Nonlinearity 32 (2019) 1852-1881

Nonlinearity

https://doi.org/10.1088/1361-6544/aafe34

# On the well-posedness and large-time behavior of higher order Boussinesq system

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Received 28 August 2018, revised 26 December 2018 Accepted for publication 14 January 2019 Published 15 April 2019



1852

Recommended by Dr Jean-Claude Saut

#### Abstract

A family of Boussinesq systems has been proposed to describe the bi-directional propagation of small amplitude long waves on the surface of shallow water. In this paper, we investigate the well-posedness and boundary stabilization of the generalized higher order Boussinesq systems of Korteweg–de Vries—type posed on a interval. We design a two-parameter family of feedback laws for which the system is locally well-posed and the solutions of the linearized system are exponentially decreasing in time.

Keywords: Boussinesq system of higher order, stabilization, Möbius transform, critical length, fifth order KdV-type system Mathematics Subject Classification numbers: Primary: 93B05, 93D15, 35Q53

## 1. Introduction

1.1. Presentation of the problem

Boussinesq introduced in [8] several simple nonlinear systems of PDEs, including the Korteweg–de Vries (KdV) equation, to explain certain physical observations concerning water

waves, e.g. the emergence and stability of solitons. Unfortunately, several systems derived by Boussinesq proved to be ill-posed, so that there was a need to propose other systems similar to Boussinesq's ones but with better mathematical properties. In this spirit, an evolutionary version of the Boussinesq systems was proposed in [14, equations (4.7) and (4.8), p 283]:

$$\begin{cases} \eta_{t} + u_{x} + \frac{1}{6}\beta(3\theta^{2} - 1)u_{xxx} + \frac{1}{120}\beta^{2}(25\theta^{4} - 10\theta^{2} + 1)u_{xxxxx} \\ + \alpha(\eta u)_{x} + \frac{1}{2}\alpha\beta(\theta^{2} - 1)(\eta u_{xx})_{x} = 0 \\ u_{t} + \eta_{x} + \beta\left[\frac{1}{2}(1 - \theta^{2}) - \tau\right]\eta_{xxx} + \beta^{2}\left[\frac{1}{24}(\theta^{4} - 6\theta^{2} + 5) + \frac{\tau}{2}(\theta^{2} - 1)\right]\eta_{xxxxx} \\ \alpha uu_{x} + \alpha\beta\left[(\eta\eta_{xx})_{x} + (2 - \theta^{2})u_{x}u_{xx}\right] = 0, \end{cases}$$
(1.1)

where  $\eta$  and u are real function of the real variables x, t. The small parameters  $\alpha > 0$  and  $\beta > 0$ represent, respectively, the ratio of wave amplitude to undisturbed fluid depth, and the square of the ratio of fluid depth to wave length, both are assumed to be of the same order of smallness. Finally,  $\tau$  represents a dimensionless surface tension coefficient, with  $\tau = 0$  corresponding to the case of no surface tension and the velocity potential at height  $0 \le \theta \le 1$ . For further discussions on the model and different modelling possibilities, see, e.g. [4, 5, 7, 12, 14, 23].

The goal of this paper is to investigate two problems that appear on the mathematical theory when we consider the study of PDEs. The first one is the global well-posedness, in time, of system (1.1), which is so-called *fifth order KdV-type system or second order Boussinesq system*. Another problem is concerned with boundary stabilization of the linearized system associated to (1.1).

First, we consider the following system, carefully derived by (1.1) in a short appendix at the end of this paper,

$$\begin{cases} \eta_t + u_x - au_{xxx} + a_1(\eta u)_x + a_2(\eta u_{xx})_x + bu_{xxxxx} = 0, & \text{in } (0, L) \times (0, \infty), \\ u_t + \eta_x - a\eta_{xxx} + a_1uu_x + a_3(\eta\eta_{xx})_x + a_4u_xu_{xx} + b\eta_{xxxxx} = 0, & \text{in } (0, L) \times (0, \infty), \\ \eta(x, 0) = \eta_0(x), & u(x, 0) = u_0(x), & \text{in } (0, L), \end{cases}$$
(1.2)

where a > 0, b > 0,  $a \neq b$ ,  $a_1 > 0$ ,  $a_2 < 0$ ,  $a_3 > 0$  and  $a_4 > 0$ , with the following boundary conditions

$$\begin{cases} \eta(0,t) = \eta(L,t) = \eta_x(0,t) = \eta_x(L,t) = 0, & \text{in } (0,\infty), \\ u(0,t) = u(L,t) = u_x(0,t) = u_x(L,t) = 0, & \text{in } (0,\infty), \\ \end{cases}$$
(1.3)

$$\int u_{xx}(0,t) + \alpha_1 \eta_{xx}(0,t) = 0, \quad u_{xx}(L,t) - \alpha_2 \eta_{xx}(L,t) = 0, \quad \text{in } (0,\infty),$$

for  $\alpha_1, \alpha_2 \in \mathbb{R}^+_*$ .

The energy associated to the model is given by

$$E(t) := \frac{1}{2} \int_0^L (\eta^2(x, t) + u^2(x, t)) \mathrm{d}x, \tag{1.4}$$

and, at least formally, we can verify that E(t) satisfies

$$\frac{\mathrm{d}}{\mathrm{d}t}E(t) = -\alpha_1 b |\eta_{xx}(0,t)|^2 - \alpha_2 b |\eta_{xx}(L,t)|^2 - \frac{a_1}{2} \int_0^L \eta^2 u_x \mathrm{d}x \\ -\frac{a_2}{2} \int_0^L \eta^2 u_{xxx} \mathrm{d}x + a_3 \int_0^L \eta \eta_{xx} u_x \mathrm{d}x + \frac{a_4}{2} \int_0^L u_x^3 \mathrm{d}x.$$
(1.5)

Indeed, if we multiply the first equation of (1.2) by  $\eta$ , the second one by *u* and integrate by parts over (0, *L*), we obtain (1.5), by using the boundary conditions (1.3). This indicates that

E(t) does not have a definite sign, but the boundary conditions play the role of a feedback damping mechanism for the linearized system, namely,

$$\begin{cases} \eta_t + u_x - au_{xxx} + bu_{xxxxx} = 0, & \text{in } (0, L) \times (0, \infty), \\ u_t + \eta_x - a\eta_{xxx} + b\eta_{xxxxx} = 0, & \text{in } (0, L) \times (0, \infty), \\ \eta(x, 0) = \eta_0(x), \quad u(x, 0) = u_0(x), & \text{in } (0, L), \end{cases}$$
(1.6)

with the boundary conditions given by (1.3).

Then, the following questions arise:

**Problem** A. Does  $E(t) \to 0$  as  $t \to \infty$ ? If it is the case, can we find a decay rate of E(t)?

The problem might be easy to solve when the underlying model has a intrinsic dissipative nature. Moreover, in the context of coupled systems, in order to achieve the desired decay property, the damping mechanism has to be designed in an appropriate way to capture all the components of the system.

Before presenting an answer for problem A, it is necessary to investigate the global wellposedeness of the full system (1.2) and (1.3). Thus, the following issue appears naturally:

**Problem**  $\mathcal{B}$ . Is the fifth order KdV–type system globally well-posed in time, with initial data in  $H^{s}(0,L)$ , for some  $s \in \mathbb{R}^{+}$ ?

#### 1.2. Some previous results

It is by now well know that mathematicians are interested in the well-posedness of dispersive equation which depends on smoothing effects associated to datum (initial value or boundary value). The well-posedness of the initial value problem for single KdV equation and single fifth order KdV equation was deeply investigated. For an extensive reading on the subject see, for instance, [6, 11, 13, 15, 24] and the reference therein. In contrast, the well-posedness theory for the coupled system of KdV–type is considerably less advanced than the theory for single KdV–type equations [4, 5, 21–23]. The same is true for the stabilization properties.

Problem A was first addressed in [18] for a Boussinesq system of KdV–KdV type

$$\begin{cases} \eta_t + u_x + (\eta u)_x + u_{xxx} = 0 & \text{in } (0, L) \times (0, T), \\ u_t + \eta_x + uu_x + \eta_{xxx} = 0 & \text{in } (0, L) \times (0, T), \\ \eta(x, 0) = \eta_0(x), \ u(x, 0) = u_0(x) & \text{in } (0, L), \end{cases}$$
(1.7)

with the boundary conditions

$$\begin{cases} u(0,t) = u_{xx}(0,t) = 0, & \text{in } (0,T), \\ u_x(0,t) = \alpha_0 \eta_x(0,t), & u_x(L,t) = -\alpha_1 \eta_x(L,t) & \text{in } (0,T), \\ u(L,t) = \alpha_2 \eta(L,t), & u_{xx}(L,t) = -\alpha_2 \eta_{xx}(L,t) & \text{in } (0,T), \end{cases}$$
(1.8)

where  $\alpha_0 \ge 0$ ,  $\alpha_1 > 0$  and  $\alpha_2 > 0$ . Note that, with boundary conditions (1.8), we have the following identity

$$\frac{\mathrm{d}}{\mathrm{d}t}E(t) = -\alpha_2 |\eta(L,t)|^2 - \alpha_1 |\eta_x(L,t)|^2 - \alpha_0 |\eta_x(0,t)|^2 - \frac{1}{3}u^3(L,t) - \int_0^L (\eta u)_x \eta \mathrm{d}x,$$

which does not have a definite sign. In this case, first the authors studied the linearized system to derive some *a priori* estimates and the exponential decay in the  $L^2$ -norm. It is established the Kato smoothing effect by means of the multiplier method, while the exponential decay is obtained with the aid of some compactness arguments that reduce the issue to prove a unique continuation

property for a spectral problem associated to the space operator (see, for instance, [2, 19]). The exponential decay estimate of the linear system is then combined with the contraction mapping theorem in a convenient weighted space to prove the global well-posedness together with the exponential stability property of the nonlinear system (1.7) and (1.8) with small data.

Recently, in [10], the authors studied a similar boundary stabilization problem for the system (1.7) with less amount of damping. More precisely, the following boundary conditions was considered

$$\begin{cases} \eta(0,t) = 0, \ \eta(L,t) = 0, \ \eta_x(0,t) = 0, & \text{in } (0,T), \\ u(0,t) = 0, \ u(L,t) = 0, \ u_x(L,t) = -\alpha \eta_x(L,t) & \text{in } (0,T), \end{cases}$$

with  $\alpha > 0$ . In this case, it follows that

$$\frac{\mathrm{d}}{\mathrm{d}t}E(t) = -\alpha |\eta_x(L,t)|^2 - \frac{1}{3}u^3(L,t) - \int_0^L (\eta u)_x \eta \mathrm{d}x.$$

Proceeding as in [18] the local exponential decay is also obtained for solution issuing from small data. However, due to the lack of dissipation, the unique continuation issue for the linearized system can not be obtained by standard methods. In order to overcome this difficult, the spectral problem was then solved by extending the function  $(\eta, u)$  by 0 outside (0, L), by taking its Fourier transform and by using Paley–Wiener theorem. Finally, the problem was reduced to check for which values of L > 0 two functions are entire for a set of parameters. Then, the authors concluded that the stabilization properties holds if and only if the length L does not belong to the following critical set

$$\mathcal{N} := \{\pi \sqrt{\frac{k^2 + kl + l^2}{3}}; \ k, l \in \mathbb{N}^*\}.$$

We point out that the same set was obtaned by Rosier [19] while studying the boundary controllability of the KdV equation with a single control in  $L^2(0,T)$  acting on the Neumann boundary condition. This shows that the linearized Boussinesq system inherits some interesting properties initially observed for the KdV equation.

#### 1.3. Main results and comments

In the present work, we address the problems described in the previous subsection and our main results provide a partial positive answer for the problems  $\mathcal{A}$  and  $\mathcal{B}$ . In order to give an answer for problem  $\mathcal{B}$ , we apply the ideas suggested in [9, 10], therefore, let us consider

$$\overline{K}_3 = \{(\eta, u) \in [H^3(0, L) \cap H^2_0(0, L)]^2; \ \eta_{xx}(0) = v_{xx}(L) = 0\}.$$

With this notation, one of the main result of this article can be read as follows:

**Theorem 1.1.** Let T > 0. Then, there exists  $\rho = \rho(T) > 0$  such that, for every  $(\eta_0, u_0) \in \overline{X}_3$  satisfying

$$\|(\eta_0, u_0)\|_{\overline{X}_3} < \rho,$$

there exists a unique solution  $(\eta, u) \in C([0, T]; \overline{X}_3)$  of (1.2) and (1.3). Moreover

 $\|(\eta, u)\|_{C([0,T];\overline{X}_3)} \leq C \|(\eta_0, u_0)\|_{\overline{X}_3}$ 

for some positive constant C = C(T).

In order to prove theorem 1.1 we first analyze the linearized model by using a semigroup approach. Moreover, by using multiplier techniques, we also obtain the so-called Kato smoothing effect, which is crucial to study the stabilization problem. In what concerns the full system, the idea is to combine the linear theory and a fixed point argument. However, the linear theory described above seems to be unable to provide the *a priori* bounds needed to use a fixed point argument. To overcome this difficult, we consider solutions obtained *via* transposition method, which leads to consider a duality argument and the solutions of the corresponding adjoint system. Then, the existence and uniqueness can be proved by using the Riesz-representation theorem that gives, at first, a solution which is not continuous in time, only  $L^{\infty}$ . The continuity is then obtained with the aid of what is known as *hidden regularity* of the boundary terms of the adjoint system. In fact, we prove that such system has a class of solutions which belong to appropriate spaces possessing boundary regularity. On the other hand, it is also important to note that identity (1.5) does not provide any global (in time) a priori bounds for the solutions. Consequently, it does not lead to the existence of a global (in time) solution in the energy space. The same lack of *a priori* bounds occurs when higher order Sobolev norms are considered (e.g. H<sup>s</sup>-norm).

With the damping mechanism proposed in (1.3), the stabilization of the linearized higher order Boussinesq system (1.6) holds for *any length* of the domain. Thus, the second main result of this paper is the following:

**Theorem 1.2.** Assume that  $\alpha_1 > 0$ ,  $\alpha_2 > 0$  and L > 0. Then, there exist some constants  $C_0, \mu_0 > 0$ , such that, for any  $(\eta_0, u_0) \in X_0 := [L^2(0, L)]^2$ , system (1.3)–(1.6) admits a unique solution

$$(\eta, u) \in C^0([0, T]; X_0) \cap L^2(0, T; [H^2(0, L)]^2)$$

satisfying

$$\|(\eta(t), u(t))\|_{X_0} \leq C_0 e^{-\mu_0 t} \|(\eta_0, u_0)\|_{X_0}, \quad \forall t \ge 0.$$

In order to prove theorem 1.2 we proceed as in [10, 18], i.e. combining multipliers and compactness arguments which reduces the problem to show a unique continuation result for the state operator. To prove this result, we extend the solution under consideration by zero in  $\mathbb{R} \setminus [0, L]$  and take the Fourier transform. However, due to the complexity of the system, after to take Fourier transform of the extended solution  $(\eta, u)$  it is not possible to use the same techniques used in [10]. Thus, to prove our main result we proceed as Santos *et al* [20].

For a better understanding we will introduce a general framework to explain the idea of the proof. After to take Fourier transform, the issue is to establish when a certain quotient of entire functions still turn out to be an entire function. We then pick a polynomial  $q : \mathbb{C} \to \mathbb{C}$  and a family of functions

$$N_{\alpha}: \mathbb{C} \times (0, \infty) \to \mathbb{C},$$

with  $\alpha \in \mathbb{C}^4 \setminus \{0\}$ , whose restriction  $N_{\alpha}(\cdot, L)$  is entire for each L > 0. Next, we consider a family of functions  $f_{\alpha}(\cdot, L)$ , defined by

$$f_{\alpha}(\mu,L) = \frac{N_{\alpha}(\mu,L)}{q(\mu)},$$

in its maximal domain. The problem is then reduced to determine L > 0 for which there exists  $\alpha \in \mathbb{C}^4 \setminus \{0\}$  such that  $f_{\alpha}(\cdot, L)$  is entire. In contrast with the analysis developed in [10], this approach does not provide us an explicit characterization of a critical set, if it exists, only

ensure that the roots of f have a relations with the Möbius transform (see the proof of theorem 1.2 above).

**Remarks.** In what concerns our main results, theorems 1.1 and 1.2, the following remarks are now in order.

i. As pointed out before, the Boussinesq systems (1.1) have physical sense if they respect some smallness conditions for the parameters  $\alpha$  and  $\beta$ . For simplicity, let us assume  $\alpha = \beta = \epsilon$  and consider the model

$$\begin{cases} \eta_t + u_x - a\epsilon u_{xxx} + a_1\epsilon(\eta u)_x + a_2\epsilon^2(\eta u_{xx})_x + b\epsilon^2 u_{xxxxx} = 0, \\ u_t + \eta_x - a\epsilon\eta_{xxx} + a_1\epsilon uu_x + a_3\epsilon^2(\eta\eta_{xx})_x + a_4\epsilon^2 u_x u_{xx} + b\epsilon^2\eta_{xxxxx} = 0, \end{cases}$$
(1.9)

where  $0 < \epsilon \ll 1$ . Thus, an important step to justify (1.9) as an asymptotic model for water waves is to establish the global well-posedness result on time scale of order  $\frac{1}{\epsilon}$ . Moreover, at least formally, the difference of the solutions of such systems and the solutions of the free-surface Euler equations in suitable Sobolev norms should be of order  $O(\epsilon^3 t)$ . In this sense, the problems we address here are more interesting from the mathematical point of view and the global well-posedness result given by theorem 1.1 ensures only a lifespan of order O(1) for the solution.

ii. The exponential decay given by theorem 1.2 can be stated in the following equivalent form (see section 5): find C > 0 and T > 0, such that

$$\|(\eta_0, u_0)\|_{X_0}^2 \leqslant C \int_0^T \left( |\eta_{xx}(L, t)|^2 + |\eta_{xx}(0, t)|^2 \right) \mathrm{d}t, \tag{1.10}$$

holds for any finite energy solution of (1.3)–(1.6). Indeed, since the energy E(t) associated to the linear model is decreasing, from (1.10) we obtain  $\gamma = \frac{C}{C+1}$  satisfying

 $E(T) < \gamma E(0).$ 

Then, the semigroup property associated to the model allows us to conclude that

$$E(t) \leqslant \frac{1}{\gamma} E(0) \mathrm{e}^{\frac{\ln \gamma}{T}t}.$$

However, in order to prove (1.10) we argue by contradiction and use the so-called *compactness-uniqueness argument*. Therefore, with this approach, we cannot determine the dependence of the constants  $C_0$  and  $\mu_0$  appearing in theorem 1.2 in terms of  $a, b, a_1, a_2, a_3, a_4$  or any variation of these values.

iii. The proof of theorem 1.2 relies strongly on a unique continuation property of the spectral problem associated to the space operator (see lemma 4.2). Therefore, if we consider the Boussinesq system with the same prefactors, the symmetry of the terms  $\partial_x^3$  and  $\partial_x^5$  allows us to deal with the spectral problem by using the approach introduced in [10]. If we remove such assumption, a new approach is needed to fix the issue.

The remaining part of this paper is organized as follows: in section 2, we establish the well-posedness of the linearized system. We also derived a series of linear estimates for a conservative linear Boussinesq system which will we used to prove the well-posedness for the full system (1.2) and (1.3). Section 3, is then devoted to prove the well-posedness for the nonlinear system. In section 4, we prove an *observability inequality* associated to (1.3)–(1.6), which plays a crucial role to get second result of this paper, theorem 1.2, proved in the same

section. Finally, some additional comments and open problems are proposed in the section 5. We also include an appendix with a detailed derivation of the system (1.2).

#### 2. Well-posedness: linear system

The goal of the section is to prove the well-posedness of the linearized system. In order to do that, we use the semigroup theory and multiplier techniques, which allow us to derived so-called Kato smoothing effect. We also use the same approach to study a similar conservative linear Boussinesq system that will be used to study the full system (see, definition 3.1).

#### 2.1. Well-posedness: linear system

We will study the existence of solutions of the linear homogeneous system associated to (1.6), namely

$$\begin{cases} \eta_t + u_x - au_{xxx} + bu_{xxxxx} = 0, & \text{in } (0, L) \times (0, T), \\ u_t + \eta_x - a\eta_{xxx} + b\eta_{xxxxx} = 0, & \text{in } (0, L) \times (0, T), \\ \eta(0, t) = \eta(L, t) = \eta_x(0, t) = \eta_x(L, t) = 0, & \text{in } (0, T), \\ u(0, t) = u(L, t) = u_x(0, t) = u_x(L, t) = 0, & \text{in } (0, T), \\ u_{xx}(0, t) + \alpha_1 \eta_{xx}(0, t) = 0, & \text{in } (0, T), \\ u_{xx}(L, t) - \alpha_2 \eta_{xx}(L, t) = 0, & \text{in } (0, T), \\ \eta(x, 0) = \eta_0(x), & u(x, 0) = u_0(x), & \text{in } (0, L). \end{cases}$$
(2.1)

We consider  $X_0$  with the usual inner product and the operator  $A : D(A) \subset X_0 \to X_0$  with domain

$$D(A) = \{(\eta, u) \in [H^5(0, L) \cap H^2_0(0, L)]^2 : u_{xx}(0) + \alpha_1 \eta_{xx}(0) = 0, u_{xx}(L) - \alpha_2 \eta_{xx}(L) = 0\},\$$

defined by

$$A(\eta, u) = (-u_x + au_{xxx} - bu_{xxxxx}, -\eta_x + a\eta_{xxx} - b\eta_{xxxxx}).$$

Let us denote  $X_5 = D(A)$ . Moreover, we introduce the Hilbert space

$$X_{5\theta} := [X_0, X_5]_{[\theta]}, \quad \text{for} \quad 0 < \theta < 5$$

where  $[X_0, X_5]_{[\theta]}$  denote the Banach space obtained by the complex interpolation method (see, e.g. [3]).

Then, the following result holds:

**Proposition 2.1.** If  $\alpha_i \ge 0$ , i = 1, 2, then A generates a  $C_0$ -semigroup of contraction  $(S(t))_{t\ge 0}$  in  $X_0$ .

**Proof.** Clearly, *A* is densely defined and closed, so we are done if we prove that *A* and its adjoint  $A^*$  are both dissipative in  $X_0$ . It is easy to see that

$$A^*: D(A^*) \subset X_0 \longrightarrow X_0$$

is given by  $A^*(\varphi, \psi) = (\psi_x - a\psi_{xxx} + b\psi_{xxxxx}, \varphi_x - a\varphi_{xxx} + b\varphi_{xxxxx})$  with domain

$$D(A^*) = \{(\varphi, \psi) \in X_5 : \varphi(0) = \varphi(L) = \varphi_x(0) = \varphi_x(L) = 0, \\ \psi(0) = \psi(L) = \psi_x(0) = \psi_x(L) = 0, \\ \psi_{xx}(0) - \alpha_1 \varphi_{xx}(0) = 0, \psi_{xx}(L) + \alpha_2 \varphi_{xx}(L) = 0\}$$

Pick any  $(\eta, u) \in D(A)$ . Multiplying the first equation of (2.1) by  $\eta$ , the second one by u and integrating by parts, we obtain

$$(A(\eta, u), (\eta, u))_{\chi_0} = -\alpha_2 b \eta_{\chi_\chi}^2(L) - \alpha_1 b \eta_{\chi_\chi}^2(0) \leq 0,$$

which demonstrates that A is a dissipative operator in  $X_0$ . Analogously, we can deduce that, for any  $(\varphi, \psi) \in D(A^*)$ ,

$$(A^*(\varphi,\psi),(\varphi,\psi))_{\chi_0} = -\alpha_2 b \varphi_{xx}^2(L) - \alpha_1 b \varphi_{xx}^2(0) \leqslant 0,$$

so that  $A^*$  is dissipative, as well. Thus, the proof is complete.

As a direct consequence of proposition 2.1 and the general theory of evolution equation, we have the following existence and uniqueness result:

**Proposition 2.2.** Let  $(\eta_0, u_0) \in X_0$ . There exists a unique mild solution  $(\eta, u) = S(\cdot)(\eta_0, u_0)$  of (2.1) such that  $(\eta, u) \in C([0, T]; X_0)$ . Moreover, if  $(\eta_0, u_0) \in D(A)$ , then (2.1) has a unique (classical) solution  $(\eta, u)$  such that

$$(\eta, u) \in C([0, T]; D(A)) \cap C^1(0, T; X_0).$$

The following proposition provides useful estimates for the standard energy and the Kato smoothing effect for the mild solutions of (2.1).

**Proposition 2.3.** *Let*  $(\eta_0, u_0) \in X_0$  *and*  $(\eta(t), u(t)) = S(t)(\eta_0, u_0)$ *. Then, for any* T > 0*, we have that* 

$$\|(\eta_0(x), u_0(x))\|_{X_0}^2 - \|(\eta(x, T), u(x, T))\|_{X_0}^2$$
  
=  $\int_0^T (\alpha_2 b |\eta_{xx}(L, t)|^2 + \alpha_1 b |\eta_{xx}(0, t)|^2) dt$  (2.2)

and

$$\frac{T}{2} \| (\eta_0(x), u_0(x)) \|_{X_0}^2 = \frac{1}{2} \| (\eta(x, t), u(x, t)) \|_{L^2(0,T;X_0)}^2 
+ \alpha_2 b \int_0^T (T-t) |\eta_{xx}(L, t)|^2 dt + \alpha_1 b \int_0^T (T-t) |\eta_{xx}(0, t)|^2 dt.$$
(2.3)

Furthermore,  $(\eta, u) \in L^2(0, T; X_2)$  and

$$\|(\eta, u)\|_{L^2(0,T;X_2)} \leqslant C \|(\eta_0, u_0)\|_{X_0},\tag{2.4}$$

where C = C(a, b, T) is a positive constant.

**Proof.** Pick any  $(\eta_0, u_0) \in D(A)$ . Multiplying the first equation in (2.1) by  $\eta$ , the second one by u, adding the resulting equations and integrating over  $(0, L) \times (0, T)$ , we obtain (2.2) after some integration by parts. The identity may be extended to any initial state  $(\eta_0, u_0) \in X_0$  by a density argument. Moreover, multiplying the first equation in (2.1) by  $(T - t)\eta$ , the second by (T - t)u and integrating over  $(0, L) \times (0, T)$  we derive (2.3) in a similar way.

Let us proceed to the proof of (2.4). Multiply the first equation by xu, the second one by  $x\eta$  and integrate over  $(0, L) \times (0, T)$ . Adding the obtained equations we get that

$$\int_{0}^{T} \int_{0}^{L} x(\eta u)_{t} dx dt + \int_{0}^{T} \int_{0}^{L} \frac{x}{2} (|\eta|^{2} + |u|^{2})_{x} dx dt$$
$$- a \int_{0}^{T} \int_{0}^{L} x(\eta \eta_{xxx} + u u_{xxx}) dx dt + b \int_{0}^{T} \int_{0}^{L} x(\eta \eta_{xxxx} + u u_{xxxx}) dx dt = 0.$$

After some integration by parts, it follows that

$$\int_{0}^{T} \int_{0}^{L} x(\eta u)_{t} dx dt - \frac{1}{2} \int_{0}^{T} \int_{0}^{L} (|\eta|^{2} + |u|^{2}) dx dt - \frac{3a}{2} \int_{0}^{T} \int_{0}^{L} (|\eta_{x}|^{2} + |u_{x}|^{2}) dx dt - \frac{5b}{2} \int_{0}^{T} \int_{0}^{L} (|\eta_{xx}|^{2} + |u_{xx}|^{2}) dx dt + \frac{bL}{2} \int_{0}^{T} (|\eta_{xx}(L,t)|^{2} + |u_{xx}(L,t)|^{2}) dt = 0,$$

hence,

$$\frac{1}{2} \int_{0}^{T} \int_{0}^{L} (|\eta|^{2} + |u|^{2}) dx dt + \frac{3a}{2} \int_{0}^{T} \int_{0}^{L} (|\eta_{x}|^{2} + |u_{x}|^{2}) dx dt + \frac{5b}{2} \int_{0}^{T} \int_{0}^{L} (|\eta_{xx}|^{2} + |u_{xx}|^{2}) dx dt \leqslant L \int_{0}^{L} (\eta(x, T)u(x, T) - \eta_{0}(x)u_{0}(x)) dx + \frac{bL(1 + \alpha_{2}^{2})}{2} \int_{0}^{T} |\eta_{xx}(L, t)|^{2} dt.$$
(2.5)

By using (2.2) and Young inequality in the first integral of the right hand side in (2.5), we have that

$$\begin{split} \frac{1}{2} \int_0^T \int_0^L (|\eta|^2 + |u|^2) \mathrm{d}x \mathrm{d}t &+ \frac{3a}{2} \int_0^T \int_0^L (|\eta_x|^2 + |u_x|^2) \mathrm{d}x \mathrm{d}t + \frac{5b}{2} \int_0^T \int_0^L (|\eta_{xx}|^2 + |u_{xx}|^2) \mathrm{d}x \mathrm{d}t \\ &\leqslant \frac{L}{2} \int_0^L (|\eta(x,T)|^2 + |u(x,T)|^2) \mathrm{d}x \\ &+ \frac{L}{2} \left( 1 + \frac{1 + \alpha_2^2}{\alpha_2} \right) \int_0^L (|\eta_0(x)|^2 + |u_0(x)| \mathrm{d}^2) \mathrm{d}x. \end{split}$$

Clearly, (2.2) implies that  $E(T) \leq E(0)$ , thus

$$\int_0^T \int_0^L (|\eta|^2 + |u|^2) dx dt + 3a \int_0^T \int_0^L (|\eta_x|^2 + |u_x|^2) dx dt + 5b \int_0^T \int_0^L (|\eta_{xx}|^2 + |u_{xx}|^2) dx dt$$
$$\leq L \left(1 + \frac{1 + \alpha_2^2}{\alpha_2}\right) \int_0^L (|\eta_0(x)|^2 + |u_0(x)| d^2) dx.$$

Then, (2.4) holds.

# 2.2. Well-posedness: a conservative linear system

This subsection is devoted to analyze a conservative linear model that will be used to derived the nonlinear theory.

Let us starting by introducing the spaces

$$X_0 := \overline{X}_0 := L^2(0, L) \times L^2(0, L),$$
  
$$\overline{X}_5 = \{(\varphi, \psi) \in [H^5(0, L) \cap H^2_0(0, L)]^2 : \varphi_{xx}(0) = \psi_{xx}(L) = 0\},$$
 (2.6)

and

$$\overline{X}_{5\theta} := [\overline{X}_0, \overline{X}_5]_{[\theta]}, \text{ for } 0 < \theta < 1,$$

where  $[\overline{X}_0, \overline{X}_5]_{[\theta]}$  denote the Banach space obtained by the complex interpolation method (see, e.g. [3]). It is easily seen that

$$\begin{split} \overline{X}_1 = &H_0^1(0,L) \times H_0^1(0,L), \\ \overline{X}_2 = &\{(\eta,v) \in [H^2(0,L) \cap H_0^1(0,L)]^2; \eta_x(L) = v_x(0) = 0\}. \\ \overline{X}_3 = &\{(\eta,v) \in [H^3(0,L) \cap H_0^2(0,L)]^2; \ \eta_{xx}(0) = v_{xx}(L) = 0\}. \end{split}$$

On the other hand, we shall use at some place below the following space

$$\overline{X}_7 := \{ (\eta, v) \in [H^7(0, L) \cap H^2_0(0, L)]^2; \ \eta_{xx}(0) = v_{xx}(L) = 0, \\ -a\eta_{3x}(L) + b\eta_{5x}(L) = -av_{3x}(L) + bv_{5x}(L) = 0, \\ -a\eta_{3x}(0) + b\eta_{5x}(0) = -av_{3x}(0) + bv_{5x}(0) = 0, \\ -a\eta_{4x}(0) + b\eta_{6x}(0) = -av_{4x}(L) + bv_{6x}(L) = 0 \},$$

endowed with its natural norm. The space

$$\overline{X}_{-s} = (\overline{X}_s)'$$

denotes the dual of  $\overline{X}_s$  with respect to the pivot space  $\overline{X}_0 = L^2(0, L) \times L^2(0, L)$ . The bracket  $\langle ., . \rangle_{\overline{X}_{-s}, \overline{X}_s}$  stands for the duality between  $\overline{X}_{-s}$  and  $\overline{X}_s$ .

Now, we turn our attention to the well-posedness of the system associated to the differential operator  $\widetilde{A}$ , given by

$$A(\varphi,\psi) = (-\psi_x + a\psi_{xxx} - b\psi_{xxxxx}, -\varphi_x + a\varphi_{xxx} - b\varphi_{xxxxx}), \qquad (2.7)$$

with domain,  $D(\widetilde{A}) = \overline{X}_5$ . More precisely, we consider the following system

$$\begin{cases} \eta_t + u_x - au_{xxx} + bu_{xxxxx} = 0, & \text{in } (0, L) \times (0, T), \\ u_t + \eta_x - a\eta_{xxx} + b\eta_{xxxxx} = 0, & \text{in } (0, L) \times (0, T), \\ \eta(0, t) = \eta(L, t) = \eta_x(0, t) = \eta_x(L, t) = \eta_{xx}(0, t) = 0, & \text{on } (0, T), \\ u(0, t) = u(L, t) = u_x(0, t) = u_x(L, t) = u_{xx}(L, t) = 0, & \text{on } (0, T), \\ \eta(x, 0) = \eta_0(x), & u(x, 0) = u_0(x), & \text{on } (0, L). \end{cases}$$
(2.8)

**Proposition 2.4.** The operator  $\widetilde{A}$  is skew-adjoint in  $\overline{X}_0$ , and thus it generates a group of isometries  $(e^{tA})_{t \in \mathbb{R}}$  in  $\overline{X}_0$ .

**Proof.** We show that  $\widetilde{A}^* = -\widetilde{A}$ . First, we prove that  $-\widetilde{A} \subset \widetilde{A}^*$ . Indeed, for any  $(\eta, u), (\theta, v) \in D(\widetilde{A})$ , we have after some integration by parts,

$$\begin{split} \left( (\theta, v), \widetilde{A}(\eta, u) \right)_{\overline{X}_0} &= -\int_0^L \left[ \theta(u_x - au_{xxx} + bu_{xxxxx}) + v(\eta_x - a\eta_{xxx} + b\eta_{xxxxx}) \right] \mathrm{d}x \\ &= \int_0^L \left[ u(\theta_x - a\theta_{xxx} + b\theta_{xxxxx}) + \eta(v_x - av_{xxx} + bv_{xxxxx}) \right] \mathrm{d}x \\ &= \left( \widetilde{A}(\theta, v), (\eta, u) \right)_{\overline{X}_0}. \end{split}$$

Now, we prove that  $\widetilde{A}^* \subset -\widetilde{A}$ . Pick any  $(\theta, v) \in D(\widetilde{A}^*)$ . Then, for some positive constant *C*, we have that

$$\left|\left(( heta,v),\widetilde{A}(\eta,u)\right)_{\overline{X}_0}\right| \leqslant C \|(\eta,u)\|_{\overline{X}_0}, \quad \forall (\eta,u) \in D(A).$$

Thus, it follows that

$$\left| \int_0^L \left[ \theta(u_x - au_{xxx} + bu_{xxxxx}) + v(\eta_x - a\eta_{xxx} + b\eta_{xxxxx}) \right] \mathrm{d}x \right|$$
  
$$\leqslant C \left( \int_0^L (\eta^2 + u^2) \mathrm{d}x \right)^{\frac{1}{2}}, \quad \forall (\eta, u) \in D(A).$$
(2.9)

Taking  $\eta \in C_c^{\infty}(0, L)$  and u = 0, we deduce from (2.9) that  $v \in H^5(0, L)$ . Similarly, we obtain that  $\theta \in H^5(0, L)$ . Integrating by parts in the left hand side of (2.9), we obtain that

$$\begin{split} &|a\theta(0)u_{xx}(0) - b\theta_{x}(L)u_{xxx}(L) + b\theta(L)u_{xxxx}(L) - b\theta_{xx}(0)u_{xx}(0) + b\theta_{x}(0)u_{xxx}(0) \\ &-b\theta(0)u_{xxxx}(0) + av(L)\eta_{xx}(L) - bv_{xx}(L)\eta_{xx}(L) + bv_{x}(L)\eta_{xxx}(L) - bv(L)\eta_{xxxx}(L) \\ &-bv_{x}(0)\eta_{xxxx}(0) - bv(0)\eta_{xxxx}(0)| \leqslant C \left(\int_{0}^{L} (\eta^{2} + u^{2}) \mathrm{d}x\right)^{\frac{1}{2}}, \end{split}$$

for all  $(\eta, u) \in D(A)$ . Then, it follows that

$$\begin{cases} \theta(0) = \theta(L) = \theta_x(0) = \theta_x(L) = \theta_{xx}(0) = 0, \\ v(0) = v(L) = v_x(0) = v_x(L) = v_{xx}(L) = 0. \end{cases}$$

Hence  $(\theta, v) \in D(\widetilde{A}) = D(-\widetilde{A})$ . Thus,  $D(\widetilde{A}^*) = D(-\widetilde{A})$  and  $\widetilde{A}^* = -\widetilde{A}$ .

**Corollary 2.5.** For any  $(\eta_0, u_0) \in \overline{X}_{0, \delta}$  system (2.8) admits a unique solution  $(\eta, u) \in C(\mathbb{R}; \overline{X}_0)$ , which satisfies  $\|(\eta(t), u(t))\|_{\overline{X}_0} = \|(\eta_0, u_0)\|_{\overline{X}_0}$  for all  $t \in \mathbb{R}$ . If, in addition,  $(\eta_0, u_0) \in \overline{X}_5$ , then  $(\eta, u) \in C(\mathbb{R}; \overline{X}_5)$  with  $\|(\eta, u)\|_{\overline{X}_5} := \|(\eta, u)\|_{\overline{X}_0} + \|\widetilde{A}(\eta, u)\|_{\overline{X}_0}$  constant.

Using the above corollary combined with some interpolation argument between  $\overline{X}_0$  and  $\overline{X}_5$ , we can deduce that, for any  $s \in (0, 5)$ , there exists a constant  $C_s > 0$  such that, for any  $(\eta_0, u_0) \in \overline{X}_s$ , the solution  $(\eta, u)$  of (2.8) satisfies  $(\eta, u) \in C(\mathbb{R}; \overline{X}_s)$  and

$$\|(\eta(t), u(t))\|_{\overline{X}_s} \leqslant C_s \|(\eta_0, u_0)\|_{\overline{X}_s}, \quad \forall t \in \mathbb{R}.$$
(2.10)

Now, we put our attention in the existence of traces. Indeed, we know that the traces

$$\eta(0,t), \ \eta(L,t), \ \eta_x(0,t), \ \eta_x(L,t), \ \eta_{xx}(0,t), \ u(0,t), \ u(L,t), \ u_x(0,t), \ u_x(L,t), \ u_{xx}(L,t),$$

vanish. Thus, we have a look at the other traces  $\eta_{xx}(0, t)$  and  $u_{xx}(L,t)$ .

**Proposition 2.6.** Let  $(\eta_0, u_0) \in \overline{X}_2$  and let  $(\eta, u)$  denote the solution of (2.8). Pick any T > 0. Then  $\eta_{xx}(L, t), u_{xx}(0, t) \in L^2(0, T)$  with

$$\int_{0}^{T} \left( |\eta_{xx}(L,t)|^{2} + |u_{xx}(0,t)|^{2} \right) \mathrm{d}t \leqslant C ||(\eta_{0},u_{0})||_{\overline{X}_{2}}^{2}$$
(2.11)

for some constant C = C(L, T, a, b).

**Proof.** Assume that  $(\eta_0, u_0) \in X_3$ , so that  $(\eta, u) \in C([0, T]; X_3) \cap L^1([0, T]; X_0)$ . We multiply the first (resp. second) equation in (2.8) by *xu* (resp.  $x\eta$ ), integrate over  $(0, T) \times (0, L)$ , integrate by parts and add the two obtained equations to get

$$-\frac{5b}{2}\int_{0}^{T}\int_{0}^{L}[\eta_{xx}^{2}+u_{xx}^{2}]dxdt - \frac{3a}{2}\int_{0}^{T}\int_{0}^{L}[\eta_{x}^{2}+u_{x}^{2}]dxdt - \frac{1}{2}\int_{0}^{T}\int_{0}^{L}[\eta^{2}+u^{2}]dxdt + \left[\int_{0}^{L}[x\eta u]dx\right]_{0}^{T} + \frac{bL}{2}\int_{0}^{T}(|\eta_{xx}(L,t)|^{2} + |u_{xx}(L,t)|^{2})dt = 0.$$
(2.12)

Since  $\int_0^T \|(\eta, u)\|_{\overline{X}_2}^2 \mathrm{d}t \leq C \|(\eta_0, u_0)\|_{\overline{X}_2}^2$ , this yields

$$\int_0^T |\eta_{xx}(L,t)|^2(t,L) \, \mathrm{d}t \leqslant C \|(\eta_0,u_0)\|_{\overline{X}_2}^2$$

By symmetry, using now as multipliers (L - x)u and  $(L - x)\eta$ , we infer that

$$\int_0^1 |u_{xx}(t,0)|^2 \, \mathrm{d}t \leqslant C \|(\eta_0,u_0)\|_{\overline{X}_2}^2.$$

Thus, (2.11) is established when  $(\eta_0, u_0) \in \overline{X}_3$ . Since  $\overline{X}_3$  is dense in  $\overline{X}_2$ , the result holds as well for  $(\eta_0, u_0) \in \overline{X}_2$ .

**Proposition 2.7.** Let  $(\eta_0, u_0) \in \overline{X}_3$  and let  $(\eta, u)$  denote the solution of (2.8). Then  $\eta_{xx}(L, t), u_{xx}(0, t) \in H^{\frac{1}{5}}(0, T)$  with

$$\|\eta_{xx}(L,\cdot)\|_{H^{\frac{1}{5}}(0,T)}^{2} + \|u_{xx}(0,\cdot)\|_{H^{\frac{1}{5}}(0,T)}^{2} \leqslant C\|(\eta_{0},u_{0})\|_{\overline{X}_{3}}^{2}$$

$$(2.13)$$

for some constant C = C(L, T, a, b).

**Proof.** In direction to prove (2.13), we consider  $(\eta_0, u_0) \in \overline{X}_7$ . By proposition 2.4,  $\widetilde{A}$  generates a group of isometries. Thus, by semigroup properties (see [17]) we obtain that  $(\eta, u) \in C(\mathbb{R}; \overline{X}_7)$ , so that

$$(\widehat{\eta}, \widehat{u}) = (\eta_t, u_t) = A(\eta, u) \in C(\mathbb{R}; \overline{X}_2)$$
(2.14)

and it solves

$$\begin{cases} (\widehat{\eta}, \widehat{u})_t = \widetilde{A}(\widehat{\eta}, \widehat{u}), \\ (\widehat{\eta}, \widehat{u})(0) = \widetilde{A}(\eta_0, u_0) \in \overline{X}_2. \end{cases}$$
(2.15)

Then, from (2.11), we deduce that

$$\|\eta_{xx}(\cdot,L)\|_{H^{1}(0,T)}^{2} + \|u_{xx}(\cdot,0)\|_{H^{1}(0,T)}^{2} \leq \|(\eta_{0},u_{0})\|_{\overline{X}_{7}}^{2}.$$
(2.16)

Since  $\overline{X}_3 = [\overline{X}_2, \overline{X}_7]_{\frac{1}{2}}$ , we infer from (2.11) and (2.16) that

$$\|\eta_{xx}(\cdot,L)\|_{H^{\frac{1}{5}}(0,T)}^{2} + \|u_{xx}(\cdot,0)\|_{H^{\frac{1}{5}}(0,T)}^{2} \leq \|(\eta_{0},u_{0})\|_{X_{3}}^{2}$$

for some constant C = C(T) and all  $(\eta_0, u_0) \in \overline{X}_3$ .

#### 3. Well-posedness: nonlinear system

In this section we prove the well-posedness for the nonlinear system

$$\begin{cases} \eta_t + u_x - au_{xxx} + a_1(\eta u)_x + a_2(\eta u_{xx})_x + bu_{xxxxx} = 0, \\ u_t + \eta_x - a\eta_{xxx} + a_1uu_x + a_3(\eta \eta_{xx})_x + a_4u_xu_{xx} + b\eta_{xxxxx} = 0, \\ \eta(x, 0) = \eta_0(x), \quad u(x, 0) = u_0(x), \end{cases}$$
(3.1)

with a > 0, b > 0,  $a \neq b$ ,  $a_1 > 0$ ,  $a_2 < 0$ ,  $a_3 > 0$  and  $a_4 > 0$ , with the following boundary conditions

$$\begin{cases} \eta(0,t) = \eta(L,t) = \eta_x(0,t) = \eta_x(L,t) = 0, \\ u(0,t) = u(L,t) = u_x(0,t) = u_x(L,t) = 0, \\ u_{xx}(0,t) + \alpha_1\eta_{xx}(0,t) = 0, \quad u_{xx}(L,t) - \alpha_2\eta_{xx}(L,t) = 0, \quad \alpha_1,\alpha_2 > 0. \end{cases}$$
(3.2)

Before presenting the proof of the main theorem of this section, it is necessary to establish some definition to show how the solution of the problem (3.1) and (3.2) is obtained.

**Definition 3.1.** Given T > 0,  $(\eta_0, u_0) \in \overline{X}_3$ ,  $(h_1, h_2) \in L^2(0, T; X_{-2})$  and  $f, g \in H^{-\frac{1}{5}}(0, T)$ , consider the non-homogeneous system

$$\begin{cases} \eta_t + u_x - au_{xxx} + bu_{xxxxx} = h_1, & \text{in } (0, L) \times (0, T), \\ u_t + \eta_x - a\eta_{xxx} + b\eta_{xxxxx} = h_2, & \text{in } (0, L) \times (0, T), \\ \eta(0, t) = \eta(L, t) = \eta_x(0, t) = \eta_x(L, t) = 0, \ \eta_{xx}(0, t) = f(t), & \text{on } (0, T), \\ u(0, t) = u(L, t) = u_x(0, t) = u_x(L, t) = 0, \ u_{xx}(L, t) = g(t), & \text{on } (0, T), \\ \eta(x, 0) = \eta_0(x), \quad u(x, 0) = u_0(x), & \text{on } (0, L). \end{cases}$$

$$(3.3)$$

A solution of the problem (3.3) is a function  $(\eta, u)$  in  $C([0, T]; \overline{X}_3)$  such that, for any  $\tau \in [0, T]$ and  $(\varphi_{\tau}, \psi_{\tau}) \in \overline{X}_3$ , the following identity holds

$$\begin{aligned} ((\eta(\tau), u(\tau)), (\varphi_{\tau}, \psi_{\tau}))_{\overline{X}_{3}} &= ((\eta_{0}, u_{0}), (\varphi(0), \psi(0)))_{\overline{X}_{3}} \\ &+ \langle f(t), \chi_{(0,\tau)}(t)\psi_{xx}(0, t) \rangle_{H^{-\frac{1}{5}}(0,T), H^{\frac{1}{5}}(0,T)} \\ &+ \langle g(t), \chi_{(0,\tau)}(t)\varphi_{xx}(L, t) \rangle_{H^{-\frac{1}{5}}(0,T), H^{\frac{1}{5}}(0,T)} \\ &+ \int_{0}^{\tau} \langle (h_{1}(t), h_{2}(t)), (\varphi(t), \psi(t)) \rangle_{(\overline{X}_{-2}, \overline{X}_{2})^{2}} \, \mathrm{d}t, \end{aligned}$$

$$(3.4)$$

where  $(\cdot, \cdot)_{\overline{X}_3}$  is the inner product of  $\overline{X}_3$ ,  $\langle \cdot, \cdot \rangle$  is the duality of two spaces,  $\chi_{(0,\tau)}(\cdot)$  denotes the characteristic function of the interval  $(0, \tau)$  and  $(\varphi, \psi)$  is the solution of

 $\begin{cases} \varphi_t + \psi_x - a\psi_{xxx} + b\psi_{xxxxx} = 0, & \text{in } (0, L) \times (0, \tau), \\ \psi_t + \varphi_x - a\varphi_{xxx} + b\varphi_{xxxxx} = 0 & \text{in } (0, L) \times (0, \tau), \\ \varphi(0, t) = \varphi(L, t) = \varphi_x(0, t) = \varphi_x(L, t) = \varphi_{xx}(0, t) = 0, & \text{on } (0, \tau), \\ \psi(0, t) = \psi(L, t) = \psi_x(0, t) = \psi_x(L, t) = \psi_{xx}(L, t) = 0, & \text{on } (0, \tau), \\ \varphi(x, \tau) = \varphi_\tau, \quad \psi(x, \tau) = \psi_\tau, & \text{on } (0, L). \end{cases}$ (3.5)

The well-posedness of (3.5) is guaranteed by corollary 2.5 and (2.10).

**Remark 1.** Note that the right hand side of (3.4) is well defined for all  $\tau \in [0, T]$ , since  $\psi_{xx}(0, \cdot)$  and  $\varphi_{xx}(L, \cdot)$  belong to  $H^{\frac{1}{5}}(0, \tau)$ , by proposition 2.7. The fact that  $\chi_{(0,\tau)}\psi_{xx}(0, \cdot)$  and  $\chi_{(0,\tau)}\varphi_{xx}(L, \cdot)$  belong to  $H^{\frac{1}{5}}(0, T)$ , for any  $\tau \in [0, T]$ , follows from [16, theorem 11.4, p 60].

The next result borrowed from [9], with minor changes, gives us the existence and uniqueness of solution for system (3.3). Its proof is presented here for the sake of completeness.

**Lemma 3.2.** Let T > 0,  $(\eta_0, u_0) \in \overline{X}_3$ ,  $(h_1, h_2) \in L^2(0, T; X_{-2})$  and  $f, g \in H^{-\frac{1}{5}}(0, T)$ . There exists a unique solution  $(\eta, u) \in C([0, T]; \overline{X}_3)$  of the system (3.3). Moreover, there exists a positive constant  $C_T$ , such that

$$\| (\eta(\tau), u(\tau)) \|_{\overline{X}_{3}} \leqslant C_{T} \left( \| (\eta_{0}, u_{0}) \|_{\overline{X}_{3}} + \| f \|_{H^{-\frac{1}{5}}(0,T)} + \| g \|_{H^{-\frac{1}{5}}(0,T)} + \| (h_{1}, h_{2}) \|_{L^{2}(0,T;X_{-2})} \right),$$

$$(3.6)$$

for all  $\tau \in [0, T]$ .

**Proof.** Let T > 0 and  $\tau \in [0, T]$ . From proposition 2.4,  $\widetilde{A}$  defined by (2.7) and (2.6) is skew adjoint and generates a  $C_0$ -semigroup  $\widetilde{S}(t)$ . Note that making the change of variable  $(x, t) \mapsto (\varphi(x, \tau - t), \psi(x, \tau - t))$  and taking  $(\varphi_{\tau}, \psi_{\tau}) \in \overline{X}_3$ , we have that the solution of (3.5) is given by

$$(arphi,\psi)=\widetilde{S}^*( au-t)(arphi_ au,\psi_ au)=-\widetilde{S}( au-t)(arphi_ au,\psi_ au).$$

Moreover, (2.10) implies that

$$(\varphi,\psi)\in C(\mathbb{R};X_3).$$

In particular, there exists  $C_T > 0$ , such that

$$\|(\varphi(t),\psi(t))\|_{\overline{X}_3} = \|\widetilde{S}^*(\tau-t)(\varphi_\tau,\psi_\tau)\|_{\overline{X}_3} \leqslant C_T \|(\varphi_\tau,\psi_\tau)\|_{\overline{X}_3}, \quad \forall t \in [0,\tau].$$
(3.7)

Let us define L a linear functional given by the right hand side of (3.4), that is,

$$\begin{split} L(\varphi_{\tau},\psi_{\tau}) &= \left( (\eta_{0},u_{0}),\tilde{S}^{*}(\tau)(\varphi_{\tau},\psi_{\tau}) \right)_{\overline{X}_{3}} \\ &+ \left\langle (g(t),f(t)),\chi_{(0,\tau)}(t) \frac{d^{2}}{dx^{2}} (\widetilde{S}^{*}(\tau-t)(\varphi_{\tau},\psi_{\tau})) \Big|_{0}^{L} \right\rangle_{(H^{-\frac{1}{5}}(0,T),H^{\frac{1}{5}}(0,T))^{2}} \\ &+ \int_{0}^{\tau} \left\langle (h_{1}(t),h_{2}(t)),\widetilde{S}^{*}(\tau-t)(\varphi_{\tau},\psi_{\tau}) \right\rangle_{(\overline{X}_{-2},\overline{X}_{2})^{2}} \mathrm{d}t. \end{split}$$

**Claim.** *L* belongs to  $\mathcal{L}(\overline{X}_3; \mathbb{R})$ .

Indeed, from the fact  $\overline{X}_3 \subset \overline{X}_2$  and proposition 2.7, we obtain that

$$\begin{split} L(\varphi_{\tau},\psi_{\tau}) &| \leqslant C_{T} \| (\eta_{0},u_{0}) \|_{\overline{X}_{3}} \| (\varphi_{\tau},\psi_{\tau}) \|_{\overline{X}_{3}} + C_{T} \| (\varphi_{\tau},\psi_{\tau}) \|_{\overline{X}_{3}} \| (h_{1},h_{2}) \|_{L^{1}(0,T;\overline{X}_{-2})} \\ &+ C_{T} \| (f,g) \|_{(H^{-\frac{1}{3}}(0,T))^{2}} \| (\varphi_{xx}(L),\psi_{xx}(0) \|_{(H^{\frac{1}{3}}(0,\tau))^{2}} \\ &\leqslant C_{T} \left( \| (\eta_{0},u_{0}) \|_{\overline{X}_{5}} + \| (f,g) \|_{(H^{-\frac{1}{3}}(0,T))^{2}} + \| (h_{1},h_{2}) \|_{L^{2}(0,T;X_{-2})} \right) \| (\varphi_{\tau},\psi_{\tau})) \|_{\overline{X}_{3}} \end{split}$$

where in the last inequality we use (3.7). Then, from Riesz representation theorem, there exists one and only one  $(\eta_{\tau}, u_{\tau}) \in \overline{X}_3$  such that

$$((\eta_{\tau}, u_{\tau}), (\varphi_{\tau}, \psi_{\tau}))_{\overline{X}_{3}} = L(\varphi_{\tau}, \psi_{\tau}), \quad \text{with} \quad \|(\eta_{\tau}, u_{\tau})\|_{\overline{X}_{3}} = \|L\|_{\mathcal{L}(\overline{X}_{3};\mathbb{R})} \quad (3.8)$$

and the uniqueness of the solution to the problem (3.3) holds.

We prove now that the solution of the system (3.3) satisfies (3.6). Let  $(\eta, u) : [0, T] \to \overline{X}_3$  be defined by

$$(\eta(\tau), u(\tau)) := (\eta_{\tau}, u_{\tau}), \quad \forall \tau \in [0, T].$$

$$(3.9)$$

From (3.8) and (3.9), (3.4) follows and

$$\begin{aligned} \|(\eta(\tau), u(\tau))\|_{\overline{X}_{3}} &= \|L\|_{\mathcal{L}(\overline{X}_{3};\mathbb{R})} \leqslant C_{T} \left( \|(\eta_{0}, u_{0})\|_{\overline{X}_{3}} + \|f\|_{(H^{-\frac{1}{5}}(0,T))} \right. \\ &+ \|g\|_{(H^{-\frac{1}{5}}(0,T))} + \|(h_{1}, h_{2})\|_{L^{2}(0,T;\overline{X}_{-2})} \right). \end{aligned}$$

In order to prove that the solution  $(\eta, u)$  belongs to  $C([0, T]; \overline{X}_3)$ , let  $\tau \in [0, T]$  and  $\{\tau_n\}_{n \in \mathbb{N}}$  be a sequence such that

$$\tau_n \longrightarrow \tau$$
, as  $n \to \infty$ .

Consider  $(\varphi_{\tau}, \psi_{\tau}) \in \overline{X}_3$  and  $\{(\varphi_{\tau_n}, \psi_{\tau_n})\}_{n \in \mathbb{N}}$  be a sequence in  $\overline{X}_3$  such that

$$(\varphi_{\tau_n}, \psi_{\tau_n}) \to (\varphi_{\tau}, \psi_{\tau})$$
 strongly in  $\overline{X}_3$ , as  $n \to \infty$ . (3.10)

Note that

$$\lim_{n \to \infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n)(\varphi_{\tau_n}, \psi_{\tau_n}) \right)_{\overline{X}_3} = \left( (\eta_0, w_0), \widetilde{S}^*(\tau)(\varphi_{\tau}, \psi_{\tau}) \right)_{\overline{X}_3}.$$
 (3.11)

Indeed,

$$\begin{split} \lim_{n \to \infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n)(\varphi_{\tau_n}, \psi_{\tau_n}) \right)_{\overline{X}_3} &= \lim_{n \to \infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n) \left( (\varphi_{\tau_n}, \psi_{\tau_n}) - (\varphi_{\tau}, \psi_{\tau}) \right) \right)_{\overline{X}_3} \\ &+ \lim_{n \to \infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n)(\varphi_{\tau}, \psi_{\tau}) \right)_{\overline{X}_3}. \end{split}$$

From (3.10) and since  $\{\tilde{S}(t)\}_{t\geq 0}$  is a strongly continuous group of continuous linear operators on  $X_0$ , we have

$$\lim_{n \to \infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n) \left( (\varphi_{\tau_n}, \psi_{\tau_n}) - (\varphi_{\tau}, \psi_{\tau}) \right) \right)_{\overline{X}_3} = 0$$

and consequently,

$$\lim_{n\to\infty} \left( (\eta_0, w_0), \widetilde{S}^*(\tau_n)(\varphi_\tau, \psi_\tau) \right)_{\overline{X}_3} = \left( (\eta_0, w_0), \widetilde{S}^*(\tau)(\varphi_\tau, \psi_\tau) \right)_{\overline{X}_3}.$$

Thus, (3.11) follows. Now, we have to analyze the following limits,

$$\lim_{n \to \infty} \left\langle (g(t), f(t)), \chi_{(0,\tau_n)}(t) \frac{d^2}{dx^2} (\widetilde{S}^*(\tau_n - t)(\varphi_{\tau_n}, \psi_{\tau_n})) \Big|_0^L \right\rangle_{(H^{-\frac{1}{5}}(0,T), H^{\frac{1}{5}}(0,T))^2}$$
(3.12)

and

$$\lim_{n \to \infty} \int_0^\tau \left\langle (h_1(t), h_2(t)), \widetilde{S}^*(\tau_n - t)(\varphi_{\tau_n}, \psi_{\tau_n}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_2)^2} \mathrm{d}t.$$
(3.13)

In fact, observe that, by group properties of  $\tilde{S}^*$  and proposition 2.7, we have that

$$\left\|\frac{\mathrm{d}^2}{\mathrm{d}x}\widetilde{S}^*(\tau-t)(\varphi_\tau,\psi_\tau)\right|_0^L\right\|_{[H^{\frac{1}{5}}(0,\tau)]^2} \leqslant C\|(\varphi_\tau,\psi_\tau)\|_{\overline{X}_3}.$$

Thus, the linear map  $(\varphi_{\tau}, \psi_{\tau}) \in \overline{X}_3 \mapsto \frac{d^2}{dx^2} (\widetilde{S}^*(\tau - \cdot)(\psi_{\tau}, \varphi_{\tau})) \Big|_0^L$  belongs to  $H^{\frac{1}{5}}(0, \tau; \mathbb{R}^2)$ and it is continuous. Moreover, as the natural extension by 0 outside  $(0, \tau)$  is a continuous map from  $H^{\frac{1}{5}}(0, \tau)$  into  $H^{\frac{1}{5}}(0, T)$  (see [16, theorem 11.4, p 60]), we obtain that the map  $(\varphi_{\tau}, \psi_{\tau}) \in \overline{X}_3 \mapsto \chi_{(0,\tau_n)}(\cdot) \frac{d^2}{dx^2} (\widetilde{S}^*(\tau - \cdot)(\psi_{\tau}, \varphi_{\tau})) \Big|_0^L$  belongs to  $H^{\frac{1}{5}}(0, T; \mathbb{R}^2)$  and it is continuous, as well. Since a continuous linear map between two Hilbert spaces is weakly continuous, (3.10) implies that

$$\chi_{(0,\tau_n)}(t)\frac{\mathrm{d}^2}{\mathrm{d}x^2}(\widetilde{S}^*(\tau_n-\cdot)(\varphi_{\tau_n},\psi_{\tau_n}))\Big|_0^L \rightharpoonup \chi_{(0,\tau)}(t)\frac{\mathrm{d}^2}{\mathrm{d}x^2}(\widetilde{S}^*(\tau-\cdot)(\varphi_{\tau},\psi_{\tau}))\Big|_0^L,\tag{3.14}$$

weakly in  $H^{\frac{1}{5}}([0,T];\mathbb{R}^2)$ , as  $n \to \infty$ . Thus, by using (3.14), the limit (3.12) yields that

$$\lim_{n \to \infty} \left\langle (g(t), f(t)), \chi_{(0,\tau_n)}(t) \frac{d^2}{dx^2} (\widetilde{S}^*(\tau_n - t)(\varphi_{\tau_n}, \psi_{\tau_n})) \Big|_0^L \right\rangle_{(H^{-\frac{1}{5}}(0,T), H^{\frac{1}{5}}(0,T))^2} 
= \left\langle (g(t), f(t)), \chi_{(0,\tau)}(t) \frac{d^2}{dx^2} (\widetilde{S}^*(\tau - t)(\varphi_{\tau}, \psi_{\tau})) \Big|_0^L \right\rangle_{(H^{-\frac{1}{5}}(0,T), H^{\frac{1}{5}}(0,T))^2} .$$
(3.15)

On the other hand, extending by zero the functions  $h_i$ , for i = 1, 2, we obtain elements of  $[H^{\frac{1}{5}}(-T,T)]'$  and  $L^2(-T,T;\overline{X}_{-2})$ , that is,

$$h_i \equiv 0$$
 a.e in  $(-T, 0) \times (0, L)$ 

and setting  $s = \tau_n - t$ , we have that

$$\int_{0}^{\tau_{n}} \left\langle (h_{1}(t), h_{2}(t)), \widetilde{S}^{*}(\tau_{n} - t)(\varphi_{\tau_{n}}, \psi_{\tau_{n}}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_{2})^{2}} dt = \int_{0}^{T} \chi_{(0, \tau_{n})}(s) \left\langle (h_{1}(\tau_{n} - s), h_{2}(\tau_{n} - s)), \widetilde{S}^{*}(s)(\varphi_{\tau_{n}}, \psi_{\tau_{n}}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_{2})^{2}} dt.$$
(3.16)

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Similarly, taking  $s = \tau - t$  in (3.13), we get

$$\int_{0}^{T} \left\langle (h_{1}(t), h_{2}(t)), \widetilde{S}^{*}(\tau - t)(\varphi_{\tau}, \psi_{\tau}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_{2})^{2}} dt = \int_{0}^{T} \chi_{(0,\tau)}(s) \left\langle (h_{1}(\tau - s), h_{2}(\tau - s)), \widetilde{S}^{*}(s)(\varphi_{\tau}, \psi_{\tau}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_{2})^{2}} dt.$$
(3.17)

Since the translation in time is continuous in  $L^2(0,T;X_{-2})$  and using the dominated convergence theorem, we obtain

$$\chi_n(\cdot)(h_1(\tau_n-\cdot,\cdot),h_2(\tau_n-\cdot,\cdot)) \longrightarrow \chi(\cdot)(h_1(\tau-\cdot,\cdot),h_2(\tau-\cdot,\cdot)), \qquad (3.18)$$

in  $L^2(0,T;X_{-2})$ , as  $n \to \infty$ . Similarly, by the strong continuity of the group, it follows that

$$\widetilde{S}^*(\cdot)(\varphi_{ au_n},\psi_{ au_n}) 
ightarrow \widetilde{S}^*(\cdot)(\varphi_{ au},\psi_{ au})$$

weakly in  $L^2(-T, T; X_0)$ , as  $n \to \infty$ . In particular, we obtain that

$$\tilde{S}^{*}(\cdot)(\varphi_{\tau_{n}},\psi_{\tau_{n}}) \rightharpoonup \tilde{S}^{*}(\cdot)(\varphi_{\tau},\psi_{\tau}), \qquad (3.19)$$

weakly in  $L^2(-T, T; \overline{X}_2)$ , as  $n \to \infty$ . By using (3.16)–(3.19), the limit (3.13) yields that

$$\lim_{n \to \infty} \int_0^{\tau_n} \left\langle (h_1(t), h_2(t)), \widetilde{S}^*(\tau_n - t)(\varphi_{\tau_n}, \psi_{\tau_n}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_2)^2} \mathrm{d}t$$
$$= \int_0^{\tau} \left\langle (h_1(t), h_2(t)), \widetilde{S}^*(\tau - t)(\varphi_{\tau}, \psi_{\tau}) \right\rangle_{(\overline{X}_{-2}, \overline{X}_2)^2} \mathrm{d}t.$$
(3.20)

Finally, from (3.8), (3.9), (3.11), (3.15) and (3.20), one gets

$$((\eta(\tau_n), w(\tau_n)), (\varphi_{\tau_n}, \psi_{\tau_n}))_{\overline{X}_3} \longrightarrow ((\eta(\tau), w(\tau)), (\varphi_{\tau}, \psi_{\tau}))_{\overline{X}_3}, \text{ as } n \to \infty,$$

which implies that

$$(\eta(\tau_n), w(\tau_n)) \longrightarrow (\eta(\tau), w(\tau))$$
 in  $\overline{X}_3$ , as  $n \to \infty$ .

This concludes the proof.

The next result establishes the well-posedness of the non-homogeneous feedback linear system associated to (3.3).

**Lemma 3.3.** Let T > 0. Then, for every  $(\eta_0, u_0)$  in  $\overline{X}_3$  and  $(h_1, h_2)$  in  $L^2(0, T; X_{-2})$ , there exists a unique solution  $(\eta, u)$  of the system (3.3) such that

$$(\eta, u) \in C([0, T]; \overline{X}_3),$$

with  $f(t) := -\alpha_1 \eta_{xx}(0, t)$  and  $g(t) := \alpha_2 \eta_{xx}(L, t)$ , where  $\alpha_1$  and  $\alpha_2$  belong to  $\mathbb{R}$ . Moreover, for some positive constant C = C(T), we have

$$\|(\eta(t), u(t))\|_{\overline{X}_3} \leqslant C\left(\|(\eta_0, u_0)\|_{\overline{X}_3} + \|(h_1, h_2)\|_{L^2(0,T;X_{-2})}\right), \quad \forall t \in [0, T].$$

**Proof.** Firstly, note that if  $(\eta, u) \in C([0, T]; \overline{X}_3)$ , then

$$f(t) := -\alpha_1 \eta_{xx}(0, t)$$
 and  $g(t) := \alpha_2 \eta_{xx}(L, t) \in H^{-\frac{1}{5}}(0, T).$ 

In fact, by using the continuous embedding  $L^2(0,T) \subset H^{-\frac{1}{5}}(0,T)$  and the trace theorem [1, theorem 7.53], there exists a positive constant  $C := C(L, \alpha_1, \alpha_2)$  such that

$$\begin{aligned} \|\alpha_{1}\eta_{xx}(0,\cdot)\|_{H^{-\frac{1}{5}}(0,T)}^{2} + \|\alpha_{2}\eta_{xx}(L,\cdot)\|_{H^{-\frac{1}{5}}(0,T)}^{2} \leqslant C \int_{0}^{T} \left(\alpha_{1}^{2}\eta_{xx}^{2}(0,t) + \alpha_{2}^{2}\eta_{xx}^{2}(L,t)\right) dt \\ & \leqslant C \int_{0}^{T} \|\eta(t)\|_{\overline{X}_{3}}^{2} dt \\ & \leqslant TC\|(\eta,u)\|_{C([0,T];\overline{X}_{3})}^{2}. \end{aligned}$$

$$(3.21)$$

Let  $0 < \beta \leq T$  that will be determinate later. For each  $(\eta_0, u_0) \in \overline{X}_3$ , consider the map

$$\begin{array}{cccc} \Gamma : & C([0,\beta];\overline{X}_3) & \longrightarrow & C([0,\beta];\overline{X}_3) \\ & (\eta,u) & \longmapsto & \Gamma(\eta,u) = (w,v) \end{array}$$

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where, (w, v) is the solution of the system (3.3) with  $f(t) = -\alpha_1 \eta_{xx}(0, t)$  and  $g(t) = \alpha_2 \eta_{xx}(L, t)$ . By lemma 3.2 and (3.21), the linear map  $\Gamma$  is well defined. Furthermore, there exists a positive constant  $C_\beta$ , such that

$$\begin{aligned} \|\Gamma(\eta, u)\|_{C([0,\beta];\overline{X}_3)} &\leqslant C_\beta \left( \|(\eta_0, u_0)\|_{\overline{X}_3} + \|(\alpha_1\eta_{xx}(0, t), \alpha_2\eta_{xx}(L, t))\|_{(H^{-\frac{1}{5}}(0,\beta))^2} + \|(h_1, h_2)\|_{L^2(0,T;\overline{X}_{-2})} \right). \end{aligned}$$

Then,

$$\|\Gamma(\eta, u)\|_{C([0,\beta];\overline{X}_3)} \leq C_T\left(\|(\eta_0, u_0)\|_{\overline{X}_3} + \|(h_1, h_2)\|_{L^2(0,T;\overline{X}_{-2})}\right) + C_T\beta^{\frac{1}{2}}\|(\eta, w)\|_{C([0,\beta];\overline{X}_3)}.$$

Let

$$(\eta, u) \in B_R(0) := \{(\eta, u) \in C([0, \beta]; \overline{X}_3) : ||(\eta, u)||_{C([0, \beta]; \overline{X}_3)} \leq R\},\$$

with

$$R = 2C_T \left( \|(\eta_0, u_0)\|_{\overline{X}_3} + \|(h_1, h_2)\|_{L^2(0,T;\overline{X}_{-2})} \right).$$

Choosing  $\beta$  such that  $C_T \beta^{\frac{1}{2}} \leq \frac{1}{2}$ , it implies that  $\|\Gamma(\eta, u)\|_{C([0,\beta];\overline{X}_3)} \leq R$ , for all  $(\eta, u) \in B_R(0)$ , i.e.  $\Gamma$  maps  $B_R(0)$  into  $B_R(0)$ .

On the other hand, note that

$$\begin{aligned} \|\Gamma(\eta_1, u_1) - \Gamma(\eta_2, u_2)\|_{C([0,\beta]; \overline{X}_3)} &\leqslant C_T \beta^{\frac{1}{2}} \|(\eta_1 - \eta_2, u_1 - u_2)\|_{C([0,\beta]; \overline{X}_3)} \\ &\leqslant \frac{1}{2} \|(\eta_1 - \eta_2, u_1 - u_2)\|_{C([0,\beta]; \overline{X}_3)}. \end{aligned}$$

Hence,  $\Gamma : B_R(0) \longrightarrow B_R(0)$  is a contraction and, by Banach fixed point theorem, we obtain a unique  $(\eta, u) \in B_R(0)$ , such that  $\Gamma(\eta, u) = (\eta, u)$  and

$$\|(\eta, u)\|_{C([0,\beta];\overline{X}_3)} \leq 2C_T \left(\|(\eta_0, u_0)\|_{\overline{X}_3} + \|(h_1, h_2)\|_{L^2(0,T;\overline{X}_{-2})}\right).$$

Since the choice of  $\beta$  is independent of  $(\eta_0, u_0)$ , the standard continuation extension argument yields that the solution  $(\eta, u)$  belongs to  $C([0, \beta]; \overline{X}_3)$ , thus, the proof is complete.

We are now in position to prove one of the main results of this article.

## 3.1. Proof of theorem 1.1

Let T > 0 and  $\|(\eta_0, u_0)\|_{\overline{X}_3} < \rho$ , where  $\rho > 0$  will be determined later. Note that for  $(\eta, u) \in C([0, T]; \overline{X}_3)$ , there exists a positive constant  $C_1$  such that

$$\|\eta u_{x}\|_{L^{2}(0,T;L^{2}(0,L))}^{2} \leqslant \int_{0}^{T} \|\eta(t)\|_{L^{\infty}(0,L)}^{2} \|u_{x}(t)\|_{L^{2}(0,L)}^{2} dt$$
  
$$\leqslant C_{1}^{\prime} \int_{0}^{T} \|\eta(t)\|_{H^{1}(0,L)}^{2} \|u(t)\|_{H^{1}(0,L)}^{2} dt$$
  
$$\leqslant C_{1}^{2}T\|(\eta,u)\|_{C([0,T];\overline{X}_{3})}^{4}, \qquad (3.22)$$

$$\begin{aligned} \|\eta u_{xx}\|_{L^{2}(0,T;L^{2}(0,L))}^{2} &\leqslant \int_{0}^{T} \|\eta(t)\|_{L^{\infty}(0,L)}^{2} \|u_{xx}(t)\|_{L^{2}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{\prime} \int_{0}^{T} \|\eta(t)\|_{H^{2}(0,L)}^{2} \|u(t)\|_{H^{2}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{2} T \|(\eta,u)\|_{C([0,T];\overline{X}_{3})}^{4}, \end{aligned}$$
(3.23)

$$\begin{aligned} \|\eta_{x}u_{xx}\|_{L^{2}(0,T;L^{2}(0,L))}^{2} &\leqslant \int_{0}^{T} \|\eta_{x}(t)\|_{L^{\infty}(0,L)}^{2} \|u_{xx}(t)\|_{L^{2}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{\prime} \int_{0}^{T} \|\eta(t)\|_{H^{2}(0,L)}^{2} \|u(t)\|_{H^{2}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{2}T\|(\eta,u)\|_{C([0,T];\overline{X}_{3})}^{4}, \end{aligned}$$
(3.24)

and

$$\begin{aligned} \|\eta u_{xxx}\|_{L^{2}(0,T;L^{2}(0,L))}^{2} &\leqslant \int_{0}^{T} \|\eta(t)\|_{L^{\infty}(0,L)}^{2} \|u_{xxx}(t)\|_{L^{2}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{\prime} \int_{0}^{T} \|\eta(t)\|_{H^{3}(0,L)}^{2} \|u(t)\|_{H^{3}(0,L)}^{2} \mathrm{d}t \\ &\leqslant C_{1}^{2}T\|(\eta,u)\|_{C([0,T];\overline{X}_{3})}^{4}. \end{aligned}$$

$$(3.25)$$

This implies that, for any  $(\eta, u) \in C([0, T]; \overline{X}_3)$  and  $a_i \in \mathbb{R}$ , with i = 1, 2, 3, 4, we have that  $-a_1(\eta u)_x - a_2(\eta u_{xx}), -a_1uu_x - a_3(\eta \eta_{xx})_x - a_4u_xu_{xx} \in L^2(0, T; \overline{X}_0) \subset L^2(0, T; \overline{X}_{-2})$ . Consider the following linear map

$$\begin{array}{ccc} \Gamma: & C([0,T];\overline{X}_3) & \longrightarrow & C([0,T];\overline{X}_3) \\ & (\eta,u) & \longmapsto & \Gamma(\eta,w) = (\overline{\eta},\overline{u}), \end{array}$$

where  $(\overline{\eta}, \overline{u})$  is the solution of the system (3.3) with

$$(h_1, h_2) = (-a_1(\eta u)_x - a_2(\eta u_{xx}), -a_1 u u_x - a_3(\eta \eta_{xx})_x - a_4 u_x u_{xx})$$

in  $L^2(0,T;\overline{X}_{-2})$ , with  $f(t) := -\alpha_1 \eta_{xx}(0,t)$  and  $g(t) := \alpha_2 \eta_{xx}(L,t)$ .

**Claim.** The map  $\Gamma$  is well-defined, maps  $B_R(0)$  into itself and it is a contraction in a ball.

Indeed, firstly note that lemma 3.3 ensures that  $\Gamma$  is well-defined, moreover, using lemma 3.2, there exists a positive constant  $C_T$ , such that

$$\begin{aligned} \|\Gamma(\eta, u)\|_{C([0,T];\overline{X}_3)} &\leqslant C_T \left( \|(\eta_0, u_0)\|_{\overline{X}_3} + \|a_1(\eta u)_x + a_2(\eta u_{xx})\|_{L^2(0,T;\overline{X}_{-2})} \right. \\ &+ \|a_1 u u_x + a_3(\eta \eta_{xx})_x + a_4 u_x u_{xx}\|_{L^2(0,T;\overline{X}_{-2})} + \|w w_x\|_{L^2(0,T;\overline{X}_{-2})} \right). \end{aligned}$$

Then, equations (3.22)–(3.24) and (3.25) yield that

$$\begin{aligned} \|\Gamma(\eta, u)\|_{C([0,T];\overline{X}_{3})} &\leqslant C_{T} \|(\eta_{0}, u_{0})\|_{\overline{X}_{3}} \\ &+ (3|\alpha_{1}| + |a_{2}| + 2|a_{3}| + |a_{4}|)T^{1/2}C_{T}C_{1}\|(\eta, u)\|_{C([0,T];\overline{X}_{3})}^{2} \\ &\leqslant C_{T} \|(\eta_{0}, u_{0})\|_{\overline{X}_{3}} + 7MT^{1/2}C_{T}C_{1}\|(\eta, u)\|_{C([0,T];\overline{X}_{3})}^{2}, \end{aligned}$$

$$(3.26)$$

where  $M = \max\{|a_1|, |a_2|, |a_3|, |a_4|\}$ . Consider the ball

$$B_{R}(0) = \left\{ (\eta, u) \in C([0, T]; \overline{X}_{3}) : \|(\eta, u)\|_{C([0, T]; \overline{X}_{3})} \leq R \right\},\$$

where  $R = 2C_T ||(\eta_0, u_0)||_{\overline{X}_3}$ . From the estimate (3.26) we get that

$$\|\Gamma(\eta, u)\|_{C([0,T];\overline{X}_3)} \leqslant \frac{R}{2} + 7MT^{1/2}C_TC_1R^2 < \frac{R}{2} + 14MT^{1/2}C_T^2C_1\rho R,$$

for all  $(\eta, u) \in B_R(0)$ . Consequently, if we choose  $\rho > 0$  such that

$$14MT^{1/2}C_T^2C_1\rho < \frac{1}{4}, (3.27)$$

 $\Gamma$  maps the ball  $B_R(0)$  into itself. Finally, note that

$$\begin{split} \|\Gamma(\eta_{1},u_{1})-\Gamma(\eta_{2},u_{2})\|_{C([0,T];\overline{X}_{3})} \leqslant & C_{T} \|a_{1}((\eta_{2}u_{2})_{x}-(\eta_{1}u_{1})_{x})\|_{L^{2}(0,T;\overline{X}_{-2})} \\ &+ C_{T} \|a_{2}((\eta_{2}u_{2,xx})_{x}-(\eta_{1}u_{1,xx})_{x})\|_{L^{2}(0,T;\overline{X}_{-2})} \\ &+ C_{T} \|a_{1}(u_{2}u_{2,x}-u_{1}u_{1,x})\|_{L^{2}(0,T;\overline{X}_{-2})} \\ &+ C_{T} \|a_{3}((\eta_{2}\eta_{2,xx})_{x}-(\eta_{1}\eta_{1,xx})_{x})\|_{L^{2}(0,T;\overline{X}_{-2})} \\ &+ C_{T} \|a_{4}(u_{2,x}u_{2,xx}-u_{1}u_{1,xx})\|_{L^{2}(0,T;\overline{X}_{-2})}. \end{split}$$

Thus, we obtain

$$\begin{split} &\|\Gamma(\eta_{1},u_{1})-\Gamma(\eta_{2},u_{2})\|_{C([0,T];\overline{X}_{3})} \\ &\leqslant 3T^{1/2}C_{T}C_{1}M(\|\eta_{1}\|_{C([0,T];H^{3}(0,L))}+\|\eta_{2}\|_{C([0,T];H^{3}(0,L))})\|u_{1}-u_{2}\|_{C([0,T];H^{3}(0,L))} \\ &+ 3T^{1/2}C_{T}C_{1}M(\|u_{1}\|_{C([0,T];H^{3}(0,L))}+\|u_{2}\|_{C([0,T];H^{3}(0,L))})\|\eta_{1}-\eta_{2}\|_{C([0,T];H^{3}(0,L))} \\ &+ 2T^{1/2}C_{T}C_{1}M(\|u_{1}\|_{C([0,T];H^{3}(0,L))}+\|u_{2}\|_{C([0,T];H^{3}(0,L))})\|u_{1}-u_{2}\|_{C([0,T];H^{3}(0,L))} \end{split}$$

Finally, it follows that

$$\begin{aligned} \|\Gamma(\eta_1, u_1) - \Gamma(\eta_2, u_2)\|_{C([0,T];\overline{X}_3)} &\leq 14T^{1/2}C_TC_1MR \|(\eta_1 - \eta_2, u_1 - u_2)\|_{C([0,T];\overline{X}_3)} \\ &< 28T^{1/2}C_T^2C_1M\rho \|(\eta_1 - \eta_2, u_1 - u_2)\|_{C([0,T];\overline{X}_3)}. \end{aligned}$$

Therefore, from (3.27), we get

$$\|\Gamma(\eta_1, u_1) - \Gamma(\eta_2, u_2)\|_{C([0,T];\overline{X}_3)} \leq \frac{1}{2} \|(\eta_1 - \eta_2, u_1 - u_2)\|_{C([0,T];\overline{X}_3)},$$

for all  $(\eta, u) \in B_T(0)$ . Hence,  $\Gamma : B_R(0) \longrightarrow B_R(0)$  is a contraction and the claim is archived. Thanks to Banach fixed point theorem, we obtain a unique  $(\eta, u) \in B_R$ , such that

 $\Gamma(\eta, u) = (\eta, u)$  and

$$\|(\eta, w)\|_{C([0,T];\overline{X}_3)} \leq 2C_T \|(\eta_0, u_0)\|_{\overline{X}_3}$$

Thus, the proof is archived.

#### 3.2. Well-posedness in time

Adapting the proof of theorem 1.1, one can also prove, without any restriction over the initial data ( $\eta_0$ ,  $u_0$ ), that there exist  $T^* > 0$  and a solution ( $\eta$ , u) of (3.1) and (3.2), satisfying the initial condition  $\eta(\cdot, 0) = \eta_0(\cdot)$  and  $u(\cdot, 0) = u_0(\cdot)$ . More precisely,

**Theorem 3.4.** Let  $(\eta_0, u_0) \in \overline{X}_3$ . Then, there exists  $T^* > 0$  and a unique solution  $(\eta, u) \in C([0, T^*]; \overline{X}_3)$  of (3.1) and (3.2). Moreover

$$\|(\eta, u)\|_{C([0,T];\overline{X}_3)} \leq C \|(\eta_0, u_0)\|_{\overline{X}_3},$$

for some positive constant  $C = C(T^*)$ .

Observe that if  $(\eta_1, u_1) \in C([0, T_1]; \overline{X}_3)$  and  $(\eta_2, u_2) \in C([0, T_2]; \overline{X}_3)$  are the solutions given by the theorem 1.1 with initial data  $(\eta_0, u_0)$  and  $(\eta_1(T_1), u_1(T_1))$ , respectively, the function  $(\eta, u) : [0, T_1 + T_2] \to \overline{X}_3$  defined by

$$(\eta(t), u(t)) = \begin{cases} (\eta_1(t), u_1(t)) & \text{if } t \in [0, T_1], \\ (\eta_2(t - T_1), u_2(t - T_2)) & \text{if } t \in [T_1, T_1 + T_2], \end{cases}$$

is the solution of the feedback system on interval  $[0, T_1 + T_2]$  with initial data  $(\eta_0, u_0)$ . This argument allows us to extend a local solution until a maximal interval, that is, for all  $0 < T < T_{\text{max}} \leq \infty$  there exists a function  $(\eta, u) \in C([0, T]; \overline{X}_3)$ , solution of the feedback system (3.1) and (3.2). The following proposition, easily holds:

**Proposition 3.5.** Let  $(\eta_0, u_0) \in \overline{X}_3$  and  $(\eta, w) \in C([0, T]; \overline{X}_3)$  solution of the feedback system, for all  $0 < T < T_{\text{max}}$ , with initial data  $(\eta_0, u_0)$ . Then, only one of the following assertions holds:

(i)  $T_{\max} = \infty$ ; (ii) If  $T_{\max} < \infty$ , then,  $\lim_{t \to T_{\max}} \|(\eta(t), w(t))\|_{\overline{X}_3} = \infty$ .

#### 4. Exponential stability for the linearized system

Let us now to prove theorem 1.2 concerning the exponential stability for the linear system (2.1).

 $\square$ 

**Proof of theorem 1.2.** Theorem 1.2 is a consequence of the following claim:

*There exists a constant* C > 0, *such that* 

$$\|(\eta_0, u_0)\|_{X_0}^2 \leqslant C \int_0^T \left( |\eta_{xx}(L, t)|^2 + |\eta_{xx}(0, t)|^2 \right) \mathrm{d}t, \tag{4.1}$$

where  $(\eta, u)$  is the solution of (2.1) given by proposition 2.2.

Indeed, if (4.1) is true, we get

$$E(T) - E(0) \leqslant -\frac{E(0)}{C},$$

where E(t) is defined by (1.4). This implies that

$$E(T) \leqslant E(0) - \frac{E(0)}{C} \leqslant E(0) - \frac{E(T)}{C}.$$

Thus,

$$E(T) \leqslant \left(\frac{C}{C+1}\right) E(0),$$

which gives theorem 1.2 by using the semigroup property associated to the model.

We will divide the proof of the observability inequality (4.1) in three steps as follows:

#### **Proof of (4.1).** Step 1: compactness-uniqueness argument

We argue by contradiction. Suppose that (4.1) does not hold, then there exists a sequence  $\{(\eta_{0,n}, u_{0,n})\}_{n \in \mathbb{N}} \in X_0$ , such that

$$1 = \|(\eta_{0,n}, u_{0,n})\|_{X_0}^2 > n \int_0^T \left( |\eta_{n,xx}(L,t)|^2 + |\eta_{n,xx}(0,t)|^2 \right) \mathrm{d}t, \tag{4.2}$$

where  $(\eta_n(t), u_n(t)) = S(t)(\eta_{0,n}, u_{0,n})$ . Thus, from (4.2) we obtain

$$\lim_{n \to \infty} \int_0^T \left( |\eta_{n,xx}(L,t)|^2 + |\eta_{n,xx}(0,t)|^2 \right) \mathrm{d}t = 0.$$
(4.3)

Estimate (2.4) in proposition 2.3, together with (4.2), imply that the sequence  $\{(\eta_n, u_n)\}_{n \in \mathbb{N}}$  is bounded in  $L^2(0, T; X_2)$ . Furthermore, by (2.1) we deduce that  $\{(\eta_{n,t}, u_{n,t})\}_{n \in \mathbb{N}}$  is bounded in  $L^2(0, T; X_{-3})$ . Thus, the compact embedding

$$X_2 \hookrightarrow X_0 \hookrightarrow X_{-3},\tag{4.4}$$

allows us to conclude that  $\{(\eta_n, u_n)\}_{n \in \mathbb{N}}$  is relatively compact in  $L^2(0, T; X_0)$  and, consequently, we obtain a subsequence, still denoted by the same index *n*, satisfying

$$(\eta_n, u_n) \to (\eta, u) \text{ in } L^2(0, T; X_0), \text{ as } n \to \infty.$$
 (4.5)

Moreover, using (2.3), (4.3) and (4.5), we obtain that  $\{(\eta_{0,n}, u_{0,n})\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $X_0$ . Hence, there exists  $(\eta_0, u_0) \in X_0$ , such that

$$(\eta_{0,n}, u_{0,n}) \to (\eta_0, u_0) \text{ in } X_0, \text{ as } n \to \infty,$$
(4.6)

and, from (4.2) we get  $\|(\eta_0, u_0)\|_{X_0} = 1$ . On the other hand, note that combining (2.2), (4.3) and (4.6), we obtain a subsequence  $\{(\eta_n, u_n)\}_{n \in \mathbb{N}}$ , such that

$$(\eta_n, u_n) \to (\eta, u) \text{ in } C([0, T]; X_0), \text{ as } n \to \infty.$$
 (4.7)

In particular,

$$(\eta(0), u(0)) = \lim_{n \to \infty} (\eta_n(0), u_n(0)) = \lim_{n \to \infty} (\eta_{0,n}, u_{0,n}) = (\eta_0, u_0).$$

Consequently, passing to the weak limit, by proposition 2.2, we obtain

$$(\eta(t), u(t)) = S(t)(\eta_0, u_0).$$

Moreover, from (4.3), we obtain that

$$\int_0^T \left( |\eta_{xx}(L,t)|^2 + |\eta_{xx}(0,t)|^2 \right) \mathrm{d}t \leq \liminf_{n \to \infty} \int_0^T \left( |\eta_{n,xx}(L,t)|^2 + |\eta_{n,xx}(0,t)|^2 \right) \mathrm{d}t.$$

Thus, we have that  $(\eta, u)$  is the solution of the IBVP (2.1) with initial data  $(\eta_0, w_0)$  which satisfies, additionally,

$$\eta_{xx}(L,t) = \eta_{xx}(0,t) = 0 \tag{4.8}$$

and

$$\|(\eta_0, u_0)\|_{X_0} = 1. \tag{4.9}$$

Notice that (4.9) implies that the solution  $(\eta, u)$  can not be identically zero. However, from lemma bellow, one can conclude that  $(\eta, u) = (0, 0)$ , which drive us to a contradiction.

## Step 2: reduction to a spectral problem

**Lemma 4.1.** For any T > 0, let  $N_T$  denote the space of the initial states  $(\eta_0, u_0) \in X_0$ , such that the solution  $(\eta(t), u(t)) = S(t)(\eta_0, u_0)$  of (2.1) satisfies (4.8). Then,  $N_T = \{0\}$ .

**Proof.** The proof uses the same arguments as those given in [10, theorem 3.7]. If  $N_T \neq \{0\}$ , the map  $(\eta_0, u_0) \in \mathbb{C}N_T \to A(N_T) \subset \mathbb{C}N_T$  (where  $\mathbb{C}N_T$  denote the complexification of  $N_T$ ) has (at least) one eigenvalue. Hence, there exists  $\lambda \in \mathbb{C}$  and  $\eta_0, u_0 \in H^5(0, L) \setminus \{0\}$ , such that

$$\begin{cases} \lambda \eta_0 + u'_0 - a u'''_0 + b u'''''_0 = 0, & \text{in } (0, L), \\ \lambda u_0 + \eta'_0 - a \eta'''_0 + b \eta'''''_0 = 0, & \text{in } (0, L), \\ \eta_0(0) = \eta_0(L) = \eta'_0(0) = \eta'_0(L) = \eta''_0(0) = \eta''_0(L) = 0, \\ u_0(0) = u_0(L) = u'_0(0) = u'_0(L) = u''_0(0) = u''_0(L) = 0. \end{cases}$$

To obtain the contradiction, it remains to prove that a triple  $(\lambda, \eta_0, u_0)$  as above does not exist.

## Step 3: Möbius transformation

To simplify the notation, henceforth we denote  $(\eta_0, u_0) := (\eta, u)$ . Moreover, the notation  $\{0, L\}$  means that the function is applied to 0 and *L*, respectively.

**Lemma 4.2.** Let L > 0 and consider the assertion

$$(\mathcal{N}): \exists \lambda \in \mathbb{C}, \exists (\eta, u) \in (H_0^2(0, L) \cap H^5(0, L))^2 \text{ such that} \\ \begin{cases} \lambda \eta + u' - au''' + bu'''' = 0, & \text{in } (0, L), \\ \lambda u + \eta' - a\eta''' + b\eta''''' = 0, & \text{in } (0, L), \\ \eta(x) = \eta'(x) = \eta''(x) = 0, & \text{in } \{0, L\}, \\ u(x) = u'(x) = u''(x) = 0, & \text{in } \{0, L\}. \end{cases}$$

Then, if  $(\lambda, \eta, u) \in \mathbb{C} \times (H_0^2(0, L) \cap H^5(0, L))^2$  is solution of  $(\mathcal{N})$ , then  $\eta = u = 0$ .

**Proof.** Firstly, let us consider the following change of variable  $\varphi(x) = \eta(x) \pm u(x)$ , thus we have the problem in only one equation:

$$\begin{cases} \lambda \varphi + \varphi' - a\varphi''' + b\varphi''''' = 0, & \text{in } (0, L), \\ \varphi(x) = \varphi'(x) = \varphi''(x) = 0, & \text{in } \{0, L\}. \end{cases}$$

$$\tag{4.10}$$

Note that, if we multiply the equation in (4.10) by  $\overline{\varphi}$  and integrate in [0, L], it is easy to see that  $\lambda$  is purely imaginary, i.e.  $\lambda = ir$ , for  $r \in \mathbb{R}$ . Now, we extend the function  $\varphi$  to  $\mathbb{R}$  by setting  $\varphi(x) = 0$  for  $x \notin [0, L]$ . The extended function satisfies

$$\lambda \varphi + \varphi' - a\varphi''' + b\varphi''''' = b\varphi''''(0)\delta'_0 - b\varphi''''(L)\delta'_L + b\varphi'''(0)\delta_0 - b\varphi'''(L)\delta_L,$$

in  $\mathcal{S}'(\mathbb{R})$ , where  $\delta_{\zeta}$  denotes the Dirac measure at  $x = \zeta$  and the derivatives  $\varphi''''(0)$ ,  $\varphi''''(L)$ ,  $\varphi''''(0)$  and  $\varphi'''(L)$  are those of the function  $\varphi$  when restricted to [0, L]. Taking the Fourier transform of each term in the above system and integrating by parts, we obtain

$$\begin{split} \lambda \hat{\varphi}(\xi) + \mathrm{i}\xi \hat{\varphi}(\xi) - a(\mathrm{i}\xi)^3 \hat{\varphi}(\xi) + b(\mathrm{i}\xi)^5 \hat{\varphi}(\xi) &= b(\mathrm{i}\xi) \varphi^{\prime\prime\prime\prime}(0) - b(\mathrm{i}\xi) \varphi^{\prime\prime\prime\prime}(L) \mathrm{e}^{-\mathrm{i}L\xi} \\ &+ b \varphi^{\prime\prime\prime\prime\prime}(0) - b \varphi^{\prime\prime\prime\prime}(L) \mathrm{e}^{-\mathrm{i}L\xi}. \end{split}$$

Setting  $\lambda = -ir$  and  $f_{\alpha}(\xi, L) = i\hat{\varphi}(\xi)$ , from the equation above it follows that

$$f_{\alpha}(\xi,L) = rac{N_{\alpha}(\xi,L)}{q(\xi)},$$

with  $N_{\alpha}(\cdot, L)$  defined by

$$N_{\alpha}(\xi, L) = \alpha_1 \mathbf{i}\xi - \alpha_2 \mathbf{i}\xi \mathbf{e}^{-\mathbf{i}\xi L} + \alpha_3 - \alpha_4 \mathbf{e}^{-\mathbf{i}\xi L}$$
(4.11)

and

$$q(\xi) = b\xi^5 + a\xi^3 + \xi + r,$$

where  $\alpha_i$ , for i = 1, 2, 3, 4, are the traces of  $b\varphi'''$  and  $b\varphi''''$ .

For each  $r \in \mathbb{R}$  and  $\alpha \in \mathbb{C}^4 \setminus \{0\}$  let  $\mathcal{F}_{\alpha r}$  be the set of L > 0 values, for which the function  $f_{\alpha}(\cdot, L)$  is entire. We introduce the following statements, which are equivalent:

A1.  $f_{\alpha}(\cdot, L)$  is entire;

- A2. all zeros, taking the respective multiplicities into account, of the polynomial q are zeros of  $N_{\alpha}(\cdot, L)$ ;
- A3. the maximal domain of  $f_{\alpha}(\cdot, L)$  is  $\mathbb{C}$ .

To the function  $f_{\alpha}(\cdot, L)$  to be entire, due to the equivalence between statement A1 and A2, we must have

$$\frac{\alpha_1 \mathrm{i}\xi_i + \alpha_3}{\alpha_2 \mathrm{i}\xi_i + \alpha_4} = \mathrm{e}^{-\mathrm{i}L\xi_i},$$

where  $\xi_i$  denotes the zeros of  $q(\xi)$ , for i = 1, 2, 3, 4, 5. Let us define, for  $\alpha \in \mathbb{C}^4 \setminus \{0\}$ , the following discriminant

$$d(\alpha) = \alpha_1 \alpha_3 - \alpha_2 \alpha_4. \tag{4.12}$$

Then, for  $\alpha \in \mathbb{C}^4 \setminus \{0\}$ , such that  $d(\alpha) \neq 0$  the Möbius transformations can be introduced by

$$M(\xi_i) = \mathrm{e}^{-\mathrm{i}L\xi_i},\tag{4.13}$$

for each zero  $\xi_i$  of the polynomial  $q(\xi)$ .

The next claim analyzes the behavior of the roots of polynomial  $q(\cdot)$ :

**Claim 1.** The polynomial  $q(\cdot)$  has exactly one real root, with multiplicity 1 and two pairs of complex conjugate roots.

In fact, initially, we suppose that  $r \neq 0$ . Note that the derivative of q is given by

$$q'(\xi) = 5b\xi^4 + 3a\xi^2 + 1,$$

and its zeros are  $\pm z_1$  and  $\pm z_2$ , where

$$z_1 = \sqrt{\frac{-3a - \sqrt{9a^2 - 20b}}{10b}}$$
 and  $z_2 = \sqrt{\frac{-3a + \sqrt{9a^2 - 20b}}{10b}}.$ 

It is easy to see that  $z_1$  and  $z_2$  belong to  $\mathbb{C} \setminus \mathbb{R}$ . Hence, the polynomial  $q(\cdot)$  does not have critical points, which means that  $q(\cdot)$  has exactly one real root. Suppose that  $\xi_0 \in \mathbb{R}$  is the root of  $q(\cdot)$  with multiplicity  $m \leq 5$ . Hence,

$$q(\xi_0) = q'(\xi_0) = \dots = q^{(m-1)}(\xi_0) = 0.$$

Consider the following cases:

- (i) If  $\xi_0$  has multiplicity 5, it follows that  $q(\xi_0) = 0$  and  $q'''(\xi_0) = 120b\xi_0 = 0$ , implying that  $\xi_0 = 0$  and r = 0.
- (ii) If  $\xi_0$  has multiplicity 4, it follows that  $q'''(\xi_0) = 60b\xi_0^2 + 6a = 0$ , implying that  $\xi_0 \in i\mathbb{R}$ .
- (iii) If  $\xi_0$  has multiplicity 3, it follows that  $q(\xi_0) = 0$  and  $q''(\xi_0) = 20b\xi_0^3 + 6a\xi_0 = 0$ , implying that  $\xi_0 = 0$  and r = 0 or  $\xi_0 \in i\mathbb{R}$ .
- (iv) If  $\xi_0$  has multiplicity 2, it follows that  $q'(\xi_0) = 5b\xi_0^4 + 3a\xi_2 + 1 = 0$ , implying that  $\xi_0 \in \mathbb{C} \setminus \mathbb{R}$ .

In any case, we have a contradiction, since  $r \neq 0$  and  $\xi_0 \in \mathbb{R}$ . Consequently,  $q(\cdot)$  has exactly one real root, with multiplicity 1. This means that this polynomial has two pairs of complex conjugate roots.

Second, we suppose that r = 0. Initially, note that from the derivation of the model (see the appendix) we have  $4b > a^2$ . Then, we obtain

$$q(\xi) = \xi(b\xi^4 + a\xi^2 + 1),$$

whose roots are  $0, \pm \rho$  and  $\pm k$  where

$$\rho^2 = -\frac{a}{2b} + i\frac{\sqrt{4b-a^2}}{2b} \quad \text{and} \quad k = -\frac{a}{2b} - i\frac{\sqrt{4b-a^2}}{2b} = \overline{\rho^2} = \overline{\rho}^2. \quad (4.14)$$

Thus,  $q(\cdot)$  has two pairs of complex conjugate roots and one real root, proving claim 1.

Besides of the claim 1 the following two auxiliary lemmas are necessary to conclude the proof of the lemma 4.2. Their proofs can be found in [20, lemmas 2.1 and 2.2], thus we will omit them.

**Lemma 4.3.** Let non null  $\alpha \in \mathbb{C}^4$  with  $d(\alpha) = 0$  and L > 0 for  $d(\alpha)$  defined in (4.12). Then, the set of the imaginary parts of the zeros of  $N_{\alpha}(\cdot, L)$  in (4.11) has at most two elements.

**Lemma 4.4.** For any L > 0, there is no Möbius transformation M, such that

$$M(\xi) = e^{-iL\xi}, \quad \xi \in \{\xi_1, \xi_2, \bar{\xi}_1, \bar{\xi}_2\},\$$

with  $\xi_1, \xi_2, \overline{\xi}_1, \overline{\xi}_2$  all distinct in  $\mathbb{C}$ .

Let us finish the proof of lemma 4.2. To do this, we need to consider two cases:

i.  $d(\alpha) \neq 0$ ; ii.  $d(\alpha) = 0$ ,

where  $d(\alpha)$  was defined in (4.12).

In fact, if  $d(\alpha) \neq 0$ , we can define the Möbius transformation. Let us assume, by contradiction, that there exists L > 0 such that the function  $f_a(\cdot, L)$  is entire. Then, all roots of the polynomial  $q(\cdot)$  must satisfy (4.13), i.e. there exists a Möbius transformation that takes each root  $\xi_0$  of  $q(\cdot)$  into  $e^{-iL\xi_0}$ . However, this contradicts lemma 4.4 and proves that if ( $\mathcal{N}$ ) holds then  $\mathcal{F}_{\alpha r} = \emptyset$  for all  $r \in \mathbb{R}$ . On the other hand, suppose that  $d(\alpha) = 0$  and note that by using the claim 1, we can conclude that the set of the imaginary parts of the polynomial  $q(\cdot)$  has at least three elements, thus it follows from lemma 4.3 that  $\mathcal{F}_{\alpha r} = \emptyset$  for all  $r \in \mathbb{R}$ . Note that in both cases, we have that  $\mathcal{F}_{\alpha r} = \emptyset$ , which implies that ( $\mathcal{N}$ ) only has the trivial solution for any L > 0, and the proof of lemma 4.2 is archived.

To close this section we derive an exponential stability result in each space  $X_s$ , for  $s \in [0, 5]$ . To do this, for  $s \in [0, 5]$ , let  $X_s$  denote the collection of all the functions

$$(\eta, u) \in [H_0^s(0, L)]^2 := \{(\eta, u) \in [H^s(0, L)]^2 : (\eta, u)^{(j)}(0) = 0, (\eta, u)^{(j)}(L) = 0\},\$$

for  $j = 0, 1, ..., [s]^4$ , endowed with the Hilbertian norm

 $\|(\eta, u)\|_{X_s}^2 = \|\eta\|_{H^s(0,L)}^2 + \|u\|_{H^s(0,L)}^2.$ 

Using theorem 1.2 and some interpolation argument, we derive the following result.

**Corollary 4.5.** Let  $\alpha_i$ , i = 1, 2 be as in theorem 1.2. Then, for any  $s \in [0, 5]$ , there exists a constant  $C_s > 0$ , such that, for any  $(\eta_0, u_0) \in X_s$ , the solution  $(\eta(t), u(t))$  of (2.1) belongs to  $C(\mathbb{R}^+; X_s)$  and fulfills

$$\|(\eta(t), u(t))\|_{X_s} \leqslant C_0 e^{-\mu_0 t} \|(\eta_0, u_0)\|_{X_s}, \quad \forall t \ge 0.$$
(4.15)

**Proof.** Equation (4.15) was already been established for s = 0 in theorem 1.2. Pick any  $U_0 = (\eta_0, u_0) \in X_5 = D(A)$  and write  $U(t) = (\eta(t), u(t)) = S(t)U_0$ . Let  $V(t) = U_t(t) = AU(t)$ . Then *V* is the mild solution of the system

$$\begin{cases} V_t = AV\\ V(0) = AU_0 \in X_0, \end{cases}$$

$$\tag{4.16}$$

hence, by using theorem 1.2, estimate  $||V(t)||_{X_0} \leq C_0 e^{-\mu_0 t} ||V_0||_{X_0}$ , holds. Since V(t) = AU(t),  $V_0 = AU_0$ , and the norms  $||U||_{X_0} + ||AU||_{X_0}$  and  $||U||_{X_5}$  are equivalent in  $X_5$ , we conclude that, for some constant  $C_5 > 0$ , we have that

$$||U(t)||_{X_5} \leq C_5 e^{-\mu_0 t} ||U_0||_{X_5}.$$

This proves (4.15) for s = 5. The fact that (4.15) is still valid for 0 < s < 5 follows from a standard interpolation argument, since  $X_s = [X_0, X_5]_{s/5}$ .

#### 5. Further comments and open problems

In this section considerations will be done regarding the fifth order Boussinesq system (1.2) and (1.3). It is important to note that the classical energy estimate does not provide any global (in time) *a priori* bounds for the solutions of the corresponding nonlinear model. Consequently, it does not lead to the existence of a global (in time) solution in the energy space. Due to the structure of the nonlinear terms, the same lack of *a priori* bounds also occurs when higher order Sobolev norms are considered (e. g.  $H^s$  – norm). Because of this strict requirement, we cannot proceed as in [10, 18] and have only succeeded in deriving uniform decay results for the linear system.

• Global well-posedness in time

Theorem 1.1 and proposition 3.5 give us a positive answer to the well-posedness problem. However, the following questions are still open:

**Question**  $\mathcal{A}$ . Is the nonlinear system (1.2) and (1.3), global well-posed in time? If yes, should we expect some restriction on the initial data?

#### • One feedback on the boundary condition

If we consider in (1.2) and (1.3) with only one damping mechanism, that is, with  $\alpha_1$  or  $\alpha_2$  vanishing, we still have E(t), defined by (1.4), decreasing along the trajectories

<sup>4</sup> For any real number *s*, [*s*] stands for its integer part.

of the linearized system associated to the model. Thus, the following question can be formulated:

**Question**  $\mathcal{B}$ . Is the linearized system associated to (1.2) and (1.3) exponentially stable with only one damping mechanism?

• Exponential stability for the full system

Because of the lack of classical energy estimates for the nonlinear model we are not able to prove, by using, e.g. [10, 18], the exponential stability for the full model (1.2) and (1.3). Then, one natural question remains open:

**Question** C. Does the energy associated to the nonlinear system (1.2) and (1.3), with one or two damping mechanism, converges to zero, as  $t \to \infty$ , for initial data in the energy space  $X_0$ ?

#### **Acknowledgments**

The authors thank the anonymous referees for their helpful comments and suggestions to improve this work. RACF was partially supported by CNPq (Brazil) under grant 306475/2017-0 and Propesq (UFPE) under Edital 'Qualis A'. AFP was partially supported by CNPq (Brazil). FAG was partially supported by Fondo Nacional de Financiamiento para la Ciencia, la Tecnología y la Innovación under project: Competencias empresariales y de innovación para el desarrollo económico y la inclusión productiva de las regiones afectadas por el conflicto colombiano. Código SIGP 58907. This work was carried out during two visits of the first author to the Federal University of Rio de Janeiro and one visit of the second author to the Federal University of Pernambuco. The authors would like to thank both Universities for their hospitality.

# Appendix

The following fifth-order Boussinesq system

$$\begin{cases} \eta_t + u_x - au_{xxx} + a_1(\eta u)_x + a_2(\eta u_{xx})_x + bu_{xxxxx} = 0, \\ u_t + \eta_x - a\eta_{xxx} + a_1uu_x + a_3(\eta \eta_{xx})_x + a_4u_xu_{xx} + b\eta_{xxxxx} = 0, \end{cases}$$
(A.1)

with a > 0, b > 0,  $a \neq b$ ,  $a_1 > 0$ ,  $a_2 < 0$ ,  $a_3 > 0$  and  $a_4 > 0$ , can be derived from (1.1) with a carefully choice of the parameters  $\theta$ ,  $\beta$  and  $\tau$ .

Indeed, taking  $\tau = \frac{2}{3} - \theta^2$ , we have that

$$\frac{1}{6}\beta(3\theta^2 - 1) = \beta \left[\frac{1}{2}(1 - \theta^2) - \tau\right]$$

and  $a = \frac{1}{6}\beta(3\theta^2 - 1)$ . On the other hand, taking  $\theta^2 = \frac{1}{2} - \frac{1}{2\sqrt{5}} \approx 0,276\,393 < \frac{1}{3}$  and noting that  $\beta > 0$ , it follows that

$$5\left(\theta^2 - \frac{1}{5}\right)^2 = (\theta^2 - 1)(3 - 11\theta^2)$$
(A.2)

and

$$a = \frac{1}{2}\beta\left(\theta^2 - \frac{1}{3}\right) < 0.$$

Note that (A.2) is equivalent to

$$5\left(\theta^2 - \frac{1}{5}\right)^2 = (\theta^2 - 1)\left(\frac{9}{3} - 11\theta^2\right) = (\theta^2 - 1)\left(\theta^2 - 5 + 12\tau\right)$$
$$= (\theta^2 - 5)(\theta^2 - 1) + 12(\theta^2 - 1)\tau.$$

Thus,

$$\frac{5}{24} \left(\theta^2 - \frac{1}{5}\right)^2 = \frac{1}{24} (\theta^2 - 5)(\theta^2 - 1) + \frac{1}{2} (\theta^2 - 1)\tau$$
  

$$\Leftrightarrow \quad \frac{1}{120} \left(25\theta^4 - 10\theta^2 + 1\right) = \frac{1}{24} (\theta^2 - 6\theta^2 + 5) + \frac{1}{2} (\theta^2 - 1)\tau,$$

and b can define as

$$b = \frac{\beta^2}{120} \left( 25\theta^4 - 10\theta^2 + 1 \right) = \beta^2 \left[ \frac{1}{24} (\theta^2 - 6\theta^2 + 5) + \frac{1}{2} (\theta^2 - 1)\tau \right] > 0.$$

Finally, with the choice of

$$a_1 = \alpha > 0$$
,  $a_2 = \frac{1}{2}\alpha\beta(\theta^2 - 1) < 0$ ,  $a_3 = \alpha\beta > 0$  and  $a_4 = \alpha\beta(2 - \theta^2) > 0$ ,  
we obtain (A 1)

we obtain (A.1).

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