B} = C([0, T], L^2(0, L)) \cap L^2(0, T, H_0^2(0, L)) \quad (22)$$

endowed with its natural norm

$$\|y\|_{\mathcal{B}} = \max_{t \in [0, T]} \|y(\cdot, t)\|_{L^2(0, L)} + \left( \int_0^T \|y(\cdot, t)\|_{H^2(0, L)}^2 dt \right)^{\frac{1}{2}}. \quad (23)$$

Next results are devoted to showing *a priori* and regularity estimates for the solutions of (16).

**Proposition 2.4.** *Let  $a \in L^\infty(\Omega)$  be a nonnegative function and consider that (14) holds. Then, for any mild solution of (16) the energy  $E_u$ , defined by (5), is non-increasing and there exists a positive constant  $C$  such that*

$$E'_u(t) \leq -C \left[ u_{xx}^2(0) + \int_0^L a(x)u^2(x)dx + \int_0^L a(x)u^2(x, t-h)dx \right] \quad (24)$$

where  $C$  is given by

$$C = \min \left\{ \frac{1}{2}, \mu_1 - \frac{\xi}{2h} - \frac{\mu_2}{2}, -\frac{\mu_2}{2} + \frac{\xi}{2h} \right\}. \quad (25)$$

*Proof.* Multiplying (15)<sub>1</sub> by  $u(x, t) = z(x, 0, t)$  and integrating over  $(0, L)$  we infer that

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|u(t)\|_{L^2(0,L)}^2 &= -\frac{1}{2} u_{xx}^2(0) - \mu_1 \int_0^L a(x)u^2(x, t)dx \\ &\quad - \mu_2 \int_0^L a(x)u(x, t-h)u(x, t)dx. \end{aligned} \quad (26)$$

Now, multiplying (15)<sub>4</sub> by  $a(x)\xi u(x, t - \rho h)$  and integrating over  $(0, L) \times (0, 1)$  we obtain,

$$\begin{aligned} \frac{\xi h}{2} \frac{d}{dt} \int_0^L a(x) \int_0^1 u^2(x, t - \rho h) d\rho dx &= - \int_0^L a(x) \frac{\xi}{2} \int_0^1 \frac{d}{d\rho} (z(x, \rho, t))^2 d\rho dx \\ &= - \int_0^L a(x) \frac{\xi}{2} [(z(x, 1, t))^2 - (z(x, 0, t))^2] dx \\ &= \frac{\xi}{2} \int_0^L a(x) [(z(x, 0, t))^2 - (z(x, 1, t))^2] dx. \end{aligned} \quad (27)$$

From (26), (27) and applying Young's inequality we obtain

$$\begin{aligned} E'_u(t) &\leq -\frac{1}{2} u_{xx}^2(0) - \mu_1 \int_0^L a(x)u^2(x, t)dx + \frac{\mu_2}{2} \int_0^L a(x)u^2(x, t)dx \\ &\quad + \frac{\mu_2}{2} \int_0^L a(x)u^2(x, t-h)dx + \frac{\xi}{2h} \int_0^L a(x)u^2(x, t)dx \\ &\quad - \frac{\xi}{2h} \int_0^L a(x)u^2(x, t-h)dx \end{aligned}$$

and the results holds directly from the previous estimate.  $\square$

**Proposition 2.5.** *Assume that  $a \in L^\infty(\Omega)$  is a nonnegative function and (14) holds. Then, the map*

$$(u_0, z_0(\cdot, -h(\cdot))) \mapsto (u, z) \quad (28)$$

is continuous from  $\mathcal{H}$  to  $\mathcal{B} \times C([0, T], L^2((0, L) \times (0, 1)))$ , and for  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ , the following estimates hold

$$\begin{aligned} & \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{\xi}{2} \int_0^L \int_0^1 a(x) u^2(x, t - \rho h) d\rho dx \\ & \leq \frac{1}{2} \int_0^L u_0^2(x) dx + \frac{\xi}{2} \int_0^L \int_0^1 a(x) z_0^2(x, -\rho h) d\rho dx \end{aligned} \quad (29)$$

and

$$\begin{aligned} \|u_0\|_{L^2(0, L)}^2 & \leq \frac{1}{T} \int_0^T \int_0^L u^2 dx dt + \int_0^T u_{xx}^2(0) dt \\ & + (2\mu_1 + \mu_2) \int_0^T \int_0^L a(x) u^2 dx dt \\ & + \int_0^T \int_0^L a(x) \mu_2 u^2(x, t - h) dx dt. \end{aligned} \quad (30)$$

*Proof.* First, note that (29) follows from (24). Now, let  $q(x, t) \in C^\infty([0, L] \times [0, T])$  and  $(u_0, z_0(\cdot, -h(\cdot)))$ . Then multiplying (15)<sub>4</sub> by  $z(x, \rho, t)$ , and using integration by parts we get

$$h \int_0^1 \int_0^L z^2(x, \rho, T) - z^2(x, \rho, 0) dx d\rho + \int_0^T \int_0^L z^2(x, 1, t) - z^2(x, 0, t) dx dt = 0.$$

which implies that

$$\frac{1}{2} \int_0^T \int_0^L u^2(x, t - h) dx dt \leq \frac{1}{2} \int_0^T \int_0^L u^2(x, t) dx dt + \frac{h}{2} \int_0^1 \int_0^L z_0^2(x, -\rho h) dx d\rho. \quad (31)$$

Now, multiplying (15)<sub>1</sub> by  $q(x, t)u(x, t)$  and integrating by parts we have

$$\begin{aligned} & \frac{1}{2} \int_0^L q(x, T) u^2(x, T) dx - \frac{1}{2} \int_0^L q(x, 0) u^2(x, 0) dx \\ & - \frac{1}{2} \int_0^T \int_0^L (q_t + q_x + q_{xxx} - q_{xxxx}) u^2 dx dt + \frac{3}{2} \int_0^T \int_0^L q_x u_x^2 dx dt \\ & - \frac{5}{2} \int_0^T \int_0^L q_{xxx} u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L q_x u_{xx}^2 dx dt + \frac{1}{2} \int_0^T q(0, t) u_{xx}^2(0, t) dt \\ & + \int_0^T \int_0^L a(x) \mu_1 q u^2 dx dt + \int_0^T \int_0^L a(x) \mu_2 q u(x, t - h) u dx dt = 0. \end{aligned} \quad (32)$$

Taking  $q(x, t) = x$  in (32) follows from (31) that

$$\begin{aligned} & \frac{3}{2} \int_0^T \int_0^L u_x^2 dx + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx = \frac{1}{2} \int_0^L x(u_0^2(x) - u^2(x, T)) dx \\ & + \frac{1}{2} \int_0^T \int_0^L u^2 dx dt - \int_0^T \int_0^L x a(x) (\mu_1 u^2 - \mu_2 u(x, t - h) u(x, t)) dx dt \\ & \leq \frac{L}{2} \|u_0\|_{L^2(0, L)}^2 + \frac{L}{2} h \|a\|_\infty \mu_2 \int_0^1 \int_0^L z_0^2(x, -\rho h) dx d\rho \\ & + \left( \frac{1}{2} + L \|a\|_\infty (\mu_1 + \mu_2) \right) T \left( \int_0^L u_0^2(x) dx + \xi \|a\|_\infty \int_0^1 \int_0^L z_0^2(x, -\rho h) d\rho dx \right) \\ & \leq C(a, h, \mu_1, \mu_2, \xi, L)(1 + T) \|u_0, z_0(\cdot, -h(\cdot))\|_{L^2(0, L) \times L^2(0, 1)}^2, \end{aligned}$$

where

$$C(a, h, \mu_1, \mu_2, \xi, L) = \left( \frac{L}{2} + \frac{Lh\mu_2}{2\xi} + 1 + 2L\|a\|_\infty(\mu_1 + \mu_2) \right).$$

Finally, choosing  $q(x, t) = T - t$  in (32) we obtain

$$\begin{aligned} & -\frac{1}{2} \int_0^L T u_0^2(x) dx + \frac{1}{2} \int_0^T \int_0^L u^2 dx dt + \frac{1}{2} \int_0^T (T - t) u_{xx}^2(0) dt \\ & + \int_0^T \int_0^L (T - t) a(x) \mu_1 u^2 dx dt + \int_0^T \int_0^L (T - t) a(x) \mu_2 u(x, t) u(x, t - h) dx dt = 0. \end{aligned}$$

Therefore,

$$\begin{aligned} \|u_0\|_{L^2(0, L)}^2 & \leq \frac{1}{T} \int_0^T \int_0^L u^2 dx dt + \int_0^T u_{xx}^2(0) dt \\ & + (2\mu_1 + \mu_2) \int_0^T \int_0^L a(x) u^2 dx dt + \int_0^T \int_0^L a(x) \mu_2 u^2(x, t - h) dx dt, \end{aligned}$$

showing (30), and the proof is complete.  $\square$

**2.2. Linear system with source term.** Consider the higher-order KdV linear equation with a source term  $f(x, t)$ , on the right-hand side:

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxxx}(x, t) \\ + a(x)(\mu_1 u(x, t) + \mu_2 u(x, t - h)) = f(x, t) & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0), \end{cases} \quad (33)$$

where  $\mu_1 > \mu_2$  and  $a(x)$  satisfies the same hypothesis of the previous section. The next result deal with the existence of a solution to this system.

**Proposition 2.6.** *Assume that  $a(x) \in L^\infty(\Omega)$  is a nonnegative function and (14) holds. For any  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$  and  $f \in L^2(0, T, L^2(0, L))$ , there exists a unique mild solution for (33) in the class*

$$(u, u(\cdot, t - h(\cdot))) \in \mathcal{B} \times C([0, T], L^2((0, L) \times (0, 1))).$$

Moreover, we have the following estimates

$$\|(u, z)\|_{C([0, T], \mathcal{H})} \leq e^{\frac{\xi \|a\|_\infty}{2h} T} (\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} + \|f\|_{L^1(0, T, L^2(0, L))}) \quad (34)$$

and

$$\|u\|_{L^2(0, T, H^2(0, L))}^2 \leq C(1 + T + e^{\frac{\xi \|a\|_\infty}{h} T}) \left( \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 + \|f\|_{L^1(0, T, L^2(0, L))}^2 \right), \quad (35)$$

where

$$C = C(a, h, \mu_1, \mu_2, \xi, L) = \left( \frac{3L}{2} + \frac{Lh\mu_2}{2\xi} + 1 + 2L\|a\|_\infty(\mu_1 + \mu_2) \right).$$

*Proof.* Thanks to the fact that  $\mathcal{A}$  is an infinitesimal generator of a  $C_0$ -semigroup  $(e^{t\mathcal{A}})$  satisfying  $\|e^{t\mathcal{A}}\|_{\mathcal{L}(\mathcal{H})} \leq e^{\frac{\xi \|a\|_\infty}{2h} t}$  and together with the fact that we can rewrite system (33) as a first order system (see (16)) with source term  $(f(\cdot, t), 0)$ , we have that (33) is well-posed in  $C([0, T], \mathcal{H})$ . Additionally, the proof of (35) follows the same steps as the proof of Proposition 2.5. However, we have to be careful to the

fact that the right-hand side terms are not homogeneous anymore, so, we need to note that

$$\left| \int_0^T \int_0^L x f(x, t) u(x, t) dx dt \right| \leq \frac{L}{2} \|u\|_{C([0, T], L^2(0, L))}^2 + \frac{L}{2} \|f\|_{L^1(0, T, L^2(0, L))}^2,$$

and the result is achieved.  $\square$

**2.3. Nonlinear system: Global results.** In this section we prove the global well-posedness result for the nonlinear system (4). The first step is to show that the nonlinear term  $uu_x$  can be considered as a source term of the linear equation (33). Precisely, the result is the following.

**Proposition 2.7.** *Let  $u \in \mathcal{B}$ . Then  $uu_x \in L^1(0, T, L^2(0, L))$  and the map*

$$u \in \mathcal{B} \mapsto uu_x \in L^1(0, T, L^2(0, L))$$

*is continuous. More precisely, there exists  $K > 0$  ( $K = \sqrt{2}$ ) such that, for any  $u, v \in \mathcal{B}$ , we have*

$$\int_0^T \|uu_x - vv_x\|_{L^2(0, L)} dt \leq KT^{\frac{1}{4}} (\|u\|_{\mathcal{B}} + \|v\|_{\mathcal{B}}) \|u - v\|_{\mathcal{B}}$$

*Proof.* The proof is a variant of [37, Proposition 4.1] so, we just give a sketch of the proof. First, note that for  $z \in H_0^2(0, L)$  we have

$$\|z\|_{L^\infty(0, L)}^2 \leq 2\|z\|_{L^2(0, L)} \|z'\|_{L^2(0, L)}. \quad (36)$$

From Hölder's inequality and (36) we obtain

$$\|z\|_{L^2(0, T, L^\infty(0, L))} \leq \sqrt{2} T^{\frac{1}{4}} \|z\|_{L^\infty(0, T, L^2(0, L))}^{\frac{1}{2}} \|z\|_{L^\infty(0, T, H_0^2(0, L))}^{\frac{1}{2}} \quad (37)$$

Let  $u, z \in \mathcal{B}$ , then from (37) it follows that

$$\begin{aligned} & \|uu_x - vv_x\|_{L^1(0, T, L^2(0, L))} \\ & \leq \frac{\sqrt{2}}{2} T^{\frac{1}{4}} \|u\|_{L^2(0, T, H_0^2(0, L))} \left( \|u - v\|_{L^\infty(0, T, L^2(0, L))} + \|u - v\|_{L^2(0, T, H_0^2(0, L))} \right) \\ & \quad + \frac{\sqrt{2}}{2} T^{\frac{1}{4}} \|u - v\|_{L^2(0, T, H_0^2(0, L))} \left( \|v\|_{L^\infty(0, T, L^2(0, L))} + \|v\|_{L^2(0, T, H_0^2(0, L))} \right) \\ & \leq \sqrt{2} T^{\frac{1}{4}} (\|u\|_{\mathcal{B}} + \|v\|_{\mathcal{B}}) \|u - v\|_{\mathcal{B}}, \end{aligned}$$

and the proof is complete.  $\square$

We are now in a position to prove the global existence of solutions of (4).

**Proposition 2.8.** *Let  $L > 0$  and assume that  $a(x) \in L^\infty(\Omega)$  and (14) holds. Then, for every  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ , there exists a unique  $u \in \mathcal{B}$  solution of system (4). Moreover, there exists  $C > 0$  such that*

$$\begin{aligned} & \|u_x\|_{L^2(0, T, L^2(0, L))}^2 + \|u_{xx}\|_{L^2(0, T, L^2(0, L))}^2 \\ & \leq C(\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 + \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^4). \end{aligned} \quad (38)$$

*Proof.* To prove this result we can follow a standard argument in the literature (see e.g. [33, 31]). In this way, our goal is to obtain the global existence of solutions proving the local existence and using the following *a priori* estimate

$$\|(u(\cdot, t), u(\cdot, t - h(\cdot)))\|_{\mathcal{H}}^2 \leq e^{\frac{\varepsilon \|a\|_\infty}{h} t} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2. \quad (39)$$

Indeed, using the multiplier  $\|a\|_\infty \xi u(x, t - \rho h)$  instead of  $a(x) \xi u(x, t - \rho h)$  as in (24) we obtain

$$\begin{aligned}
& \frac{1}{2} \frac{d}{dt} \|(u(\cdot, t), u(\cdot, t - h(\cdot)))\|_{\mathcal{H}}^2 = -u_{xx}^2(0) - \mu_1 \int_0^L a(x) u^2(x, t) dx \\
& \quad - \mu_2 \int_0^L a(x) u(x, t - h) u(x, t) dx \\
& \quad + \frac{\xi}{2h} \|a\|_\infty \left( \int_0^L u^2(x, t) dx - \int_0^L u^2(x, t - h) dx \right) \\
& \leq -\mu_1 \int_0^L a(x) u^2(x, t) dx + \frac{\mu_2}{2} \int_0^L a(x) u^2(x, t) dx \\
& \quad + \frac{\mu_2}{2} \int_0^L a(x) u^2(x, t - h) dx \\
& \quad + \frac{\xi}{2h} \|a\|_\infty \left( \int_0^L u^2(x, t) dx - \int_0^L u^2(x, t - h) dx \right) \\
& \leq \frac{\xi}{2h} \|a\|_\infty \int_0^L u^2(x, t) dx.
\end{aligned} \tag{40}$$

Thanks to (40) and Gronwall's inequality, (39) follows.

Now, we are concentrated to prove the local existence and uniqueness of solutions to (4). Let  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$  and  $u \in \mathcal{B}$ , we consider the map  $\Phi : \mathcal{B} \rightarrow \mathcal{B}$  defined by  $\Phi(u) = \tilde{u}$  where  $\tilde{u}$  is solution of

$$\begin{cases} \tilde{u}_t(x, t) + \tilde{u}_x(x, t) + \tilde{u}_{xxx}(x, t) - \tilde{u}_{xxxx}(x, t) \\ + a(x) (\mu_1 \tilde{u}(x, t) + \mu_2 \tilde{u}(x, t - h)) = -u(x, t) u_x(x, t) & x \in (0, L), \ t > 0, \\ \tilde{u}(0, t) = \tilde{u}(L, t) = 0 & t > 0, \\ \tilde{u}_x(0, t) = \tilde{u}_x(L, t) = \tilde{u}_{xx}(L, t) = 0 & t > 0, \\ \tilde{u}(x, 0) = u_0(x) & x \in (0, L), \\ \tilde{u}(x, t) = z_0(x, t) & x \in (0, L), \ t \in (-h, 0). \end{cases}$$

Notice that  $u \in \mathcal{B}$  is a solution of (4) if and only if  $u$  is a fixed point of the map  $\Phi$ . So, let us prove that the map  $\Phi$  is a contraction.

In fact, thanks to (34), (35) and Proposition 2.7, we get

$$\begin{aligned}
\|\Phi u\|_{\mathcal{B}} & \leq \sqrt{C} (1 + \sqrt{T} + e^{\frac{\xi \|a\|_\infty}{2h} T}) (\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} + \|uu_x\|_{L^1(0, T, L^2(0, L))}) \\
& \leq \sqrt{C} (1 + \sqrt{T} + e^{\frac{\xi \|a\|_\infty}{2h} T}) (\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} + K T^{\frac{1}{4}} \|u\|_{\mathcal{B}}^2) \\
& \leq \sqrt{C} (1 + \sqrt{T} + e^{\frac{\xi \|a\|_\infty}{2h} T}) \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \\
& \quad + \sqrt{C} K (2T^{\frac{1}{4}} + T^{\frac{1}{4}} e^{\frac{\xi \|a\|_\infty}{2h} T}) \|u\|_{\mathcal{B}}^2,
\end{aligned}$$

if  $T < 1$ . Moreover, for the same reasons, we have

$$\|\Phi(u_1) - \Phi(u_2)\|_{\mathcal{B}} \leq \sqrt{C} K (1 + \sqrt{T} + e^{\frac{\xi \|a\|_\infty}{2h} T}) T^{\frac{1}{4}} (\|u_1\|_{\mathcal{B}} + \|u_2\|_{\mathcal{B}}) \|u_1 - u_2\|_{\mathcal{B}}.$$

Now, consider  $\Phi$  restricted to the closed ball  $\{u \in \mathcal{B} : \|u\|_{\mathcal{B}} \leq R\}$  with  $R > 0$  to be chosen later. Then,

$$\|\Phi(u)\|_{\mathcal{B}} \leq \sqrt{C} (1 + \sqrt{T} + e^{\frac{\xi \|a\|_\infty}{2h} T}) \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} + \sqrt{C} K (2T^{\frac{1}{4}} + T^{\frac{1}{4}} e^{\frac{\xi \|a\|_\infty}{2h} T}) R^2$$

and

$$\|\Phi(u_1) - \Phi(u_2)\|_{\mathcal{B}} \leq 2\sqrt{C}K(1 + \sqrt{T} + e^{\frac{\xi\|a\|_{\infty}}{2h}T})T^{\frac{1}{4}}R\|u_1 - u_2\|_{\mathcal{B}}.$$

So, pick  $R = 4\sqrt{C}\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}$  and  $T > 0$  satisfying

$$\begin{cases} \sqrt{T} + 8\sqrt{C}KT^{\frac{1}{4}} + 4\sqrt{C}KT^{\frac{1}{4}}e^{\frac{\xi\|a\|_{\infty}}{2h}T} < 1, \\ 2T^{\frac{1}{4}} + T^{\frac{1}{4}}e^{\frac{\xi\|a\|_{\infty}}{2h}T} < \frac{1}{2\sqrt{C}KR}, \\ T < 1, e^{\frac{\xi\|a\|_{\infty}}{2h}T} < 2, \end{cases}$$

then  $\|\Phi(u)\|_{\mathcal{B}} < R$  and  $\|\Phi(u_1) - \Phi(u_2)\|_{\mathcal{B}} \leq C_1\|u_1 - u_2\|_{\mathcal{B}}$ , with  $C_1 < 1$ , showing that  $\Phi$  is a contraction. Consequently, we can apply the Banach fixed point theorem and the map  $\Phi$  has a unique fixed point.

In this last part, let us show (38). Following the same steps of the proof of Proposition 2.5, that is, multiplying (4)  $xu$ , integrating by parts and using (39), we obtain

$$\begin{aligned} \frac{3}{2} \int_0^T \int_0^L u_x^2 dx + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx \\ \leq C(1+T)\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 + \frac{1}{3} \int_0^T \int_0^L u^3(x, t) dx dt. \end{aligned}$$

As  $H^1(0, L) \hookrightarrow C([0, L])$  we obtain, by using Cauchy-Schwarz inequality and (39), that

$$\begin{aligned} \int_0^T \int_0^L |u(x, t)|^3 dx dt &\leq \int_0^T \|u\|_{L^\infty(0, L)} \int_0^L u^2(x, t) dx dt \\ &\leq \sqrt{L} \int_0^T \|u(\cdot, t)\|_{H^1(0, L)} \int_0^L u^2(x, t) dx dt \\ &\leq \sqrt{LT} \|u\|_{L^\infty(0, T, L^2(0, L))}^2 \|u\|_{L^2(0, T, H^1(0, L))} \\ &\leq \sqrt{LT} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \|u\|_{L^2(0, T, H^1(0, L))}. \end{aligned} \tag{41}$$

Consequently, we obtain

$$\begin{aligned} \frac{3}{2} \int_0^T \int_0^L u_x^2 dx + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx &\leq C(1+T)\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \\ &\quad + \frac{\sqrt{LT}}{4\varepsilon} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^4 \\ &\quad + \varepsilon \sqrt{LT} \|u\|_{L^2(0, T, H^1(0, L))}^2. \end{aligned}$$

For  $\varepsilon > 0$  small enough we obtain

$$\begin{aligned} \frac{1}{2} \int_0^T \int_0^L u_x^2 dx + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx \\ \leq C(1+T)\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 + \frac{\sqrt{LT}}{4\varepsilon} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^4, \end{aligned}$$

which completes the proof.  $\square$

**3. Study of the damping–delayed system.** In this section we are interested in studying the time–delayed system (2). In this case, the derivative of the energy  $E$  defined by

$$E_u(t) = \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{h}{2} \int_0^L \int_0^1 b(x) u^2(x, t - \rho h) d\rho dx, \quad (42)$$

satisfies

$$\begin{aligned} \frac{d}{dt} E_u(t) &= -u_{xx}^2(0) - \int_0^L a(x) u^2(x, t) dx - \int_0^L b(x) u(x, t) u(x, t - h) dx \\ &\quad + \frac{1}{2} \int_0^L b(x) u^2(x, t) dx - \frac{1}{2} \int_0^L b(x) u^2(x, t - h) dx \\ &\leq -u_{xx}^2(0) - \int_0^L a(x) u^2(x, t) dx + \frac{1}{2} \int_0^L b(x) u^2(x, t) dx \\ &\quad + \frac{1}{2} \int_0^L b(x) u^2(x, t - h) dx + \frac{1}{2} \int_0^L b(x) u^2(x, t) dx \\ &\quad - \frac{1}{2} \int_0^L b(x) u^2(x, t - h) dx \\ &\leq \int_0^L b(x) u^2(x, t) dx. \end{aligned}$$

The previous inequality means that the energy is not decreasing in general, since the term  $b(x) \geq 0$  on  $(0, L)$ . So, inspired by [41], we consider the following perturbed system

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxxx}(x, t) \\ + u(x, t) u_x(x, t) + a(x) u(x, t) \\ + b(x) (u(x, t - h) + \xi u(x, t)) = 0 & x \in (0, L), \quad t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), \quad t \in (-h, 0), \end{cases} \quad (43)$$

which is “close” to (2) but with a decreasing energy, with  $\xi$  a positive constant. So, considering the energy defined by

$$E_u(t) = \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{\xi h}{2} \int_0^L \int_0^1 b(x) u^2(x, t - \rho h) d\rho dx, \quad (44)$$

we get, for  $\xi > 1$ , that the derivative of the energy  $E_u(t)$ , for classical solutions of (43), satisfies

$$\begin{aligned} \frac{d}{dt} E_u(t) &\leq -u_{xx}^2(0) - \int_0^L a(x) u^2(x, t) dx + \frac{1}{2} \int_0^L b(x) u^2(x, t) dx \\ &\quad + \frac{1}{2} \int_0^L b(x) u^2(x, t - h) dx - \int_0^L \xi b(x) u^2(x, t) dx \\ &\quad + \frac{1}{2} \int_0^L \xi b(x) u^2(x, t) dx - \frac{1}{2} \int_0^L \xi b(x) u^2(x, t - h) dx \end{aligned}$$



$$\begin{aligned} &\leq -u_{xx}^2(0) - \int_0^L a(x)u^2(x,t)dx + \frac{1}{2} \int_0^L (b(x) - \xi b(x))u^2(x,t)dx \\ &\quad + \frac{1}{2} \int_0^L (b(x) - \xi b(x))u^2(x,t-h)dx \leq 0. \end{aligned}$$

**3.1. Local stability: A perturbation argument.** In this subsection, before presenting the main result of this section, we will study the asymptotic stability of the linear system associated with (43), namely,

$$\begin{cases} u_t(x,t) + u_x(x,t) + u_{xxx}(x,t) - u_{xxxx}(x,t) \\ + b(x)u(x,t-h) + a(x)u(x,t) + \xi b(x)u(x,t) = 0 & x \in (0,L), t > 0, \\ u(0,t) = u(L,t) = 0 & t > 0, \\ u_x(0,t) = u_x(L,t) = u_{xx}(L,t) = 0 & t > 0, \\ u(x,0) = u_0(x) & x \in (0,L), \\ u(x,t) = z_0(x,t) & x \in (0,L), t \in (-h,0), \end{cases} \quad (45)$$

with  $\xi > 1$ . Note that this system can write as the first-order system

$$\begin{cases} \frac{\partial U}{\partial t}(t) = \mathcal{A}U(t), \\ U(0) = (u_0(x), z_0(x, -\rho h)). \end{cases} \quad (46)$$

where the corresponding operator  $\mathcal{A}$  is defined by

$$\mathcal{A} = \mathcal{A}_0 + B$$

with domain  $\mathcal{D}(\mathcal{A}) = \mathcal{D}(\mathcal{A}_0)$  and the bounded operator  $B$  is defined by

$$B(u, z) = (\xi b(x)u, 0) \text{ for all } (u, z) \in \mathcal{H}.$$

Here,  $\mathcal{A}_0$  is defined by (89). The first result ensures that the system (45) is well-posed. It is a consequence of the analysis made for an auxiliary system in Appendix A.

**Proposition 3.1.** *Assume that  $a(x)$ ,  $b(x)$  are nonnegative functions in  $L^\infty(0,L)$ ,  $b(x) \geq b_0 > 0$  in  $\omega$ ,  $L < \pi\sqrt{3}$  and  $\xi > 1$ . Then, for every  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ , there exists a unique mild solution  $U \in C([0, \infty), \mathcal{H})$  for system (45). Additionally, for every  $U_0 \in \mathcal{D}(\mathcal{A})$ , the solution is classical and satisfies*

$$U \in C([0, \infty), \mathcal{D}(\mathcal{A})) \cap C^1([0, \infty), \mathcal{H}).$$

*Proof.* Assume that  $\|b\|_\infty \leq 1$ . From Theorem A.1 we have that

$$((\mathcal{A}_0 + B)U, U)_\mathcal{H} \leq \frac{(3\xi + 1)}{2} \|U\|_\mathcal{H}^2.$$

for all  $U \in \mathcal{D}(\mathcal{A})$ . In the same way, we obtain

$$((\mathcal{A}_0 + B)^* U, U)_\mathcal{H} \leq \frac{(3\xi + 1)}{2} \|U\|_\mathcal{H}^2$$

for all  $U \in \mathcal{D}(\mathcal{A}^*)$ .

Finally, since for  $\lambda = \frac{(3\xi + 1)}{2}$ ,  $\mathcal{A} - \lambda I$  is a densely defined closed linear operator, and both  $\mathcal{A} - \lambda I$  and  $(\mathcal{A} - \lambda I)^*$  are dissipative, then  $\mathcal{A}$  is the infinitesimal generator of a  $C_0$ -semigroup on  $\mathcal{H}$  satisfying  $\|e^{t\mathcal{A}}\|_{\mathcal{L}(\mathcal{H})} \leq e^{\frac{(3\xi + 1)}{2}t}$ .  $\square$

The next result ensures that the energy

$$E_u(t) = \frac{1}{2} \int_0^L u^2(x, t) dx + \frac{h}{2} \int_0^L \int_0^1 b(x) u^2(x, t - \rho h) d\rho dx, \quad (47)$$

associated of the system (45) decays exponentially, and it is a consequence of the analysis made in Appendix A.

**Proposition 3.2.** *Assume that  $a$  and  $b$  are nonnegative function in  $L^\infty(0, L)$ ,  $b(x) \geq b_0 > 0$  in  $\omega$ ,  $L < \pi\sqrt{3}$  and  $\xi > 1$ . So, there exists  $\delta > 0$  (depending on  $\xi, L, h$ ) such that is,  $\|b\|_\infty \leq \delta$  then, for every  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$  the energy of system  $E_u$ , defined in (47), goes to 0 exponentially as  $t$  goes to infinity. More precisely, there exists  $T_0 > 0$  and two positive constants  $\nu$  and  $C$  such that*

$$E_u(t) \leq C e^{-\nu t} E_u(0), \text{ for all } t > T_0.$$

*Proof.* To prove this result, let us consider the two systems

$$\begin{cases} v_t(x, t) + v_x(x, t) + v_{xxx}(x, t) - v_{xxxx}(x, t) \\ + a(x) v(x, t) + b(x) z^1(1) + \xi b(x) v(x, t) = 0 & x \in (0, L), \ t > 0, \\ v(0, t) = v(L, t) = 0 & t > 0, \\ v_x(0, t) = v_x(L, t) = v_{xx}(L, t) = 0 & t > 0, \\ v(x, 0) = u_0(x) & x \in (0, L), \\ h z_t^1(x, \rho, t) + z_\rho^1(x, \rho, t) = 0 & x \in (0, L), \ \rho \in (0, 1), \ t > 0, \\ z^1(x, 0, t) = v(x, t) & x \in (0, L), \ t > 0, \\ z^1(x, \rho, 0) = v(x, -\rho h) = z_0(x, -\rho h) & x \in (0, L), \ \rho \in (0, 1) \end{cases} \quad (48)$$

and

$$\begin{cases} w_t(x, t) + w_x(x, t) + w_{xxx}(x, t) - w_{xxxx}(x, t) \\ + a(x) w(x, t) + b(x) z^2(1) = \xi b(x) v(x, t) & x \in (0, L), \ t > 0, \\ w(0, t) = w(L, t) = 0 & t > 0, \\ w_x(0, t) = w_x(L, t) = w_{xx}(L, t) = 0 & t > 0, \\ w(x, 0) = 0 & x \in (0, L), \\ h z_t^2(x, \rho, t) + z_\rho^2(x, \rho, t) = 0 & x \in (0, L), \ \rho \in (0, 1), \ t > 0, \\ z^2(x, 0, t) = w(x, t) & x \in (0, L), \ t > 0, \\ z^2(x, \rho, 0) = 0 & x \in (0, L), \ \rho \in (0, 1). \end{cases} \quad (49)$$

Define  $u = v + w$  and  $z = z^1 + z^2$ , then

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxx}(x, t) \\ + a(x) u(x, t) + b(x) z(1) = 0 & x \in (0, L), \ t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ h z_t(x, \rho, t) + z_\rho(x, \rho, t) = 0 & x \in (0, L), \ \rho \in (0, 1), \ t > 0, \\ z(x, 0, t) = u(x, t) & x \in (0, L), \ t > 0, \\ z(x, \rho, 0) = z_0(x, -\rho h) & x \in (0, L), \ \rho \in (0, 1). \end{cases} \quad (50)$$

Fix  $0 < \eta < 1$  and pick

$$T_0 = \frac{1}{2\gamma} \ln \left( \frac{2\xi\kappa}{\eta} \right) + 1, \quad (51)$$

so  $\kappa e^{-2\gamma T_0} < \frac{\eta}{2\xi}$ , where  $\kappa$  and  $\gamma$  are given according to Proposition A.2. As we have that  $E_v(0) \leq \xi E_u(0)$ , we obtain

$$E_v(T_0) \leq \kappa e^{-2\gamma T_0} E_v(0) \leq \frac{\eta}{2\xi} E_v(0) \leq \frac{\eta}{2} E_u(0).$$

Now, consider  $\varepsilon > 0$  such that  $0 < \eta + \varepsilon < 1$  and

$$\|b\|_\infty \leq \min \left\{ \frac{\sqrt{\varepsilon}}{\sqrt{\xi^3 \kappa^{\frac{1}{2}} e^{\frac{(3\xi+1)}{2} \left( \frac{1}{2\gamma} \ln \left( \frac{2\xi\kappa}{\eta} \right) + 2 \right)}}}, 1 \right\}.$$

Since  $u = v + w$  we obtain from (47)-(50) the following estimate

$$\begin{aligned} E_u(T_0) &\leq \int_0^L v^2(x, T_0) dx + h\xi \int_0^L \int_0^1 b(x) v^2(x, T_0 - \rho h) d\rho dx \\ &\quad + \int_0^L w^2(x, T_0) dx + h\xi \|b\|_\infty \int_0^L \int_0^1 w^2(x, T_0 - \rho h) d\rho dx \\ &\leq 2E_v(T_0) + \|(w(\cdot, T_0), w(\cdot, T_0 - h(\cdot)))\|_{\mathcal{H}}^2. \end{aligned}$$

Noting that

$$(w(T_0), w(\cdot, T_0 - h(\cdot))) = \int_0^{T_0} e^{\mathcal{A}(t-s)} (\xi b(x) v, 0) ds,$$

we get

$$\begin{aligned} \|(w(T_0), w(\cdot, T_0 - h(\cdot)))\|_{\mathcal{H}} &\leq \int_0^{T_0} e^{\frac{(3\xi+1)}{2}(T_0-s)} \left( \int_0^L |\xi b(x) v|^2 dx \right)^{\frac{1}{2}} ds \\ &\leq \sqrt{2\xi} \|b\|_\infty \int_0^{T_0} e^{\frac{(3\xi+1)}{2}(T_0-s)} \kappa^{\frac{1}{2}} e^{-\gamma s} E_v^{\frac{1}{2}}(0) ds \\ &\leq \sqrt{2\xi} \|b\|_\infty \kappa^{\frac{1}{2}} E_v^{\frac{1}{2}}(0) \int_0^{T_0} e^{\frac{(3\xi+1)}{2}(T_0-s)} e^{-\gamma s} ds, \end{aligned}$$

so

$$\|(w(T_0), w(\cdot, T_0 - h(\cdot)))\|_{\mathcal{H}}^2 \leq 2\xi^2 \|b\|_\infty^2 e^{(3\xi+1)T_0} \kappa E_v(0),$$

where we have used that

$$\int_0^{T_0} e^{\frac{(3\xi+1)}{2}(T_0-s)} e^{-\gamma s} ds = \frac{e^{\frac{(3\xi+1)}{2}T_0} - e^{-\gamma T_0}}{\frac{(3\xi+1)}{2} + \gamma} \quad \text{and} \quad \frac{(3\xi+1)}{2} + \gamma > 2.$$

Therefore, by the previous inequality, we have

$$E_u(T_0) \leq \eta E_u(0) + 2\xi^3 \|b\|_\infty^2 e^{(3\xi+1)T_0} \kappa E_u(0) < (\eta + \varepsilon) E_u(0).$$

Finally, for  $T_0 > 0$  defined in (51), consider the function  $v(x, t)$  solution of (48) with the initial data  $v(x, 0) = u(x, T_0)$ , with  $x \in (0, L)$  and  $y(x, t)$  solution of (49) with null initial data, that is,  $y(x, 0) = 0$ , with  $x \in (0, L)$ . Here,  $z^1(x, \rho, t) =$

$v(x, t - \rho h)$  and  $z^2(x, \rho, t) = w(x, t - \rho h)$ . Define  $w(x, t) = v(x, t) + y(x, t)$  and  $\bar{z}(x, \rho, t) = z^1(x, \rho, t) + z^2(x, \rho, t)$ , we get

$$\begin{cases} w_t(x, t) + w_x(x, t) + w_{xxx}(x, t) - w_{xxxx}(x, t) \\ + a(x)w(x, t) + b(x)\bar{z}(1) = 0 & x \in (0, L), t > 0, \\ w(0, t) = w(L, t) = 0 & t > 0, \\ w_x(0, t) = w_x(L, t) = w_{xx}(L, t) = 0 & t > 0, \\ w(x, 0) = u(x, T_0) & x \in (0, L), \\ h\bar{z}_t(x, \rho, t) + \bar{z}_\rho(x, \rho, t) = 0 & x \in (0, L), \rho \in (0, 1), t > 0, \\ \bar{z}(x, 0, t) = w(x, t) & x \in (0, L), t > 0, \\ \bar{z}(x, \rho, 0) = z(x, \rho, T_0) & x \in (0, L), \rho \in (0, 1). \end{cases} \quad (52)$$

Therefore,  $w(x, t) = u(x, t + T_0)$  and  $\bar{z}(x, \rho, t) = z(x, \rho, t + T_0)$ . Thanks to the fact that  $E_v(0) \leq \xi E_u(T_0)$  it follows that

$$\begin{aligned} E_u(2T_0) &\leq \int_0^L v^2(x, T_0)dx + \int_0^L y^2(x, T_0)dx + h \int_0^L \int_0^1 b(x)v^2(x, T_0 - \rho h)d\rho dx \\ &\quad + h \int_0^L \int_0^1 b(x)y^2(x, T_0 - \rho h)d\rho dx \\ &\leq 2E_v(T_0) + 2\xi^2 \|b\|_\infty^2 e^{(3\xi+1)T_0} \kappa E_v(0) \\ &\leq \frac{\eta}{\xi} E_v(0) + \varepsilon E_u(T_0) \\ &\leq \eta E_u(T_0) + \varepsilon E_u(T_0) \\ &\leq (\eta + \varepsilon)^2 E_u(0). \end{aligned}$$

Proceeding analogously, we get

$$E_u(mT_0) \leq (\eta + \varepsilon)^m E_u(0),$$

for all  $m \in \mathbb{N}^*$ . Now, to finish, let  $t > T_0$ , then there exists  $m \in \mathbb{N}^*$  such that  $t = mT_0 + s$  with  $0 \leq s < T_0$ , we have

$$\begin{aligned} E_u(t) &\leq e^{2\|b\|_\infty(t-mT_0)} E_u(mT_0) \\ &\leq e^{2\|b\|_\infty s} (\eta + \varepsilon)^m E_u(0) \\ &= e^{2\|b\|_\infty s} e^{-\nu mT_0} E_u(0) \\ &= e^{2\|b\|_\infty s} e^{-\nu(t-s)} E_u(0) \\ &\leq e^{(2\|b\|_\infty + \nu)T_0} e^{-\nu t} E_u(0), \end{aligned}$$

where

$$\nu = \frac{1}{T_0} \ln \left( \frac{1}{(\eta + \varepsilon)} \right), \quad (53)$$

showing the proposition.  $\square$

**3.2. Proof of Theorem 1.2.** By a classical way (see Section 2.3) we can ensure that the system (2) is well-posed. Additionally,  $u$  satisfies

$$\|(u(\cdot, t), u(\cdot, t - h(\cdot)))\|_{\mathcal{H}}^2 \leq e^{2\xi\|b\|_\infty t} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2,$$

which implies that

$$\|u\|_{C([0, T], L^2(0, L))} \leq e^{\xi\|b\|_\infty T} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}$$

and

$$\|u\|_{L^2(0,T,L^2(0,L))} \leq T^{\frac{1}{2}} e^{\xi \|b\|_\infty T} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}.$$

Let us now divide the rest of the proof into several steps.

**Step 1.** First estimate for the linear system associated to (2).

Multiplying the linear system associated to (2) by  $xu$ , integrating by parts we have

$$\begin{aligned} & \frac{3}{2} \int_0^T \int_0^L u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx dt \\ & \leq \frac{L}{2} \|u_0\|_{L^2(0,L)}^2 + \frac{1}{2} (1 + 2L\|a\|_\infty + L\|b\|_\infty) \int_0^T \int_0^L u^2(x, t) dx dt \\ & \quad + \frac{L}{2} \|b\|_\infty \int_0^T \int_0^L u^2(x, t-h) dx dt \\ & \leq \frac{L}{2} \|u_0\|_{L^2(0,L)}^2 + \frac{1}{2} (1 + 2L(\|a\|_\infty + \|b\|_\infty)) \int_0^T \int_0^L u^2(x, t) dx dt \\ & \quad + \frac{L}{2} (\xi \|b\|_\infty h) \int_0^1 \int_0^L z_0^2(x, -\rho h) dx d\rho \\ & \leq \frac{1}{2} (1 + 2L(\|a\|_\infty + \|b\|_\infty)) T e^{2\xi \|b\|_\infty T} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \\ & \quad + \frac{L}{2} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \\ & \leq \frac{1}{2} (L + (1 + 2L(\|a\|_\infty + \|b\|_\infty)) T e^{2\xi \|b\|_\infty T}) \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \end{aligned}$$

**Step 2.** First estimate for the nonlinear system (2).

Now, multiplying the nonlinear system (2) by  $xu$ , integrating by parts we have

$$\begin{aligned} & \frac{3}{2} \int_0^T \int_0^L u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx dt \\ & \leq \frac{1}{2} (L + (1 + 2L(\|a\|_\infty + \|b\|_\infty)) T e^{2\xi \|b\|_\infty T}) \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \\ & \quad + \int_0^T \int_0^L |u|^3 dx dt. \end{aligned}$$

Since we have  $H^1(0, L) \hookrightarrow C([0, L])$  we obtain, using Hölder's inequality

$$\begin{aligned} \int_0^T \int_0^L |u(x, t)|^3 dx dt & \leq \sqrt{LT} \|u\|_{L^\infty(0,T,L^2(0,L))}^2 \|u\|_{L^2(0,T,H^1(0,L))} \\ & \leq \sqrt{LT} e^{2\xi \|b\|_\infty T} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \|u\|_{L^2(0,T,H^1(0,L))}. \end{aligned}$$

Putting the inequalities together and using Cauchy-Schartz inequality yields that

$$\begin{aligned} & \|u\|_{L^2(0,T;H^2(0,L))}^2 \\ & \leq 3(2 + L + 2L(\|a\|_\infty + \|b\|_\infty)) (1 + T e^{2\xi \|b\|_\infty T}) \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^2 \\ & \quad + \frac{\sqrt{LT}}{2\varepsilon} \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}}^4. \end{aligned}$$

**Step 3.** Second estimate for the linear system associated to (2).

Multiplying the linear system associated to (2) by  $xu$ , integrating by parts we also have,

$$\begin{aligned}
& \frac{3}{2} \int_0^T \int_0^L u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx dt \\
& \leq L E_u(0) + \frac{1}{2} (1 + 2L \|a\|_\infty + 2L \|b\|_\infty) \int_0^T \int_0^L u^2(x, t) dx dt \\
& \quad + \frac{Lh}{2} \int_0^L \int_0^1 b(x) z_0^2(x, -\rho h) d\rho dx \\
& \leq (2L + 1 + 2L \|a\|_\infty + 2L \|b\|_\infty) (1 + T e^{2\|b\|_\infty T}) E_u(0).
\end{aligned}$$

**Step 4.** Second estimate for the nonlinear system (2).

Multiplying the system (2) by  $xu$ , integrating by parts and using the fact that  $E_u(0) \leq 1$ , yields that

$$\begin{aligned}
& \frac{1}{2} \int_0^T \int_0^L u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx dt \\
& \leq (2L + 1 + 2L \|a\|_\infty + 2L \|b\|_\infty) (1 + T e^{2\|b\|_\infty T}) E_u(0) \\
& \quad + \frac{\sqrt{LT}}{4\varepsilon} e^{4\|b\|_\infty T} E_u(0)
\end{aligned}$$

which implies that

$$\begin{aligned}
& \frac{1}{2} \int_0^T \int_0^L u_x^2 dx dt + \frac{5}{2} \int_0^T \int_0^L u_{xx}^2 dx dt \\
& \leq C_3(a, b, h, L) (1 + \sqrt{T} e^{4\|b\|_\infty T} + T e^{2\|b\|_\infty T}) E_u(0)
\end{aligned}$$

where

$$C_3(a, b, h, L) = \left( 2L + \frac{\sqrt{L}}{4\varepsilon} + 1 + 2L \|a\|_\infty + 2L \|b\|_\infty \right).$$

Here, we used again that  $H^1(0, L) \hookrightarrow C([0, L])$  and so

$$\int_0^T \int_0^L |u(x, t)|^3 dx dt \leq \sqrt{LT} e^{2\|b\|_\infty T} E_u(0) \|u\|_{L^2(0, T, H^1(0, L))}.$$

Consequently,

$$\|u\|_{\mathcal{B}}^2 \leq 2C_3(a, b, h, L) (1 + \sqrt{T} e^{4\|b\|_\infty T} + e^{2\|b\|_\infty T} + 2T e^{2\|b\|_\infty T}) E_u(0).$$

**Step 5.** Asymptotic behavior of the energy (3).

Pick the initial data  $\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \leq r$ , where  $r$  to be chosen later. The solution  $u$  of (2) can be written as  $u = u^1 + u^2$  where  $u^1$  is solution of

$$\begin{cases} u_t^1(x, t) + u_x^1(x, t) + u_{xxx}^1(x, t) - u_{xxxxx}^1(x, t) \\ \quad + a(x) u^1(x, t) + b(x) u^1(x, t - h) = 0 & x \in (0, L), t > 0, \\ u^1(0, t) = u^1(L, t) = 0 & t > 0, \\ u_x^1(0, t) = u_x^1(L, t) = u_{xx}^1(L, t) = 0 & t > 0, \\ u^1(x, 0) = u_0(x) & x \in (0, L), \\ u^1(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0), \end{cases}$$

and  $u^2$  is solution of

$$\begin{cases} u_t^2(x, t) + u_x^2(x, t) + u_{xxx}^2(x, t) - u_{xxxxx}^2(x, t) \\ \quad + a(x) u^2(x, t) + b(x) u^2(x, t - h) = -u(x, t) u_x(x, t) & x \in (0, L), t > 0, \\ u^2(0, t) = u^2(L, t) = 0 & t > 0, \\ u_x^2(0, t) = u_x^2(L, t) = u_{xx}^2(L, t) = 0 & t > 0, \\ u^2(x, 0) = 0 & x \in (0, L), \\ u^2(x, t) = 0 & x \in (0, L), t \in (-h, 0). \end{cases}$$

Fix  $\eta \in (0, 1)$ , thanks to the Proposition 3.2, there exists  $T_1 > 0$  such that

$$e^{(2\|b\|_{\infty} + \nu)T_0 - \nu T_1} < \frac{\eta}{2} \iff T_1 > -\frac{1}{\nu} \ln\left(\frac{\eta}{2}\right) + \left(\frac{2\|b\|_{\infty}}{\nu} + 1\right) T_0$$

with  $\nu$  defined by (53) satisfying

$$E_{u^1}(T_1) \leq \frac{\eta}{2} E_{u^1}(0).$$

Thus, we have thanks to the previous inequality that

$$\begin{aligned} E_u(T_1) &\leq \int_0^L |u^1(x, T_1)|^2 dx + \int_0^L |u^2(x, T_1)|^2 dx \\ &\quad + h \int_0^L \int_0^1 b(x) |u^1(x, T_1 - \rho h)|^2 d\rho dx \\ &\quad + h \int_0^L \int_0^1 b(x) |u^2(x, T_1 - \rho h)|^2 d\rho dx \\ &\leq 2E_{u^1}(T_1) + \int_0^L |u^2(x, T_1)|^2 dx + h\|b\|_{\infty} \int_0^L \int_0^1 |u^2(x, T_1 - \rho h)|^2 d\rho dx \\ &\leq \eta E_u(0) + \|(u^2(T_1, u^2(\cdot, T_1 - h(\cdot))))\|_{\mathcal{H}}^2 \end{aligned} \tag{54}$$

So, with (54) in hand together with the estimates of steps 1, 2, 3, and 4, we get

$$\begin{aligned} E_u(T_1) &\leq \eta E_u(0) + e^{(3\xi+1)T_1} \|uu_x\|_{L^1(0, T_1), L^2(0, L)}^2 \\ &\leq \eta E_u(0) + e^{(3\xi+1)T_1} 2T_1^{\frac{1}{2}} \|u\|_{\mathcal{B}}^4 \\ &\leq E_u(0)(\eta + \mathcal{R}r). \end{aligned}$$

where

$$\mathcal{R} = e^{(3\xi+1)T_1} T_1^{\frac{1}{2}} 4C_3^2(a, b, h, L) (1 + \sqrt{T_1} e^{4\|b\|_{\infty} T_1} + e^{2\|b\|_{\infty} T_1} + 2T_1 e^{2\|b\|_{\infty} T_1})^2.$$

Therefore, given  $\varepsilon > 0$  such that  $\eta + \varepsilon < 1$ , we can take  $r > 0$  small enough such that

$$r < \frac{\varepsilon}{e^{(3\xi+1)T_1} T_1^{\frac{1}{2}} 4C_3^2(a, b, h, L)(1 + \sqrt{T_1} e^{4\|b\|_\infty T_1} + e^{2\|b\|_\infty T_1} + 2T_1 e^{2\|b\|_\infty T_1})^2},$$

in order to have

$$E_u(T_1) \leq (\eta + \varepsilon)E_u(0), \quad (55)$$

with  $\eta + \varepsilon < 1$ .

Finally, the solution to the problem

$$\begin{cases} v_t(x, t) + v_x(x, t) + v_{xxx}(x, t) - v_{xxxx}(x, t) \\ + a(x)v(x, t) + b(x)v(x, t - h) + v(x, t)v_x(x, t) = 0 & x \in (0, L), t > 0, \\ v(0, t) = v(L, t) = 0 & t > 0, \\ v_x(0, t) = v_x(L, t) = v_{xx}(L, t) = 0 & t > 0, \\ v(x, 0) = u(x, T_1) & x \in (0, L), \\ v(x, t) = u(x, T_1 + t) & x \in (0, L), t \in (-h, 0), \end{cases}$$

can be written as  $u^1 + u^2$ , where  $u^1$  is a solution of

$$\begin{cases} u_t^1(x, t) + u_x^1(x, t) + u_{xxx}^1(x, t) - u_{xxxx}^1(x, t) \\ + a(x)u^1(x, t) + b(x)u^1(x, t - h) = 0 & x \in (0, L), t > 0, \\ u^1(0, t) = u^1(L, t) = 0 & t > 0, \\ u_x^1(0, t) = u_x^1(L, t) = u_{xx}^1(L, t) = 0 & t > 0, \\ u^1(x, 0) = u(x, T_1) & x \in (0, L), \\ u^1(x, t) = u(x, T_1 + t) & x \in (0, L), t \in (-h, 0), \end{cases}$$

and  $u^2$  is a solution of

$$\begin{cases} u_t^2(x, t) + u_x^2(x, t) + u_{xxx}^2(x, t) - u_{xxxx}^2(x, t) \\ + a(x)u^2(x, t) + b(x)u^2(x, t - h) = -v(x, t)v_x(x, t) & x \in (0, L), t > 0, \\ u^2(0, t) = u^2(L, t) = 0 & t > 0, \\ u_x^2(0, t) = u_x^2(L, t) = u_{xx}^2(L, t) = 0 & t > 0, \\ u^2(x, 0) = 0 & x \in (0, L), \\ u^2(x, t) = 0 & x \in (0, L), t \in (-h, 0), \end{cases}$$

From the uniqueness of solutions, we obtain that  $v(x, t) = u(x, T_1 + t)$  and  $v(x, t - \rho h) = u(x, t + T_1 - \rho h)$  with  $\rho \in (0, 1)$ . Moreover, analogously as we did before

$$E_u(2T_1) \leq \eta E_{u^1}(0) + \|(u^2(T_1, u^2(\cdot, T_1 - h(\cdot))))\|_{\mathcal{H}}^2$$

So, by the previous inequality, using again steps 1, 2, 3, 4 and (55), we have

$$\begin{aligned} E_u(2T_1) &\leq \eta E_u(T_1) + e^{(3\xi+1)T_1} \|v v_x\|_{L^1(0, T_1), L^2(0, L)}^2 \\ &\leq \eta E_u(T_1) + e^{(3\xi+1)T_1} 2T_1^{\frac{1}{2}} \|v\|_{\mathcal{B}}^4 \\ &\leq \eta E_u(T_1) + \mathcal{R} E_v^2(0) \\ &\leq \eta(\eta + \varepsilon)E_u(0) + \frac{\varepsilon}{r} E_u^2(T_1) \\ &\leq \eta(\eta + \varepsilon)E_u(0) + \frac{\varepsilon}{r} (\eta + \varepsilon)^2 E_u^2(0) \\ &\leq (\eta + \varepsilon)^2 E_u(0). \end{aligned}$$



Following the same steps as it was done in Proposition 3.2, we obtain

$$E_u(t) \leq C' e^{-\nu t} E_u(0), \text{ for all } t > T^*, \quad (56)$$

where

$$T^* = -\frac{1}{\mu} \ln\left(\frac{\eta}{2}\right) + \left(\frac{2\|b\|_\infty}{\mu} + 1\right) T_0,$$

with  $0 < \eta < 1$ ,  $\mu = \frac{1}{T_0} \ln\left(\frac{1}{(\eta+\varepsilon)}\right)$ ,  $T_0 = \frac{1}{2\gamma} \ln\left(\frac{2\xi\kappa}{\eta}\right) + 1$  and the constants  $\gamma$  and  $\kappa$  are given as in Proposition A.2.

From estimate

$$\frac{d}{dt} E_u(t) \leq \int_0^L b(x) u^2(x, t) dx \leq 2\|b\|_\infty E_u(t),$$

we obtain (for  $\|b\|_\infty < \delta$ )

$$E_u(t) \leq e^{2\delta t} E_u(0) \text{ for all } t \geq 0. \quad (57)$$

Combining (56) and (57) it follows that

$$E_u(t) \leq C e^{-\nu t} E_u(0), \text{ for all } t \geq 0, \quad (58)$$

where  $C = \max\{C', e^{(2\delta+\nu)T^*}\}$ , so Theorem 1.2 is achieved.  $\square$

**4. Asymptotic behavior of  $\mu_i$ -system.** Let us return to study the behavior of the solution of  $\mu_i$ -system. The task of this section is to prove the exponential stability for the solution of (4).

**4.1. Proof of Theorem 1.3.** We prove the local stability result which is based on the appropriate choice of Lyapunov functional. We start proving that the energy, associated to the solutions of (4), when (6) is verified, decays exponentially. To do it let us consider the following Lyapunov functional

$$V(t) = E(t) + \alpha V_1(t) + \beta V_2(t), \quad (59)$$

where  $\alpha$  and  $\beta$  are positive constants that will be fixed small enough later on and  $E(t)$  is the energy defined by (5). Here,  $V_1$  and  $V_2$  are defined by

$$V_1(t) = \int_0^L x u^2(x, t) dx \quad (60)$$

and

$$V_2(t) = \frac{\xi}{2} \int_0^L \int_0^1 (1 - \rho) a(x) u^2(x, t - \rho h) d\rho dx, \quad (61)$$

respectively. It is clear that the two functional  $E$  and  $V$  are equivalent in the sense that

$$E(t) \leq V(t) \leq (1 + \max\{2\alpha L, \beta\}) E(t). \quad (62)$$

Now, let  $u$  be a solution of (4) with  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{D}(\mathcal{A})$  satisfying  $\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \leq r$ . Differentiating (60), using the equation (4) and integrating by parts, we obtain that

$$\begin{aligned} \frac{d}{dt} V_1(t) &= \int_0^L u^2(x, t) dx - 3 \int_0^L u_x^2(x, t) dx - 2 \int_0^L x u(x, t) a(x) \mu_1 u(x, t) dx \\ &\quad - 2 \int_0^L x a(x) \mu_2 u(x, t - h) u(x, t) dx \\ &\quad + \frac{2}{3} \int_0^L u^3(x, t) dx - 5 \int_0^L u_{xx}^2(x, t) dx. \end{aligned} \quad (63)$$

Moreover, by differentiating (61) and using integration by parts, we have

$$\begin{aligned} \frac{d}{dt} V_2(t) &= \xi \int_0^L \int_0^1 (1-\rho) a(x) u(x, t-\rho h) u_t(x, t-\rho h) d\rho dx \\ &= \frac{\xi}{2h} \int_0^L a(x) u^2(x, t) dx - \frac{\xi}{2h} \int_0^L \int_0^1 a(x) u^2(x, t-\rho h) d\rho dx, \end{aligned} \quad (64)$$

since

$$2 \int_0^1 (1-\rho) u(x, t-\rho h) u_\rho(x, t-\rho h) d\rho = -u^2(x, t) + \int_0^1 u^2(x, t-\rho h) d\rho.$$

An argument analogous to the one made in Proposition 2.4 yields that

$$\begin{aligned} E'_u(t) &\leq -\frac{1}{2} u_{xx}^2(0) + \left( -\mu_1 + \frac{\xi}{2h} + \frac{\mu_2}{2} \right) \int_0^L a(x) u^2(x) dx \\ &\quad + \left( \frac{\mu_2}{2} - \frac{\xi}{2h} \right) \int_0^L a(x) u^2(x, t-h) dx, \end{aligned} \quad (65)$$

and consequently,

$$E'_u(t) \leq -C_0 \left[ u_{xx}^2(0) + \int_0^L a(x) u^2(x) dx + \int_0^L a(x) u^2(x, t-h) dx \right] \quad (66)$$

where  $C_0 > 0$  is given by

$$C_0 = \min \left\{ \frac{1}{2}, \mu_1 - \frac{\xi}{2h} - \frac{\mu_2}{2}, -\frac{\mu_2}{2} + \frac{\xi}{2h} \right\}$$

for all solutions of system (4). Thus, from (65), (59), (63), (64) and Cauchy-Schwarz inequality, we have for any  $\gamma > 0$ ,

$$\begin{aligned} V'(t) + 2\gamma V(t) &= E'(t) + \alpha V'_1(t) + \beta V'_2(t) + 2\gamma E(t) + 2\gamma \alpha V_1(t) + 2\gamma \beta V_2(t) \\ &\leq -\frac{1}{2} u_{xx}^2(0) \\ &\quad + \left( -\mu_1 + \frac{\xi}{2h} + \frac{\mu_2}{2} + 2\alpha L\mu_1 + \alpha L\mu_2 + \frac{\beta\xi}{2h} \right) \int_0^L a(x) u^2(x, t) dx \\ &\quad + \left( \frac{\mu_2}{2} - \frac{\xi}{2h} + \alpha L\mu_2 \right) \int_0^L \int_0^1 a(x) u^2(x, t-h) d\rho dx \\ &\quad + \left( -\frac{\beta\xi}{2h} + \gamma\xi + \gamma\xi\beta \right) \int_0^L \int_0^1 a(x) u^2(x, t-\rho h) d\rho dx \\ &\quad + (\alpha + \gamma + 2\gamma L\alpha) \int_0^L u^2(x, t) dx - 3\alpha \int_0^L u_x^2(x, t) dx \\ &\quad + \frac{2\alpha}{3} \int_0^L u^3(x, t) dx - 5\alpha \int_0^L u_{xx}^2(x, t) dx. \end{aligned}$$

Thanks to Poincaré's inequality, we get

$$\begin{aligned} V'(t) + 2\gamma V(t) &\leq -\frac{1}{2} u_{xx}^2(0) - 5\alpha \int_0^L u_{xx}^2(x, t) dx \\ &\quad + \left( -\mu_1 + \frac{\xi}{2h} + \frac{\mu_2}{2} + 2\alpha L\mu_1 + \alpha L\mu_2 + \frac{\beta\xi}{2h} \right) \int_0^L a(x) u^2(x, t) dx \end{aligned}$$

$$\begin{aligned}
 & + \left( \frac{\mu_2}{2} - \frac{\xi}{2h} + \alpha L \mu_2 \right) \int_0^L \int_0^1 a(x) u^2(x, t-h) d\rho dx \\
 & + \left( \frac{L^2}{\pi^2} (\alpha + \gamma + 2\gamma L \alpha) - 3\alpha \right) \int_0^L u_x^2(x, t) dx + \frac{2\alpha}{3} \int_0^L u^3(x, t) dx \\
 & + \left( \gamma \xi \beta + \gamma \xi - \frac{\beta \xi}{2h} \right) \int_0^L \int_0^1 a(x) u^2(x, t - \rho h) d\rho dx.
 \end{aligned}$$

Similar argument as in (41), Cauchy-Schwarz inequality, (66) and since  $H_0^1(0, L) \hookrightarrow C([0, L])$ , yields that

$$\begin{aligned}
 \int_0^L u^3(x, t) dx & \leq \|u(\cdot, t)\|_{L^\infty(0, L)}^2 \int_0^L |u(x, t)| dx \\
 & \leq L \sqrt{L} \|u_x(\cdot, t)\|_{L^2(0, L)}^2 \|u(\cdot, t)\|_{L^2(0, L)} \\
 & \leq L \sqrt{L} \|u_x(\cdot, t)\|_{L^2(0, L)}^2 \|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \\
 & \leq L^{\frac{3}{2}} r \|u_x(\cdot, t)\|_{L^2(0, L)}^2.
 \end{aligned}$$

Therefore,

$$\begin{aligned}
 V'(t) + 2\gamma V(t) & \leq \left( \frac{L^2}{\pi^2} (\gamma(1 + 2L\alpha) + \alpha) - 3\alpha + \frac{2\alpha L^{\frac{3}{2}} r}{3} \right) \int_0^L u_x^2(x, t) dx \\
 & + \left( \gamma \xi \beta + \gamma \xi - \frac{\beta \xi}{2h} \right) \int_0^L \int_0^1 a(x) u^2(x, t - \rho h) d\rho dx.
 \end{aligned}$$

Consequently, taking  $\alpha, \beta, \gamma$  and  $r$  as in the statement of proposition we have that

$$V'(t) + 2\gamma V(t) \leq 0. \quad (67)$$

Finally, from (62) and (67), we obtain

$$E(t) \leq V(t) \leq e^{-2\gamma t} V(0) \leq (1 + \max\{2\alpha L, \beta\}) e^{-2\gamma t} E(0), \text{ for all } t > 0.$$

By the density of  $\mathcal{D}(\mathcal{A})$  in  $\mathcal{H}$  the result extends to arbitrary  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ .  $\square$

**4.2. Proof of Theorem 1.4.** Now let us remove the hypotheses of the initial data being small in Theorem (1.3). To do it, let  $u$  be the solution of (4) with  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{D}(\mathcal{A})$ . Integrating (66) between 0 and  $T > h$ , we have

$$E(T) - E(0) \leq -C_0 \left( \int_0^T u_{xx}^2(0, t) dt + \int_0^T \int_0^L a(x) (u^2(x) + u^2(x, t-h)) dx dt \right),$$

where

$$C_0 = \min \left\{ \frac{1}{2}, \mu_1 - \frac{\xi}{2h} - \frac{\mu_2}{2}, -\frac{\mu_2}{2} + \frac{\xi}{2h} \right\},$$

which is equivalent to

$$\int_0^T u_{xx}^2(0, t) dt + \int_0^T \int_0^L a(x) (u^2(x) dx dt + u^2(x, t-h)) dx dt \leq \frac{1}{C_0} (E(0) - E(T)). \quad (68)$$

Observe that the proof of Theorem 1.4 is a direct consequence of the following observability inequality

$$E(0) \leq C \left( \int_0^T u_{xx}^2(0, t) dt + \int_0^T \int_0^L a(x) (u^2(x) + u^2(x, t-h)) dx dt \right), \quad (69)$$

for the solutions of the nonlinear system (4).

In fact, suppose that (69) is verified and, as the energy is non-increasing, we have, thanks to (68), that

$$\begin{aligned} E(T) &\leq C \left( \int_0^T u_{xx}^2(0, t) dt + \int_0^T \int_0^L a(x) (u^2(x) dx dt + u^2(x, t-h) dx dt) \right) \\ &\leq \frac{C}{C_0} (E(0) - E(T)), \end{aligned}$$

which implies that

$$E(T) \leq \gamma E(0), \text{ with } \gamma = \frac{\frac{C}{C_0}}{1 + \frac{C}{C_0}} < 1. \quad (70)$$

The same argument used on the interval  $[(m-1)T, mT]$  for  $m = 1, 2, \dots$ , yields that

$$E(mT) \leq \gamma E((m-1)T) \leq \dots \leq \gamma^m E(0).$$

Thus, we have

$$E(mT) \leq e^{-\nu m T} E(0)$$

with

$$\nu = \frac{1}{T} \ln \left( 1 + \frac{C_0}{C} \right) > 0. \quad (71)$$

For an arbitrary positive  $t$ , there exists  $m \in \mathbb{N}^*$  such that  $(m-1)T < t \leq mT$ , and by the non-increasing property of the energy, we conclude that

$$E(t) \leq E((m-1)T) \leq e^{-\nu(m-1)T} E(0) \leq \frac{1}{\gamma} e^{-\nu t} E(0).$$

By the density of  $\mathcal{D}(\mathcal{A})$  in  $\mathcal{H}$ , we deduce that the exponential decay of the energy  $E$  holds for any initial data in  $\mathcal{H}$ , showing so Theorem 1.4.  $\square$

Let us now prove the inequality (69).

*Proof of the observability inequality.* First, we can obtain, similarly to (30), the following inequality

$$\begin{aligned} T \int_0^L u_0^2(x) dx &\leq \|u\|_{L^2(0, T, L^2(0, L))}^2 + T \int_0^T u_{xx}^2(0, t) dt \\ &\quad + T(2\mu_1 + \mu_2) \int_0^T \int_0^L a(x) \mu_1 u^2(x, t) dx dt \\ &\quad + T\mu_2 \int_0^T \int_0^L a(x) \mu_2 u^2(x, t-h) dx dt \end{aligned} \quad (72)$$

Now, multiplying (15)<sub>4</sub> by  $\xi a(x) z(x, \rho, s)$  and integrating in  $(0, L) \times (0, 1)$  we have that

$$\frac{d}{ds} \frac{\xi}{2} \int_0^L \int_0^1 a(x) (z(x, \rho, s))^2 d\rho dx = \frac{\xi}{2h} \int_0^L a(x) ((z(x, 0, s))^2 - (z(x, 1, s))^2) dx. \quad (73)$$

Therefore,

$$\begin{aligned} \frac{\xi}{2h} \int_0^t \int_0^L a(x)(z^2(x, 0, s) - z^2(x, 1, s)) dx ds = \\ \frac{\xi}{2} \int_0^L \int_0^1 a(x)(z^2(x, \rho, t) - z^2(x, \rho, 0)) d\rho dx. \end{aligned} \quad (74)$$

From (74) we obtain,

$$\begin{aligned} \frac{\xi}{2} \int_0^L \int_0^1 a(x) z^2(x, \rho, 0) d\rho dx \leq \frac{\xi}{2} \int_0^L \int_0^1 a(x) z^2(x, \rho, t) d\rho dx \\ + \frac{\xi}{2h} \int_0^t \int_0^L a(x) z^2(x, 1, s) dx ds. \end{aligned} \quad (75)$$

So, integrating (75) from 0 to  $T$  yields that

$$\begin{aligned} T \frac{\xi}{2} \int_0^L \int_0^1 a(x) z^2(x, \rho, 0) d\rho dx \leq \frac{\xi}{2} \int_0^T \int_0^L \int_0^1 a(x) z^2(x, \rho, t) d\rho dx dt \\ + \frac{T\xi}{2h} \int_0^T \int_0^L a(x) z^2(x, 1, t) dx dt. \end{aligned} \quad (76)$$

Noting that  $z(x, \rho, t) = u(x, t - \rho h)$  it follows that

$$\begin{aligned} \frac{\xi}{2} \int_0^L a(x) \int_0^1 (z(x, \rho, 0))^2 d\rho dx &= \frac{\xi}{2} \int_0^L a(x) \int_0^1 (u(x, -\rho h))^2 d\rho dx \\ &= \frac{\xi}{2} \int_0^L a(x) \int_0^{-h} (u(x, s))^2 \left(-\frac{1}{h}\right) ds dx \\ &\leq \frac{\xi}{2h} \int_0^L a(x) \int_0^T (z(x, 1, t))^2 dt dx, \end{aligned} \quad (77)$$

where, in the second equality, we have used the following change of variable  $s = -\rho h$ . From (73) and (77) we also have

$$\begin{aligned} \frac{\xi}{2} \int_0^L a(x) \int_0^1 (z(x, \rho, t))^2 d\rho dx \leq \frac{\xi}{2h} \int_0^L a(x) \int_0^T (z(x, 1, t))^2 dt dx \\ + \frac{\xi}{2h} \int_0^T \int_0^L a(x) (z(x, 0, t))^2 dx dt, \end{aligned} \quad (78)$$

Hence, from (76) and (78) we obtain

$$\begin{aligned} T \frac{\xi}{2} \int_0^L \int_0^1 a(x) z^2(x, \rho, 0) d\rho dx \leq \left( \frac{\xi}{2h} + \frac{T\xi}{2h} \right) \int_0^T \int_0^L a(x) u^2(x, t - h) dx dt \\ + \frac{\xi}{2h} \int_0^T \int_0^L a(x) u^2(x, t) dx dt. \end{aligned} \quad (79)$$

Gathering (79) with (72), we see that in order to prove the observability inequality (69) it is sufficient to prove that for any  $T, R > 0$  there exists  $K := K(R, T) > 0$  such that

$$\begin{aligned} \|u\|_{L^2(0, T, L^2(0, L))}^2 \leq K \left( \int_0^T u_{xx}^2(0, t) dt + \int_0^T \int_0^L a(x) u^2(x) dx dt \right. \\ \left. + \int_0^T \int_0^L a(x) u^2(x, t - h) dx dt \right) \end{aligned} \quad (80)$$

holds for all solutions of the nonlinear system (4) with  $\|(u_0, z_0(\cdot, -h(\cdot)))\|_{\mathcal{H}} \leq R$ .

Let us now argue by contradiction. If (80) does not hold, there exists a sequence  $\{u^n\}_{n \in \mathbb{N}} \subset \mathcal{B}$  of solutions to system (4) with  $\|(u_0^n, z_0^n(\cdot, -h(\cdot)))\|_{\mathcal{H}} \leq R$  such that

$$\begin{aligned} \|u^n\|_{L^2(0,T,L^2(0,L))}^2 \geq & n \left( \|u_{xx}^n(0, \cdot)\|_{L^2(0,T)}^2 + \int_0^T \int_0^L a(x) |u^n(x, t)|^2 dx dt \right. \\ & \left. + \int_0^T \int_0^L a(x) |u^n(x, t-h)|^2 dx dt \right) \end{aligned}$$

We define  $\lambda_n = \|u^n\|_{L^2(0,T,L^2(0,L))}$  and  $v^n = \frac{u^n}{\lambda_n}$ . Then,  $v_n$  satisfies

$$\begin{cases} v_t^n(x, t) + v_x^n(x, t) + v_{xx}^n(x, t) - v_{xxxx}^n(x, t) \\ + \lambda_n v^n v_x^n(x, t) + a(x) (\mu_1 v^n(x, t) + \mu_2 v^n(x, t-h)) = 0 & x \in (0, L), t > 0, \\ v^n(0, t) = v^n(L, t) = 0 & t > 0, \\ v_x^n(0, t) = v_x^n(L, t) = v_{xx}^n(L, t) = 0 & t > 0, \\ v^n(x, 0) = \frac{u_0^n}{\lambda_n}(x) & x \in (0, L), \\ v^n(x, t) = \frac{z_0^n}{\lambda_n}(x, t) & x \in (0, L), t \in (-h, 0), \end{cases} \quad (81)$$

$$\|v^n\|_{L^2(0,T,L^2(0,L))} = 1 \quad (82)$$

and

$$\|v_{xx}^n(0, \cdot)\|_{L^2(0,T)}^2 + \int_0^T \int_0^L a(x) (|v^n(x, t)|^2 + |v^n(x, t-h)|^2) dx dt \rightarrow 0 \text{ as } n \rightarrow \infty. \quad (83)$$

**Claim 1.**  $\{v^n(\cdot, 0)\}$  is bounded in  $L^2(0, L)$ .

Indeed, since

$$\int_0^T \int_0^L (T-t)(v^n)^2 v_x^2 dx dt = 0.$$

we have, as for the linear case, that

$$\begin{aligned} \|v^n(x, 0)\|_{L^2(0,L)}^2 & \leq \frac{1}{T} \|v^n\|_{L^2(0,T,L^2(0,L))}^2 + \|v_{xx}^n(0, \cdot)\|_{L^2(0,T)}^2 \\ & + (2\mu_1 + \mu_2) \int_0^L \int_0^T a(x) |v^n(x, t)|^2 dx dt \\ & + \int_0^L \int_0^T a(x) |v^n(x, t-h)|^2 dx dt. \end{aligned} \quad (84)$$

Gathering (82), (83) and (84) the Claim 1 follows.

**Claim 2.**  $\{\sqrt{a(x)}v^n(\cdot, -h(\cdot))\}$  is bounded in  $L^2((0, L) \times (0, 1))$  and  $\{\lambda_n\}$  is bounded in  $\mathbb{R}$ .

In fact, as we have that

$$\begin{aligned} T \frac{\xi}{2} \int_0^L \int_0^1 a(x) |z_0^n(x, \rho, 0)|^2 d\rho dx & \leq \left( \frac{\xi}{2h} + \frac{T\xi}{2h} \right) \int_0^T \int_0^L a(x) |u^n(x, t-h)|^2 dx dt \\ & + \frac{\xi}{2h} \int_0^T \int_0^L a(x) |u^n(x, t)|^2 dx dt \end{aligned}$$

it follows that

$$\begin{aligned} T \frac{\xi}{2} \int_0^L \int_0^1 a(x) \frac{1}{\lambda_n^2} |z_0^n(x, \rho, 0)|^2 d\rho dx &\leq \left( \frac{\xi}{2h} + \frac{T\xi}{2h} \right) \int_0^T \int_0^L a(x) |v^n(x, t-h)|^2 dx dt \\ &\quad + \frac{\xi}{2h} \int_0^T \int_0^L a(x) |v^n(x, t)|^2 dx dt, \end{aligned}$$

and consequently,  $\{\sqrt{a(x)}v^n(\cdot, -h(\cdot))\}$  is bounded. Moreover, thanks to (66) we see that

$$\lambda_n^2 = \|u^n\|_{L^2(0,T,L^2(0,L))}^2 \leq T \| (u_0^n(\cdot), z_0^n(\cdot, -h(\cdot))) \|_{\mathcal{H}}^2 \leq TR^2,$$

that is,  $\{\lambda_n\}$  is bounded, and so, Claim 2 holds.

**Claim 3.**  $\{v^n\}$  is bounded in  $L^2(0, T, H^2(0, L))$ .

This follows by noting first that, as in the proof of Proposition 2.5, we have that

$$\begin{aligned} \frac{3}{2} \int_0^T \int_0^L |v_x^n(x, t)|^2 dx &+ \frac{5}{2} \int_0^T \int_0^L |v_{xx}^n(x, t)|^2 dx = \frac{1}{2} \int_0^T \int_0^L |v^n(x, t)|^2 dx dt \\ &+ \frac{1}{2} \int_0^L x((v_0^n)^2(x) - (v^n)^2(x, T)) dx - \int_0^T \int_0^L x a(x) \mu_1 |v^n(x, t)|^2 dx dt \\ &- \int_0^T \int_0^L x a(x) \mu_2 v^n(x, t-h) v^n(x, t) dx dt \\ &- \int_0^T \int_0^L x \lambda_n v^n v_x^n dx dt. \end{aligned}$$

Now, observe that

$$\begin{aligned} - \int_0^T \int_0^L x \lambda_n v^n v_x^n dx dt &\leq \sqrt{LT} \lambda_n \|v^n\|_{L^\infty(0,T,L^2(0,L))}^2 \|v^n\|_{L^2(0,T,H^1(0,L))} \\ &\leq \frac{\sqrt{LT} \lambda_n \xi}{2} \|v^n\|_{L^2(0,T,H^1(0,L))} \int_0^L |v_0^n(x)|^2 dx \\ &\quad + \frac{\sqrt{LT} \lambda_n \xi}{2} \|v^n\|_{L^2(0,T,H^1(0,L))} \int_0^L \int_0^1 a(x) |v^n(x, -\rho h)|^2 d\rho dx. \end{aligned}$$

Thus, for  $\varepsilon > 0$  small enough, we have, putting the two previous inequalities together, that

$$\begin{aligned} \|v^n\|_{L^2(0,T,H^2(0,L))}^2 &\leq L \|v_0^n\|_{L^2(0,L)}^2 + \|v^n\|_{L^2(0,T,L^2(0,L))}^2 \\ &\quad + L(2\mu_1 + \mu_2) \int_0^T \int_0^L a(x) |v^n(x, t)|^2 dx dt \\ &\quad + L\mu_2 \int_0^T \int_0^L a(x) |v^n(x, t-h)|^2 dx dt \\ &\quad + \frac{\sqrt{LT} \lambda_n}{4} \left( \int_0^L |v_0^n(x)|^2 dx + \int_0^L \int_0^1 a(x) |v^n(x, -\rho h)|^2 d\rho dx \right)^2 \end{aligned}$$

showing Claim 3.

**Claim 4.**  $\{v^n v_x^n\}$  is bounded in  $L^2(0, T, L^1(0, L))$ .

This claim is a direct consequence of the following inequality

$$\|v^n v_x^n\|_{L^2(0,T,L^1(0,L))} \leq \|v^n\|_{C([0,T],L^2(0,L))} \|v^n\|_{L^2(0,T,H^2(0,L))},$$

where we used Cauchy-Schwarz inequality.

Hence, putting together all these results we showed that

$$\begin{aligned} v_t^n(x, t) = & - (v_x^n(x, t) + v_{xxx}^n(x, t) - v_{xxxx}^n(x, t) + \lambda_n v^n v_x^n(x, t) \\ & + a(x) (\mu_1 v^n(x, t) + \mu_2 v^n(x, t - h))) \end{aligned}$$

is bounded in  $L^2(0, T, H^{-3}(0, L))$  and using the classical compactness results (see e.g. [40]), we obtain that  $\{v^n\}$  is relatively compact in  $L^2(0, T, L^2(0, L))$ . Thus, there exists a subsequence of  $\{v^n\}$ , still denoted by  $\{v^n\}$ , such that

$$v^n \longrightarrow v, \quad \text{strongly in } L^2(0, T, L^2(0, L)),$$

verifying

$$\|v\|_{L^2(0, T, L^2(0, L))} = 1.$$

Furthermore, by weak lower semicontinuity, we have

$$v(x, t) = 0 \in \omega \times (0, T) \text{ and } v_{xx}(0, t) = 0 \text{ in } (0, T).$$

Since  $\{\lambda_n\}$  is bounded, we can also extract a subsequence, still denoted by  $\{\lambda_n\}$  which converges to  $\lambda \geq 0$ . Consequently, the limit  $v$  satisfies

$$\begin{cases} v_t(x, t) + v_x(x, t) + v_{xxx}(x, t) - v_{xxxx}(x, t) \\ \quad + \lambda v(x, t) v_x(x, t) = 0 & x \in (0, L), t > 0, \\ v(0, t) = v(L, t) = 0 & t > 0, \\ v_x(0, t) = v_x(L, t) = v_{xx}(L, t) = 0 & t > 0, \\ v(x, t) = 0 & x \in \omega, t \in (0, T), \\ v_{xx}(0, t) = 0 & t \in (0, T), \\ \|v\|_{L^2(0, T, L^2(0, L))} = 1. \end{cases} \quad (85)$$

At this moment we shall divide our proof into two cases:

**Case (i).**  $\lambda = 0$ .

In this case, the system satisfied by  $v$  is linear and we can apply Holmgren's uniqueness theorem to obtain that  $v = 0$ , which contradicts the fact that  $\|v\|_{L^2(0, T, L^2(0, L))} = 1$ .

**Case (ii).**  $\lambda > 0$ .

For that case, we need to prove that  $v \in L^2(0, T, H^5(0, L))$ . In this way, let us consider  $u = v_t$ . Then,  $u$  is a solution of the following equation

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - v_{xxxx}(x, t) \\ \quad + \lambda u(x, t) v_x(x, t) + \lambda v(x, t) u_x(x, t) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, t) = 0 & x \in \omega, t \in (0, T), \\ u_{xx}(0, t) = 0 & t \in (0, T), \end{cases}$$

with  $u(x, 0) = -v_x(x, 0) - v_{xxx}(x, 0) - v_{5x}(x, 0) - \lambda v(x, 0) v_x(x, 0) \in H^{-5}(0, L)$ . Therefore,  $u(\cdot, 0) \in L^2(0, L)$  and so  $u = v_t \in \mathcal{B}$ . It follows from (85) that  $u_{xxxx} \in L^2((0, L) \times (0, T))$  and consequently,

$$u \in L^2(0, T; H^5(0, L)) \cap H^1(0, T; H^2(0, L))$$

which is sufficient for the unique continuation principle from [39] to be applied. This gives  $u \equiv 0$  in  $(0, L) \times (0, T)$  which completes the proof.  $\square$



**Appendix A. Study of an auxiliary system.** The goal of this appendix is to treat the system (43) linearized around 0.

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxx}(x, t) \\ + a(x)u(x, t) + b(x)u(x, t-h) + \xi b(x)u(x, t) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ u(x, t) = z_0(x, t) & x \in (0, L), t \in (-h, 0), \end{cases} \quad (86)$$

The results contained herein are essential to prove one of the main results of this work.

**A.1. Well-posedness of the auxiliary system.** We start showing that system (86) is well-posed. As in Section 3, setting  $z(x, \rho, t) = u(x, t - \rho h)$  for any  $x \in (0, L)$ ,  $\rho \in (0, 1)$  and  $t > 0$ ,  $(u(\cdot, t), z(\cdot, \cdot, t))$  satisfies the system

$$\begin{cases} u_t(x, t) + u_x(x, t) + u_{xxx}(x, t) - u_{xxxx}(x, t) \\ + a(x)u(x, t) + b(x)z(1) + \xi b(x)u(x, t) = 0 & x \in (0, L), t > 0, \\ u(0, t) = u(L, t) = 0 & t > 0, \\ u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0 & t > 0, \\ u(x, 0) = u_0(x) & x \in (0, L), \\ h z_t(x, \rho, t) + z_\rho(x, \rho, t) = 0 & x \in (0, L), \rho \in (0, 1), t > 0, \\ z(x, 0, t) = u(x, t) & x \in (0, L), t > 0, \\ z(x, \rho, 0) = z_0(x, -\rho h) & x \in (0, L), \rho \in (0, 1). \end{cases} \quad (87)$$

Consider also the Hilbert space  $\mathcal{H} = L^2(0, L) \times L^2((0, L) \times (0, 1))$  with the inner product

$$((u, z), (v, w))_{\mathcal{H}} = \int_0^L u v dx + h \xi \|b\|_{\infty} \int_0^L \int_0^1 z(x, \rho) w(x, \rho) dx d\rho.$$

Rewriting system (87) as a first order system

$$\begin{cases} \frac{\partial U}{\partial t}(t) = \mathcal{A}_0 U(t) \\ U(0) = (u_0(x), z_0(x, -\rho h)), \end{cases} \quad (88)$$

with the unbounded operator  $\mathcal{A}_0 : \mathcal{D}(\mathcal{A}) \subset \mathcal{H} \rightarrow \mathcal{H}$  given by

$$\mathcal{A}_0(u, z) = (-u_x - u_{xxx} + u_{xxxx} - a(x)u - \xi b(x)u - b(x)z(\cdot, 1), -h^{-1}z_\rho) \quad (89)$$

with domain

$$\mathcal{D}(\mathcal{A}_0) = \left\{ (u, z) \in \mathcal{H} : \begin{array}{l} u \in H^5(0, L), u(0) = u(L) = 0 \\ u_x(0) = u_x(L) = u_{xx}(L) = 0, \\ z_\rho \in L^2((0, L) \times (0, 1)), z(0) = u, \end{array} \right\} \quad (90)$$

so the following result holds.

**Theorem A.1.** *Assume that  $a$  and  $b$  are nonnegative functions in  $L^\infty(0, L)$  with  $b(x) \geq b_0 > 0$  in  $\omega$ ,  $U_0 \in \mathcal{H}$  and  $\xi > 1$ . Then, there exists a unique mild solution  $U \in C([0, \infty), \mathcal{H})$  for system (88). Moreover, if  $U_0 \in \mathcal{D}(\mathcal{A}_0)$ , then the solution is classical and satisfies*

$$U \in C([0, \infty), \mathcal{D}(\mathcal{A}_0)) \cap C^1([0, \infty), \mathcal{H}).$$

*Proof.* Let  $U = (u, z) \in \mathcal{D}(\mathcal{A}_0)$ , then we have

$$(\mathcal{A}_0 U, U) \leq \frac{(1+\xi)}{2} \|b\|_\infty \int_0^L \int_0^L u^2(x) dx.$$

It is not difficult to prove that the adjoint of  $\mathcal{A}_0$  denoted by  $\mathcal{A}_0^*$  is defined by

$$\mathcal{A}_0^*(u, z) = (u_x + u_{xxx} - u_{xxxx} - a(x)u - \xi b(x)u + \xi \|b\|_\infty z(\cdot, 0), h^{-1} z_\rho) \quad (91)$$

with domain

$$\mathcal{D}(\mathcal{A}_0^*) = \left\{ (u, z) \in \mathcal{H} : \begin{array}{l} u \in H^5(0, L), u(0) = u(L) = 0 \\ u_x(0) = u_x(L) = u_{xx}(0) = 0, \\ z_\rho \in L^2((0, L) \times (0, 1)), z(x, 1) = -\frac{b(x)}{\xi \|b\|_\infty} u(x) \end{array} \right\}. \quad (92)$$

Let  $U = (u, z) \in \mathcal{D}(\mathcal{A}_0^*)$ , then

$$(\mathcal{A}_0^* U, U)_\mathcal{H} \leq \frac{(1+\xi)}{2} \|b\|_\infty \int_0^L u^2(x) dx.$$

Hence, for  $\lambda = \frac{(1+\xi)}{2} \|b\|_\infty$ ,

$$((\mathcal{A}_0 - \lambda I)U, U)_\mathcal{H} \leq 0 \text{ and } ((\mathcal{A}_0 - \lambda I)^* V, V)_\mathcal{H} \leq 0,$$

for all  $U \in \mathcal{D}(\mathcal{A}_0)$  and  $V \in \mathcal{D}(\mathcal{A}_0^*)$ . Finally, since  $\mathcal{A}_0 - \lambda I$  is a densely defined closed linear operator, and both  $\mathcal{A}_0 - \lambda I$  and  $(\mathcal{A}_0 - \lambda I)^*$  are dissipative, then  $\mathcal{A}_0$  is the infinitesimal generator of a  $C_0$ -semigroup on  $\mathcal{H}$  (see for instance Corollary 4.4 and remark before Corollary 3.8 in [32]).  $\square$

**A.2. Exponential stability of the auxiliary system.** We denote by  $\{e^{\mathcal{A}_0 t}, t \geq 0\}$  the  $C_0$ -semigroup associated with  $\mathcal{A}_0$ . To prove the exponential stability of the system (86), we closely follow the Subsection 4.1. Precisely, we choose the following Lyapunov functional

$$V(t) = E(t) + \alpha V_1(t) + \beta V_2(t), \quad (93)$$

where  $\alpha$  and  $\beta$  are positive constants that will be fixed small enough, later on,  $E$  is the energy defined by (44),  $V_1$  is defined by (60) and  $V_2$  is defined by

$$V_2(t) = \frac{h}{2} \int_0^L \int_0^1 (1 - \rho) b(x) u^2(x, t - \rho h) d\rho dx. \quad (94)$$

It is clear that the two energies  $E$  and  $V$  are equivalent, in the sense that

$$E(t) \leq V(t) \leq \left(1 + \max \left\{ 2\alpha L, \frac{\beta}{\xi} \right\}\right) E(t). \quad (95)$$

The following result gives a positive answer for the exponential stability of the system (86).

**Proposition A.2.** *Assume that  $a$  and  $b$  are nonnegative function in  $L^\infty(0, L)$ ,  $b(x) \geq b_0 > 0$  in  $\omega$ ,  $L < \pi\sqrt{3}$  and  $\xi > 1$ . Then, for every  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ , the energy of system (86), denoted by  $E$  and defined by (44), decays exponentially. More precisely, considering*

$$\gamma = \min \left\{ \frac{(3\pi^2 - L^2)\alpha}{L^2(1 + 2\alpha L)}, \frac{\beta}{2h(\xi + \beta)} \right\} \text{ and } \kappa = \left(1 + \max \left\{ 2\alpha L, \frac{\beta}{\xi} \right\}\right),$$

where  $\alpha$  is a positive constant such that

$$\alpha < \frac{\xi - 1}{2L(1 + 2\xi)}$$

and

$$\beta = \xi - 1 - 2\alpha L(1 + 2\xi),$$

then

$$E(t) \leq \kappa E(0)e^{-2\gamma t} \text{ for all } t > 0.$$

*Proof.* Let  $u$  be a solution of (86) with  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{D}(\mathcal{A}_0)$ . Differentiating (60) and using the first equation of (86), we have that

$$\begin{aligned} \frac{d}{dt}V_1(t) &= -3 \int_0^L u_x^2(x, t)dx + \int_0^L u^2(x, t)dx \\ &\quad - 5 \int_0^L u_{xx}^2(x, t)dx - 2 \int_0^L x\alpha(x)u^2(x, t)dx \\ &\quad - 2 \int_0^L xb(x) (u(x, t)u(x, t-h)dx - \xi u^2(x, t)) dx. \end{aligned}$$

Moreover, differentiating (94), using integration by parts, we obtain

$$\frac{d}{dt}V_2(t) = \frac{1}{2} \int_0^L b(x)u^2(x, t)dx - \frac{1}{2} \int_0^L \int_0^1 b(x)u^2(x, t-\rho h)d\rho dx.$$

Consequently, for any  $\gamma > 0$ , we get

$$\begin{aligned} \frac{d}{dt}V(t) + 2\gamma V(t) &\leq \frac{1}{2} \int_0^L b(x)(1 - \xi + \beta + 2\alpha L(1 + 2\xi))u^2(x, t)dx \\ &\quad + \frac{1}{2} \int_0^L b(x)(1 - \xi + 2\alpha L)u^2(x, t-h)dx \\ &\quad + \left( \frac{L^2}{\pi^2}(\alpha + \gamma + 2\alpha\gamma L) - 3\alpha \right) \int_0^L u_x^2(x, t)dx \\ &\quad + \int_0^L \int_0^1 b(x) \left( \gamma\xi h + \gamma\beta h - \frac{\beta}{2} \right) u^2(x, t-\rho h)d\rho dx. \end{aligned}$$

Therefore, for  $\alpha, \beta$  and  $\gamma$  chosen as in the statement of proposition we have

$$V'(t) + 2\gamma V(t) \leq 0. \quad (96)$$

From (95) and (96), we obtain

$$E(t) \leq V(t) \leq e^{-2\gamma t}V(0) \leq \left( 1 + \max \left\{ 2\alpha L, \frac{\beta}{\xi} \right\} \right) e^{-2\gamma t}E(0), \text{ for all } t > 0.$$

By the density of  $\mathcal{D}(\mathcal{A})$  in  $\mathcal{H}$  the result extends to arbitraty  $(u_0, z_0(\cdot, -h(\cdot))) \in \mathcal{H}$ .  $\square$

**Remark A.3.** Observe that the value of  $\gamma$  can be optimized as a function of  $\alpha$ , that is, we can choose

$$\alpha \in \left( 0, \frac{\xi - 1}{2L(1 + 2\xi)} \right) \quad (97)$$

such that the value of  $\gamma$  is the largest possible, which implies that the decay rate  $\gamma$  thus obtained is the best one.

Indeed, let us define the functions  $f, g : \left[ 0, \frac{\xi-1}{2L(1+2\xi)} \right] \longrightarrow \mathbb{R}$  by

$$f(\alpha) = \frac{(3\pi^2 - L^2)\alpha}{L^2(1 + 2\alpha L)}$$

and

$$g(\alpha) = \frac{\xi - 1 - 2\alpha L(1 + 2\xi)}{2h(2\xi - 1 - 2\alpha L(1 + 2\xi))}.$$

Also, let us consider  $\gamma(\alpha) = \min\{f(\alpha), g(\alpha)\}$ . First, we claim that the function  $f$  is increasing in the interval  $\left[0, \frac{\xi-1}{2L(1+2\xi)}\right)$  while the function  $g$  is decreasing in this same interval. In fact, note that

$$f(\alpha) = \frac{(3\pi^2 - L^2)}{2L^3} \left(1 - \frac{1}{1 + 2\alpha L}\right)$$

and

$$g(\alpha) = \frac{1}{2h} - \left(\frac{\xi}{4hL(1 + 2\xi)}\right) \left(\frac{1}{\frac{\xi}{2L(1+2\xi)} + \frac{\xi-1}{2L(1+2\xi)} - \alpha}\right).$$

If  $-\frac{1}{2L} < \alpha$ , then

$$f'(\alpha) = \frac{(3\pi^2 - L^2)}{L^2(1 + 2\alpha L)^2} > 0.$$

In particular,  $f'(\alpha) > 0$  for  $\alpha \in \left[0, \frac{\xi-1}{2L(1+2\xi)}\right)$ . Analogously,

$$g'(\alpha) = -\left(\frac{\xi}{4hL(1 + 2\xi)}\right) \left(\frac{1}{\left(\frac{\xi}{2L(1+2\xi)} + \frac{\xi-1}{2L(1+2\xi)} - \alpha\right)^2}\right) < 0,$$

since  $\xi > 1$  and  $\alpha < \frac{\xi-1}{2L(1+2\xi)}$ , showing our claim. Now, we claim that there exists only one point satisfying (97) such that  $f(\alpha) = g(\alpha)$ . In fact, to show the existence of this point, it is sufficient to note that  $f(0) = 0$ ,  $g\left(\frac{\xi-1}{2L(1+2\xi)}\right) = 0$  and

$$f\left(\frac{\xi-1}{2L(1+2\xi)}\right) = \frac{(3\pi^2 - L^2)}{2L^3} \left(1 - \frac{2\xi + 1}{3\xi}\right) > 0, \quad g(0) = \frac{1}{2h} \left(1 - \frac{\xi}{2\xi - 1}\right) > 0.$$

The uniqueness follows from the fact that  $f$  is increasing while  $g$  is decreasing in this interval.

Finally, taking into account the above information about  $f$  and  $g$ , the maximum value of the function

$$\gamma \in \left(0, \frac{\xi-1}{2L(1+2\xi)}\right)$$

must be reached at the point  $\alpha$  satisfying (97), where  $f(\alpha) = g(\alpha)$ .

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